

***FOR LEGISLATIVE REVIEW***

---

**NOVEMBER ESTIMATE**

**DEVELOPMENTAL CENTERS**

**2007-08 GOVERNOR'S BUDGET**

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**DEPARTMENT OF  
DEVELOPMENTAL SERVICES**

**JANUARY 10, 2007**

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**EXECUTIVE SUMMARY**

**CURRENT YEAR 2006-07**

	<b>Adjusted Budget CY 2006-07</b>	<b>2007-08 November Estimate CY 2006-07</b>	<b>2007-08 November Estimate Request CY 2006-07</b>
<b>TOTAL FUNDING</b>	<b>\$734,660,000</b>	<b>\$730,629,000</b>	<b>-\$4,031,000</b>
<b>Positions</b>	<b>7,719.1</b>	<b>7,732.1</b>	<b>13.0</b>
<b>Average In-Center Population</b>	<b>2,828</b>	<b>2,834</b>	<b>6</b>
<b>General Fund (0001)</b>	<b>\$404,127,000</b>	<b>\$403,422,000</b>	<b>-\$705,000</b>
Item 003	393,822,000	394,017,000	195,000
Item 004	10,021,000	9,121,000	-900,000
Item 017	284,000	284,000	0
<b>Reimbursements (0995)</b>	<b>\$329,424,000</b>	<b>\$326,098,000</b>	<b>-\$3,326,000</b>
Item 003	325,744,000	322,418,000	-3,326,000
Item 004	3,489,000	3,489,000	0
Item 017	191,000	191,000	0
<b>Federal Funds (0890)</b>	<b>\$620,000</b>	<b>\$620,000</b>	<b>\$0</b>
Item 003	620,000	620,000	0
<b>Lottery Education Fund (0814)</b>	<b>\$489,000</b>	<b>\$489,000</b>	<b>\$0</b>
Item 503 (Non-Budget Act)	489,000	489,000	0
<b>Total DC Program Budget</b>	<b>\$734,660,000</b>	<b>\$730,629,000</b>	<b>-\$4,031,000</b>
003	720,186,000	717,055,000	-3,131,000
004	13,510,000	12,610,000	-900,000
017	475,000	475,000	0
503	489,000	489,000	0

**DEVELOPMENTAL CENTERS DETAILED FUNDING SUMMARY**  
**Comparison of Adjusted CY 2006-07 Budget to the 2007-08 Governor's Budget for CY 2006-07**

**CURRENT YEAR 2006-07**

	Enacted Budget CY 2006-07	Transfer to Legislative Claims AB 1921	Retirement Adjustment	Employee Compensation Adjustments	Statewide Surcharge	Staffing Adjustment/ General Fund Offset <sup>1</sup>	Pending Executive Order Minimum Wage Increase	Adjusted Budget CY 2006-07	2007-08 November Estimate Request CY 2006-07	2007-08 Proposed Governor's Budget CY 2006-07	Change from Enacted Budget for CY 2006-07
<b>Program 20 Total</b>	<b>\$702,720,000</b>	<b>-\$3,000</b>	<b>\$3,543,000</b>	<b>\$27,987,000</b>	<b>-\$78,000</b>	<b>\$369,000</b>	<b>\$122,000</b>	<b>\$734,660,000</b>	<b>-\$4,031,000</b>	<b>\$730,629,000</b>	<b>\$27,909,000</b>
Positions	7,719.1	0.0	0.0	0.0	0.0	0.0	0.0	7,719.1	13.0	7,732.1	13.0
Average In-Center Population	2,828	0	0	0	0	0	0	2,828	6	2,834	6
<b>Funding Sources</b>											
<b>General Fund Total</b>	<b>\$384,996,000</b>	<b>-\$3,000</b>	<b>\$2,047,000</b>	<b>\$16,289,000</b>	<b>\$358,000</b>	<b>\$369,000</b>	<b>\$71,000</b>	<b>\$404,127,000</b>	<b>-\$705,000</b>	<b>\$403,422,000</b>	<b>\$18,426,000</b>
General Fund Match	304,423,000	0	1,496,000	11,698,000	-436,000	322,000	51,000	317,554,000	171,000	317,725,000	13,302,000
General Fund Other	80,573,000	-3,000	551,000	4,591,000	794,000	47,000	20,000	86,573,000	-876,000	85,697,000	5,124,000
<b>Reimbursement Total</b>	<b>316,615,000</b>	<b>0</b>	<b>1,496,000</b>	<b>11,698,000</b>	<b>-436,000</b>	<b>0</b>	<b>\$51,000</b>	<b>329,424,000</b>	<b>-3,326,000</b>	<b>326,098,000</b>	<b>9,483,000</b>
Medi-Cal Reimbursements	304,423,000	0	1,496,000	11,698,000	-436,000	0	51,000	317,232,000	493,000	317,725,000	13,302,000
Other Reimbursements	12,192,000	0	0	0	0	0	0	12,192,000	-3,819,000	8,373,000	-3,819,000
<b>Federal Funds</b>	<b>620,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>620,000</b>	<b>0</b>	<b>620,000</b>	<b>0</b>
<b>Lottery Education Fund</b>	<b>489,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>489,000</b>	<b>0</b>	<b>489,000</b>	<b>0</b>
<b>Total Funding</b>	<b>\$702,720,000</b>	<b>-\$3,000</b>	<b>\$3,543,000</b>	<b>\$27,987,000</b>	<b>-\$78,000</b>	<b>\$369,000</b>	<b>\$122,000</b>	<b>\$734,660,000</b>	<b>-\$4,031,000</b>	<b>\$730,629,000</b>	<b>\$27,909,000</b>

<sup>1</sup> The funding for the Staffing Adjustment and General Fund offset consists of a General Fund transfer from the Regional Centers budget of \$369,000.

**CURRENT YEAR 2006-07****SUMMARY**

<b>2007-08 November Estimate CY 2006-07</b>
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**I. BUDGET ITEMS:****A. Program Updates**

1. Average In-Center Population		
<i>Enacted Budget CY 2006-07</i>		2,828
<i>November Estimate</i>		2,834
Net Change		6
2. Staffing Adjustments:		
a. <i>Level-of-Care Staffing</i>	\$468,000	
<i>Positions</i>		5.0
b. <i>Non-Level-of-Care Staffing</i>	\$223,000	
<i>Positions</i>		3.0
c. Total Staffing Adjustment	\$691,000	
<i>Positions</i>		8.0
3. Agnews Closure Plan:		
a. <i>State Employees In The Community</i>	-\$3,819,000	
b. Total Agnews Closure Plan	-\$3,819,000	
4. Total Program Updates	-\$3,128,000	
<i>Positions</i>		8.0

**B. Revised Major Assumptions**

1. Realignment of Proposition 98 Funding	-\$900,000	
2. Agnews Closure Plan:		
a. <i>Staffing Plan</i>	\$366,000	
<i>Positions</i>		5.0
b. Total Agnews Closure Plan	\$366,000	
<i>Positions</i>		5.0
3. Total Revised Major Assumptions	-\$534,000	
<i>Positions</i>		5.0

**C. New Major Assumptions**

1. Minimum Wage Increase	(\$122,000)	
(Non-add. Funding included in budget adjustments.)		
2. Total New Major Assumptions	(\$122,000)	

**D. Funding Offset - General Fund Transfer from Regional Centers**

1. Total Funding Offset	-\$369,000	
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**CURRENT YEAR 2006-07**

**SUMMARY (Continued)**

	2007-08 November Estimate CY 2006-07
<b>E. Total Request Positions</b>	<b>-\$4,031,000</b>
	<b>13.0</b>
<hr/>	
<b>II. FUNDING:</b>	
<b>A. Fund Sources</b>	
<b>1. General Fund Total</b>	<b>-\$705,000</b>
<i>a. General Fund Match</i>	<i>171,000</i>
<i>b. General Fund Other</i>	<i>-876,000</i>
<b>2. Reimbursements Total</b>	<b>-\$3,326,000</b>
<i>a. Medi-Cal Reimbursements</i>	<i>493,000</i>
<i>b. Other Reimbursements</i>	<i>-3,819,000</i>
<b>3. Federal Funds</b>	<b>\$0</b>
<b>4. Lottery Education Fund (Non-Budget Act)</b>	<b>\$0</b>
<b>B. Total Funds</b>	<b>-\$4,031,000</b>

**EXECUTIVE SUMMARY**

**BUDGET YEAR 2007-08**

	<b>2007-08 Adjusted Budget BY 2007-08</b>	<b>2007-08 November Estimate BY 2007-08</b>	<b>2007-08 November Estimate Request BY 2007-08</b>
<b>TOTAL FUNDING</b>	<b>\$737,514,000</b>	<b>\$712,268,000</b>	<b>-\$25,246,000</b>
<b>Positions</b>	<b>7,714.1</b>	<b>7,364.1</b>	<b>-350.0</b>
<b>Average In-Center Population</b>	<b>2,828</b>	<b>2,589</b>	<b>-239</b>
<b>General Fund (0001)</b>	<b>\$405,586,000</b>	<b>\$393,567,000</b>	<b>-\$12,019,000</b>
Item 003	395,190,000	384,610,000	-10,580,000
Item 004	10,116,000	8,677,000	-1,439,000
Item 017	280,000	280,000	0
<b>Reimbursements (0995)</b>	<b>\$330,819,000</b>	<b>\$317,592,000</b>	<b>-\$13,227,000</b>
Item 003	327,075,000	314,294,000	-12,781,000
Item 004	3,556,000	3,110,000	-446,000
Item 017	188,000	188,000	0
<b>Federal Funds (0890)</b>	<b>\$620,000</b>	<b>\$620,000</b>	<b>\$0</b>
Item 003	620,000	620,000	0
<b>Lottery Education Fund (0814)</b>	<b>\$489,000</b>	<b>\$489,000</b>	<b>\$0</b>
Item 503 (Non-Budget Act)	489,000	489,000	0
<b>Total DC Program Budget</b>	<b>\$737,514,000</b>	<b>\$712,268,000</b>	<b>-\$25,246,000</b>
Item 003	722,885,000	699,524,000	-23,361,000
Item 004	13,672,000	11,787,000	-1,885,000
Item 017	468,000	468,000	0
Item 503	489,000	489,000	0

**DEVELOPMENTAL CENTERS DETAILED FUNDING SUMMARY**  
**Comparison of Adjusted CY 2006-07 Budget to the 2007-08 November Estimate for BY 2007-08**

**BUDGET YEAR 2007-08**

	Enacted Budget CY 2006-07	One-time Funding Expiring June 30, 2007 <sup>1</sup>	Price Letter Increase	Retirement Adjustment	Employee Compensation Adjustment	Statewide Surcharge	SWCAP Adjustment	Pending Executive Order Minimum Wage Increase	Adjusted Budget BY 2007-08	2007-08 November Estimate Request BY 2007-08	2007-08 Proposed Governor's Budget BY 2007-08	Change from Enacted Budget BY 2007-08
<b>Program 20 Total</b>	<b>\$702,720,000</b>	<b>-\$2,043,000</b>	<b>\$3,411,000</b>	<b>\$3,543,000</b>	<b>\$29,532,000</b>	<b>-\$78,000</b>	<b>\$103,000</b>	<b>\$326,000</b>	<b>\$737,514,000</b>	<b>-\$25,246,000</b>	<b>\$712,268,000</b>	<b>\$9,548,000</b>
Positions	7,719.1	-5.0	0.0	0.0	0.0	0.0	0.0	0.0	7,714.1	-350.0	7,364.1	-355.0
Average In-Center Population	2,828.0	0	0	0	0	0	0	0	2,828	-239	2,589	-239
<b>Funding Sources</b>												
<b>General Fund Total</b>	<b>\$384,996,000</b>	<b>-\$1,197,000</b>	<b>\$1,991,000</b>	<b>\$2,047,000</b>	<b>\$17,201,000</b>	<b>\$358,000</b>	<b>\$0</b>	<b>\$190,000</b>	<b>\$405,586,000</b>	<b>-\$12,019,000</b>	<b>\$393,567,000</b>	<b>\$8,571,000</b>
General Fund Match	304,423,000	-846,000	1,420,000	1,496,000	12,331,000	-436,000	0	136,000	318,524,000	-13,227,000	305,297,000	874,000
General Fund Other	80,573,000	-351,000	571,000	551,000	4,870,000	794,000	0	54,000	87,062,000	1,208,000	88,270,000	7,697,000
<b>Reimbursement Total</b>	<b>316,615,000</b>	<b>-846,000</b>	<b>1,420,000</b>	<b>1,496,000</b>	<b>12,331,000</b>	<b>-436,000</b>	<b>103,000</b>	<b>136,000</b>	<b>330,819,000</b>	<b>-13,227,000</b>	<b>317,592,000</b>	<b>977,000</b>
Medi-Cal Reimbursements	304,423,000	-846,000	1,420,000	1,496,000	12,331,000	-436,000	0	136,000	318,524,000	-13,227,000	305,297,000	874,000
Other Reimbursements	12,192,000	0	0	0	0	0	103,000	0	12,295,000	0	12,295,000	103,000
<b>Federal Funds</b>	<b>620,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>620,000</b>	<b>0</b>	<b>620,000</b>	<b>0</b>
Lottery Education Fund	489,000	0	0	0	0	0	0	0	489,000	0	489,000	0
<b>Total Funding</b>	<b>\$702,720,000</b>	<b>-\$2,043,000</b>	<b>\$3,411,000</b>	<b>\$3,543,000</b>	<b>\$29,532,000</b>	<b>-\$78,000</b>	<b>\$103,000</b>	<b>\$326,000</b>	<b>\$737,514,000</b>	<b>-\$25,246,000</b>	<b>\$712,268,000</b>	<b>\$9,548,000</b>

<sup>1</sup> One-time funding adjustments expiring June 30, 2007 include:  
 Quality Management System limited-term positions - \$664,000  
 Workers' Compensation - \$1,100,000  
 Capitol People First - \$246,000  
 Intensive Behavioral Treatment Residence minor equipment - \$33,000

**BUDGET YEAR 2007-08****SUMMARY**

<b>2007-08 November Estimate BY 2007-08</b>
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**I. BUDGET ITEMS:****A. Program Updates**

1. Average In-Center Population		
<i>Enacted Budget CY 2006-07</i>		2,828
<i>November Estimate</i>		2,589
Net Change		-239
2. Staffing Adjustments:		
a. <i>Level-of-Care Staffing Positions</i>	-\$23,852,000	-263.0
b. <i>Non-Level-of-Care Staffing Positions</i>	-\$6,688,000	-104.5
c. Total Staffing Adjustment Positions	-\$30,540,000	-367.5
3. Agnews Closure Plan:		
a. <i>Consumer Transfers to Other Developmental Centers</i>	-\$430,000	
b. <i>Staff Costs for Closure Plan</i>	\$4,202,000	
c. <i>Facility Preparation</i>	\$73,000	
d. <i>Consumer Relocation Costs</i>	\$105,000	
e. Total Agnews Closure Plan	\$3,950,000	
4. Total Program Updates Positions	-\$26,590,000	-367.5

**B. Revised Major Assumptions**

1. Realignment of Proposition 98 Funding		-\$900,000
2. Agnews Closure Plan:		
a. <i>Staffing Plan Positions</i>	\$731,000	10.0
b. Total Agnews Closure Plan Positions	\$731,000	10.0
3. Total Revised Major Assumptions		-\$169,000

**C. New Major Assumptions**

1. Porterville Developmental Center Secure Treatment Program Expansion Positions		\$1,067,000 2.5
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**BUDGET YEAR 2007-08**

**SUMMARY (Continued)**

<b>2007-08 November Estimate BY 2007-08</b>
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2.	Meeting Centers for Medicare and Medicaid Services Quality Management System Requirements	\$446,000
	Positions	5.0
3.	Minimum Wage Increase	(\$326,000)
4.	Total New Major Assumptions	\$1,513,000
	Positions	7.5
<b>D. Total Request</b>		<b>-\$25,246,000</b>
<b>Positions</b>		<b>-350.0</b>
<hr style="border-top: 3px double black;"/>		
<b>II. FUNDING:</b>		
<b>A. Fund Sources</b>		
1.	<b>General Fund Total</b>	<b>-\$12,019,000</b>
a.	<i>General Fund Match</i>	-13,227,000
b.	<i>General Fund Other</i>	1,208,000
2.	<b>Reimbursements Total</b>	<b>-\$13,227,000</b>
a.	<i>Medi-Cal Reimbursements</i>	-13,227,000
b.	<i>Other Reimbursements</i>	0
3.	<b>Federal Funds</b>	<b>\$0</b>
4.	<b>Lottery Education Fund (Non-Budget Act)</b>	<b>\$0</b>
<b>B. Total Funds</b>		<b>-\$25,246,000</b>
<hr style="border-top: 3px double black;"/>		

**EXECUTIVE SUMMARY**

**CURRENT YEAR 2006-07 TO BUDGET YEAR 2007-08**

	<b>2007-08 November Estimate CY 2006-07</b>	<b>2007-08 November Estimate BY 2007-08</b>	<b>Change from CY 2006-07 to BY 2007-08</b>
<b>Program 20 Total</b>	<b>\$730,629,000</b>	<b>\$712,268,000</b>	<b>-\$18,361,000</b>
Positions	7,732.1	7,364.1	-368.0
Average In-Center Population	2,834	2,589	-245
<b>Funding Sources</b>			
<b>General Fund Total</b>	<b>\$403,422,000</b>	<b>\$393,567,000</b>	<b>-\$9,855,000</b>
General Fund Match	317,725,000	305,297,000	-12,428,000
General Fund Other	85,697,000	88,270,000	2,573,000
<b>Reimbursement Total</b>	<b>326,098,000</b>	<b>317,592,000</b>	<b>-8,506,000</b>
Medi-Cal Reimbursements	317,725,000	305,297,000	-12,428,000
Other Reimbursements	8,373,000	12,295,000	3,922,000
<b>Federal Funds</b>	<b>620,000</b>	<b>620,000</b>	<b>0</b>
<b>Lottery Education Fund</b>	<b>489,000</b>	<b>489,000</b>	<b>0</b>
<b>Total Funding</b>	<b>\$730,629,000</b>	<b>\$712,268,000</b>	<b>-\$18,361,000</b>

**DEVELOPMENTAL CENTERS DETAILED FUNDING SUMMARY**  
**Comparison of Enacted PY 2005-06 Budget to the 2006-07 May Revision for PY 2005-06**

**PRIOR YEAR 2005-06**

	Enacted Budget PY 2005-06	2006-07 Proposed Governor's Budget PY 2005-06	Executive Order Employee Compensation Adjustment Bargaining Units 7 and 18	Budget Revision Staffing Adjustment/ General Fund Offset	2006-07 May Revision PY 2005-06	Final Budget PY 2005-06	Change from Governor's Budget PY 2005-06	Change from Enacted Budget for PY 2005-06
<b>Program 20 Total</b>	<b>\$708,517,000</b>	<b>\$713,295,000</b>	<b>\$4,036,000</b>	<b>\$3,719,000</b>	<b>-\$4,906,000</b>	<b>\$716,144,000</b>	<b>\$2,849,000</b>	<b>\$7,627,000</b>
Positions	7,876.1	7,894.1	0.0	0.0	20.0	7,914.1	20.0	38.0
<b>Funding Sources</b>								
<b>General Fund Total</b>	<b>\$379,057,000</b>	<b>\$386,992,000</b>	<b>\$2,329,000</b>	<b>\$3,719,000</b>	<b>\$0</b>	<b>\$393,040,000</b>	<b>\$6,048,000</b>	<b>\$13,983,000</b>
General Fund Match	319,339,000	318,840,000	1,707,000	-3,249,000	0	317,298,000	-1,542,000	-2,041,000
General Fund Other	59,718,000	68,152,000	622,000	6,968,000	0	75,742,000	7,590,000	16,024,000
<b>Reimbursement Total</b>	<b>326,611,000</b>	<b>325,194,000</b>	<b>1,707,000</b>	<b>0</b>	<b>-4,906,000</b>	<b>321,995,000</b>	<b>-3,199,000</b>	<b>-4,616,000</b>
Medi-Cal Reimbursements	319,339,000	318,840,000	1,707,000	0	-3,249,000	317,298,000	-1,542,000	-2,041,000
Other Reimbursements	7,272,000	6,354,000	0	0	-1,657,000	4,697,000	-1,657,000	-2,575,000
<b>Federal Funds</b>	<b>645,000</b>	<b>620,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>620,000</b>	<b>0</b>	<b>-25,000</b>
Lottery Education Fund	2,204,000	489,000	0	0	0	489,000	0	-1,715,000
<b>Total Funding</b>	<b>\$708,517,000</b>	<b>\$713,295,000</b>	<b>\$4,036,000</b>	<b>\$3,719,000</b>	<b>-\$4,906,000</b>	<b>\$716,144,000</b>	<b>\$2,849,000</b>	<b>\$7,627,000</b>

**Position Summary**

<b>CURRENT YEAR 2006-07</b>					
	<b>TOTALS</b>	<b>LEVEL OF CARE</b>			<b>NON LOC</b>
		<b>PROF</b>	<b>NURSING</b>	<b>TOTAL</b>	
<b>2006-07 Authorized Positions</b>	<b>7,719.1</b>	<b>477.0</b>	<b>2,939.0</b>	<b>3,416.0</b>	<b>4,303.1</b>
<b>November Estimate:</b>					
Staffing Adjustment	8.0	0.0	5.0	5.0	3.0
Agnews Closure Plan: Staffing Plan	5.0	0.0	0.0	0.0	5.0
<b>November Estimate Totals</b>	<b>13.0</b>	<b>0.0</b>	<b>5.0</b>	<b>5.0</b>	<b>8.0</b>
<b>Governor's Budget Totals</b>	<b>7,732.1</b>	<b>477.0</b>	<b>2,944.0</b>	<b>3,421.0</b>	<b>4,311.1</b>
<b>Total Adjustments</b>	<b>13.0</b>	<b>0.0</b>	<b>5.0</b>	<b>5.0</b>	<b>8.0</b>

<b>BUDGET YEAR 2007-08</b>					
	<b>TOTALS</b>	<b>LEVEL OF CARE</b>			<b>NON LOC</b>
		<b>PROF</b>	<b>NURSING</b>	<b>TOTAL</b>	
<b>2006-07 Authorized Positions</b>	<b>7,719.1</b>	<b>477.0</b>	<b>2,939.0</b>	<b>3,416.0</b>	<b>4,303.1</b>
Limited Term Positions: QMS	-5.0	0.0	0.0	0.0	-5.0
<b>2007-08 Authorized Positions</b>	<b>7,714.1</b>	<b>477.0</b>	<b>2,939.0</b>	<b>3,416.0</b>	<b>4,298.1</b>
<b>November Estimate:</b>					
Staffing Adjustment	-367.5	-33.0	-230.0	-263.0	-104.5
Porterville Secure Treatment Program Expansion	2.5	0.0	0.0	0.0	2.5
Meeting Centers for Medicare and Medicaid Services QMS Requirements	5.0	0.0	0.0	0.0	5.0
Agnews Closure Plan: Staffing Plan	10.0	0.0	0.0	0.0	10.0
<b>November Estimate Totals</b>	<b>-350.0</b>	<b>-33.0</b>	<b>-230.0</b>	<b>-263.0</b>	<b>-87.0</b>
<b>Governor's Budget Totals</b>	<b>7,364.1</b>	<b>444.0</b>	<b>2,709.0</b>	<b>3,153.0</b>	<b>4,211.1</b>
<b>Total Adjustments</b>	<b>-355.0</b>	<b>-33.0</b>	<b>-230.0</b>	<b>-263.0</b>	<b>-92.0</b>

**PLAN TO CLOSE AGNEWS DEVELOPMENTAL CENTER  
FISCAL SUMMARY COMPARISON  
CURRENT YEAR 2006-07**

		2006-07 Budget Act	2007-08 November Estimate CY 2006-07	Change from 2006-07 Budget Act	
		A	B	B - A	
<b>DEVELOPMENTAL CENTERS</b>					
<b>1.</b>	<b>Agnews Budget Base</b>	<b>Total</b>	<b>\$83,033,000</b>	<b>\$83,033,000</b>	<b>\$0</b>
		<i>General Fund</i>	44,237,000	44,237,000	0
		<i>Other</i>	38,796,000	38,796,000	0
		<b>PYs</b>	<b>1,057.0</b>	<b>1,057.0</b>	<b>0.0</b>
		<b>Year Beginning Population</b>	<b>280</b>	<b>280</b>	<b>0</b>
<b>2.</b>	<b>Placements Into the Community</b>	<b>Total</b>	<b>-\$6,353,000</b>	<b>-\$5,662,000</b>	<b>\$691,000</b>
		<i>General Fund</i>	-3,374,000	-3,005,000	369,000
		<i>Other</i>	-2,979,000	-2,657,000	322,000
		<b>PYs</b>	<b>-90.0</b>	<b>-82.0</b>	<b>8.0</b>
		<b>Placements</b>	<b>-119</b>	<b>-113</b>	<b>6</b>
		<b>Deaths</b>	<b>-6</b>	<b>-6</b>	<b>0</b>
<b>3.</b>	<b>Consumer Transfers to Other DCs</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		<i>General Fund</i>	0	0	0
		<i>Other</i>	0	0	0
		<b>Population</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>4.</b>	<b>State Employees in the Community</b>	<b>Total</b>	<b>\$9,217,000</b>	<b>\$5,398,000</b>	<b>-\$3,819,000</b>
	<b>Clinical Staff (RC Operations)</b>	<b>Subtotal</b>	<b>2,636,000</b>	<b>1,390,000</b>	<b>-1,246,000</b>
	<i>Clinical Staff</i>		2,133,000	1,238,000	-895,000
	<i>Admin for Clinical Staff</i>		128,000	0	-128,000
	<i>Operating Expense &amp; Equipment</i>		375,000	152,000	-223,000
	<b>Direct Support Services (RC Purchase of Services)</b>	<b>Subtotal</b>	<b>6,581,000</b>	<b>4,008,000</b>	<b>-2,573,000</b>
	<i>Direct Support Services</i>		5,325,000	3,544,000	-1,781,000
	<i>Admin for Direct Support Services</i>		321,000	0	-321,000
	<i>Operating Expense &amp; Equipment</i>		935,000	464,000	-471,000
		<i>General Fund</i>	0	0	0
		<i>Other</i>	9,217,000	5,398,000	-3,819,000
<b>5.</b>	<b>Administrative Staff for Closure</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
		<i>General Fund</i>	0	0	0
		<i>Other</i>	0	0	0
<b>6.</b>	<b>Warm Shut Down</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
	<i>General Expenses</i>		0	0	0
	<i>Facilities Operations</i>		0	0	0
	<i>Contracts</i>		0	0	0
	<i>Vehicle Operations</i>		0	0	0
	<i>Utilities</i>		0	0	0
	<i>Staffing</i>		0	0	0
		<i>General Fund</i>	0	0	0
		<i>Other</i>	0	0	0
<b>7.</b>	<b>Foster Grandparent/Senior Companion Program</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
		<i>General Fund</i>	0	0	0
		<i>Other</i>	0	0	0

**PLAN TO CLOSE AGNEWS DEVELOPMENTAL CENTER  
FISCAL SUMMARY COMPARISON  
CURRENT YEAR 2006-07**

		2006-07 Budget Act	2007-08 November Estimate CY 2006-07	Change from 2006-07 Budget Act	
		A	B	B - A	
<b>8.</b>	<b>Staff Costs for Closure Plan</b>	<b>Total</b>	<b>\$716,000</b>	<b>\$716,000</b>	<b>\$0</b>
	Staff Transition Costs	378,000	378,000	0	
	Overtime for Consumer Transfers and Escort	338,000	338,000	0	
	Costs for Lump-Sum Buyout	0	0	0	
	General Fund	382,000	382,000	0	
	Other	334,000	334,000	0	
<b>9.</b>	<b>Facility Preparation</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
	General Fund	0	0	0	
	Other	0	0	0	
<b>10.</b>	<b>Consumer Relocation Costs (moving vans, transportation vehicles, etc.)</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
	General Fund	0	0	0	
	Other	0	0	0	
<b>11.</b>	<b>Regional Resource Development Projects</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
	PYs	0.0	0.0	0.0	
	General Fund	0	0	0	
	Other	0	0	0	
<b>12.</b>	<b>Agnews Staffing Plan</b>	<b>Total</b>	<b>\$0</b>	<b>\$366,000</b>	<b>\$366,000</b>
	PYs	0.0	5.0	5.0	
	General Fund	0	195,000	195,000	
	Other	0	171,000	171,000	
<b>Total Developmental Centers Costs of Closure</b>		<b>Total</b>	<b>\$86,613,000</b>	<b>\$83,851,000</b>	<b>-\$2,762,000</b>
	PYs	967.0	980.0	13.0	
	General Fund	41,245,000	41,809,000	564,000	
	Other	45,368,000	42,042,000	-3,326,000	
	<b>Year Ending Population</b>	<b>155</b>	<b>161</b>	<b>6</b>	
<b>REGIONAL CENTERS</b>					
<b>13.</b>	<b>Community Placement Plan</b>				
<b>A)</b>	<b>Operations</b>	<b>Total</b>	<b>\$8,551,000</b>	<b>\$7,984,000</b>	<b>-\$567,000</b>
	1. Unified Operations Costs	5,385,000	6,064,000	679,000	
	2. State Employees in the Community	2,636,000	1,390,000	-1,246,000	
	3. Consultant Services - Tech. Assistance on Housing Issues	280,000	280,000	0	
	4. Evaluation of Licensing Pilots	250,000	250,000	0	
	5. Foster Grandparent/Senior Companion Program	0	0	0	
	General Fund	6,764,000	6,993,000	229,000	
	Other	1,787,000	991,000	-796,000	
<b>B)</b>	<b>Purchase of Services (POS)</b>	<b>Total</b>	<b>\$23,910,000</b>	<b>\$23,452,000</b>	<b>-\$458,000</b>
	Placements	119	113	-6	
	1. Start-up Costs (resource development)	15,608,000	14,567,000	-1,041,000	
	2. Assessments	0	0	0	
	3. Placements (property management and lease)	8,302,000	8,885,000	583,000	
	General Fund	21,577,000	21,215,000	-362,000	
	Other	2,333,000	2,237,000	-96,000	
	(FYI: State Employees in the Community costs included in POS above)	(6,581,000)	(4,008,000)	-(2,573,000)	
<b>Total Community Placement Plan (A+B)</b>		<b>Total</b>	<b>\$32,461,000</b>	<b>\$31,436,000</b>	<b>-\$1,025,000</b>
	Placements	119	113	-6	
	General Fund	28,341,000	28,208,000	-133,000	
	Other	4,120,000	3,228,000	-892,000	

**PLAN TO CLOSE AGNEWS DEVELOPMENTAL CENTER  
FISCAL SUMMARY COMPARISON  
CURRENT YEAR 2006-07**

		2006-07 Budget Act	2007-08 November Estimate CY 2006-07	Change from 2006-07 Budget Act
		A	B	B - A
<b>14.</b>	<b>Placement Continuation</b>			
<b>A)</b>	<b>Operations</b>			
	Total	\$306,000	\$309,000	\$3,000
	1. Client Program Coordinators (CPC)	79,000	79,000	0
	2. Nurse Consultant	0	0	0
	3. State Employees in the Community	0	0	0
	4. Clinical Staff	0	0	0
	5. Increased Access to Oral Health Care	227,000	230,000	3,000
	General Fund	130,000	130,000	0
	Other	176,000	179,000	3,000
<b>B)</b>	<b>Purchase of Services (POS)</b>			
	Total	\$17,473,000	\$17,473,000	\$0
	Prior Year Placements	63	63	0
	Placement Continuation (property management and lease)	17,473,000	17,473,000	0
	General Fund	13,412,000	13,731,000	319,000
	Other	4,061,000	3,742,000	-319,000
	(FYI: State Employees in the Community costs included in POS above)	(0)	(0)	(0)
	<b>Total Placement Continuation (A+B)</b>	<b>\$17,779,000</b>	<b>\$17,782,000</b>	<b>\$3,000</b>
	Prior Year Placements	63	63	0
	General Fund	13,542,000	13,861,000	319,000
	Other	4,237,000	3,921,000	-316,000
	<b>Total Regional Center Costs of Closure</b>	<b>\$50,240,000</b>	<b>\$49,218,000</b>	<b>-\$1,022,000</b>
	General Fund	41,883,000	42,069,000	186,000
	Other	8,357,000	7,149,000	-1,208,000
	<b>GRAND TOTAL - COSTS OF CLOSURE</b>	<b>\$136,853,000</b>	<b>\$133,069,000</b>	<b>-\$3,784,000</b>
	Total	967.0	980.0	13.0
	PYs	83,128,000	83,878,000	750,000
	General Fund	53,725,000	49,191,000	-4,534,000
	Other	155	161	6
	Year Ending Population			

**PLAN TO CLOSE AGNEWS DEVELOPMENTAL CENTER  
FISCAL SUMMARY COMPARISON  
BUDGET YEAR 2007-08**

		2006-07 Budget Act A	2007-08 November Estimate BY 2007-08 B	Change from 2006-07 Budget Act B - A	
<b>DEVELOPMENTAL CENTERS</b>					
<b>1.</b>	<b>Agnews Budget Base</b>	<b>Total</b>	<b>\$83,033,000</b>	<b>\$73,754,000</b>	<b>-\$9,279,000</b>
		<i>General Fund</i>	44,237,000	39,347,759	-4,889,241
		<i>Other</i>	38,796,000	34,406,241	-4,389,759
		<b>PYs</b>	<b>1,057.0</b>	<b>975.0</b>	<b>-82.0</b>
		<b>Year Beginning Population</b>	<b>280</b>	<b>161</b>	<b>-119</b>
<b>2.</b>	<b>Placements Into the Community</b>	<b>Total</b>	<b>-\$6,353,000</b>	<b>-\$14,949,000</b>	<b>-\$8,596,000</b>
		<i>General Fund</i>	-3,374,000	-7,975,000	-4,601,000
		<i>Other</i>	-2,979,000	-6,974,000	-3,995,000
		<b>PYs</b>	<b>-90.0</b>	<b>-172.5</b>	<b>-82.5</b>
		<b>Placements</b>	<b>-119</b>	<b>-145</b>	<b>-26</b>
		<b>Deaths</b>	<b>-6</b>	<b>-6</b>	<b>0</b>
<b>3.</b>	<b>Consumer Transfers to Other DCs</b>	<b>Total</b>	<b>\$0</b>	<b>-\$430,000</b>	<b>-\$430,000</b>
		<i>General Fund</i>	0	-229,000	-229,000
		<i>Other</i>	0	-201,000	-201,000
		<b>Population</b>	<b>0</b>	<b>-10</b>	<b>-10</b>
<b>4.</b>	<b>State Employees in the Community</b>	<b>Total</b>	<b>\$9,217,000</b>	<b>\$9,217,000</b>	<b>\$0</b>
	<b>Clinical Staff (RC Operations)</b>	<b>Subtotal</b>	<b>2,636,000</b>	<b>2,636,000</b>	<b>0</b>
	<i>Clinical Staff</i>		2,133,000	2,133,000	0
	<i>Admin for Clinical Staff</i>		128,000	128,000	0
	<i>Operating Expense &amp; Equipment</i>		375,000	375,000	0
	<b>Direct Support Services (RC Purchase of Services)</b>	<b>Subtotal</b>	<b>6,581,000</b>	<b>6,581,000</b>	<b>0</b>
	<i>Direct Support Services</i>		5,325,000	5,325,000	0
	<i>Admin for Direct Support Services</i>		321,000	321,000	0
	<i>Operating Expense &amp; Equipment</i>		935,000	935,000	0
		<i>General Fund</i>	0	0	0
		<i>Other</i>	9,217,000	9,217,000	0
<b>5.</b>	<b>Administrative Staff for Closure</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
		<i>General Fund</i>	0	0	0
		<i>Other</i>	0	0	0
<b>6.</b>	<b>Warm Shut Down</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
	<i>General Expenses</i>		0	0	0
	<i>Facilities Operations</i>		0	0	0
	<i>Contracts</i>		0	0	0
	<i>Vehicle Operations</i>		0	0	0
	<i>Utilities</i>		0	0	0
	<i>Staffing</i>		0	0	0
		<i>General Fund</i>	0	0	0
		<i>Other</i>	0	0	0
<b>7.</b>	<b>Foster Grandparent/Senior Companion Program</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
		<i>General Fund</i>	0	0	0
		<i>Other</i>	0	0	0

**PLAN TO CLOSE AGNEWS DEVELOPMENTAL CENTER  
FISCAL SUMMARY COMPARISON  
BUDGET YEAR 2007-08**

		2006-07 Budget Act	2007-08 November Estimate BY 2007-08	Change from 2006-07 Budget Act	
		A	B	B - A	
<b>8.</b>	<b>Staff Costs for Closure Plan</b>	<b>Total</b>	<b>\$716,000</b>	<b>\$4,918,000</b>	<b>\$4,202,000</b>
	Staff Transition Costs	378,000	628,000	250,000	
	Overtime for Consumer Transfers and Escort	338,000	0	-338,000	
	Costs for Lump-Sum Buyout	0	4,290,000	4,290,000	
	General Fund	382,000	4,625,000	4,243,000	
	Other	334,000	293,000	-41,000	
<b>9.</b>	<b>Facility Preparation</b>	<b>Total</b>	<b>\$0</b>	<b>\$73,000</b>	<b>\$73,000</b>
	General Fund	0	39,000	39,000	
	Other	0	34,000	34,000	
<b>10.</b>	<b>Consumer Relocation Costs (moving vans, transportation vehicles, etc.)</b>	<b>Total</b>	<b>\$0</b>	<b>\$105,000</b>	<b>\$105,000</b>
	General Fund	0	56,000	56,000	
	Other	0	49,000	49,000	
<b>11.</b>	<b>Regional Resource Development Projects</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
	PYs	0.0	0.0	0.0	
	General Fund	0	0	0	
	Other	0	0	0	
<b>12.</b>	<b>Agnews Staffing Plan</b>	<b>Total</b>	<b>\$0</b>	<b>\$731,000</b>	<b>\$731,000</b>
	PYs	0.0	10.0	10.0	
	General Fund	0	390,000	390,000	
	Other	0	341,000	341,000	
<b>Total Developmental Centers Costs of Closure</b>		<b>Total</b>	<b>\$86,613,000</b>	<b>\$73,419,000</b>	<b>-\$13,194,000</b>
	PYs	967.0	812.5	-154.5	
	General Fund	41,245,000	36,253,759	-4,991,241	
	Other	45,368,000	37,165,241	-8,202,759	
	Year Ending Population	155	0	-155	
<b>REGIONAL CENTERS</b>					
<b>13.</b>	<b>Community Placement Plan</b>				
<b>A)</b>	<b>Operations</b>	<b>Total</b>	<b>\$8,551,000</b>	<b>\$8,619,000</b>	<b>\$68,000</b>
	1. Unified Operations Costs	5,385,000	6,386,000	1,001,000	
	2. State Employees in the Community	2,636,000	1,703,000	-933,000	
	3. Consultant Services - Tech. Assistance on Housing Issues	280,000	280,000	0	
	4. Evaluation of Licensing Pilots	250,000	250,000	0	
	5. Foster Grandparent/Senior Companion Program	0	0	0	
	General Fund	6,764,000	7,405,000	641,000	
	Other	1,787,000	1,214,000	-573,000	
<b>B)</b>	<b>Purchase of Services (POS)</b>	<b>Total</b>	<b>\$23,910,000</b>	<b>\$47,124,000</b>	<b>\$23,214,000</b>
	Placements	119	145	26	
	1. Start-up Costs (resource development)	15,608,000	20,647,000	5,039,000	
	2. Assessments	0	0	0	
	3. Placements (property management and lease)	8,302,000	26,477,000	18,175,000	
	General Fund	21,577,000	39,425,000	17,848,000	
	Other	2,333,000	7,699,000	5,366,000	
	(FYI: State Employees in the Community costs included in POS above)	(6,581,000)	(7,514,000)	(933,000)	
<b>Total Community Placement Plan (A+B)</b>		<b>Total</b>	<b>\$32,461,000</b>	<b>\$55,743,000</b>	<b>\$23,282,000</b>
	Placements	119	145	26	
	General Fund	28,341,000	46,830,000	18,489,000	
	Other	4,120,000	8,913,000	4,793,000	

**PLAN TO CLOSE AGNEWS DEVELOPMENTAL CENTER  
FISCAL SUMMARY COMPARISON  
BUDGET YEAR 2007-08**

		2006-07 Budget Act	2007-08 November Estimate BY 2007-08	Change from 2006-07 Budget Act
		A	B	B - A
<b>14.</b>	<b>Placement Continuation</b>			
<b>A)</b>	<b>Operations</b>			
	Total	\$306,000	\$423,000	\$117,000
	1. Client Program Coordinators (CPC)	79,000	91,000	12,000
	2. Nurse Consultant	0	102,000	102,000
	3. State Employees in the Community	0	0	0
	4. Clinical Staff	0	0	0
	5. Increased Access to Oral Health Care	227,000	230,000	3,000
	General Fund	130,000	166,000	36,000
	Other	176,000	257,000	81,000
<b>B)</b>	<b>Purchase of Services (POS)</b>			
	Total	\$17,473,000	\$43,792,000	\$26,319,000
	Prior Year Placements	63	113	50
	Placement Continuation (property management and lease)	17,473,000	43,792,000	26,319,000
	General Fund	13,412,000	32,980,000	19,568,000
	Other	4,061,000	10,812,000	6,751,000
	(FYI: State Employees in the Community costs included in POS above)	(0)	(0)	(0)
	<b>Total Placement Continuation (A+B)</b>	<b>\$17,779,000</b>	<b>\$44,215,000</b>	<b>\$26,436,000</b>
	Prior Year Placements	63	113	50
	General Fund	13,542,000	33,146,000	19,604,000
	Other	4,237,000	11,069,000	6,832,000
	<b>Total Regional Center Costs of Closure</b>	<b>\$50,240,000</b>	<b>\$99,958,000</b>	<b>\$49,718,000</b>
	General Fund	41,883,000	79,976,000	38,093,000
	Other	8,357,000	19,982,000	11,625,000
	<b>GRAND TOTAL - COSTS OF CLOSURE</b>	<b>\$136,853,000</b>	<b>\$173,377,000</b>	<b>\$36,524,000</b>
	Total	967.0	812.5	-154.5
	PYs			
	General Fund	83,128,000	116,229,759	33,101,759
	Other	53,725,000	57,147,241	3,422,241
	Year Ending Population	155	0	-155

**PLAN TO CLOSE AGNEWS DEVELOPMENTAL CENTER  
FISCAL SUMMARY COMPARISON  
CURRENT YEAR 2006-07 TO BUDGET YEAR 2007-08**

		2007-08 November Estimate CY 2006-07	2007-08 November Estimate BY 2007-08	Change from CY 2006-07 to BY 2007-08	
<b>DEVELOPMENTAL CENTERS</b>					
<b>1.</b>	<b>Agnews Budget Base</b>	<b>Total</b>	<b>\$83,033,000</b>	<b>\$73,754,000</b>	<b>-\$9,279,000</b>
		<i>General Fund</i>	44,237,000	39,347,759	-4,889,241
		<i>Other</i>	38,796,000	34,406,241	-4,389,759
		<b>PYs</b>	<b>1,057.0</b>	<b>975.0</b>	<b>-82.0</b>
		<b>Year Beginning Population</b>	<b>280</b>	<b>161</b>	<b>-119</b>
<b>2.</b>	<b>Placements Into the Community</b>	<b>Total</b>	<b>-\$5,662,000</b>	<b>-\$14,949,000</b>	<b>-\$9,287,000</b>
		<i>General Fund</i>	-3,005,000	-7,975,000	-4,970,000
		<i>Other</i>	-2,657,000	-6,974,000	-4,317,000
		<b>PYs</b>	<b>-82.0</b>	<b>-172.5</b>	<b>-90.5</b>
		<b>Placements</b>	<b>-113</b>	<b>-145</b>	<b>-32</b>
		<b>Deaths</b>	<b>-6</b>	<b>-6</b>	<b>0</b>
<b>3.</b>	<b>Consumer Transfers to Other DCs</b>	<b>Total</b>	<b>\$0</b>	<b>-\$430,000</b>	<b>-\$430,000</b>
		<i>General Fund</i>	0	-229,000	-229,000
		<i>Other</i>	0	-201,000	-201,000
		<b>Population</b>	<b>0</b>	<b>-10</b>	<b>-10</b>
<b>4.</b>	<b>State Employees in the Community</b>	<b>Total</b>	<b>\$5,398,000</b>	<b>\$9,217,000</b>	<b>\$3,819,000</b>
	<b>Clinical Staff (RC Operations)</b>	<b>Subtotal</b>	<b>1,390,000</b>	<b>2,636,000</b>	<b>1,246,000</b>
	<i>Clinical Staff</i>		1,238,000	2,133,000	895,000
	<i>Admin for Clinical Staff</i>		0	128,000	128,000
	<i>Operating Expense &amp; Equipment</i>		152,000	375,000	223,000
	<b>Direct Support Services (RC Purchase of Services)</b>	<b>Subtotal</b>	<b>4,008,000</b>	<b>6,581,000</b>	<b>2,573,000</b>
	<i>Direct Support Services</i>		3,544,000	5,325,000	1,781,000
	<i>Admin for Direct Support Services</i>		0	321,000	321,000
	<i>Operating Expense &amp; Equipment</i>		464,000	935,000	471,000
		<i>General Fund</i>	0	0	0
		<i>Other</i>	5,398,000	9,217,000	3,819,000
<b>5.</b>	<b>Administrative Staff for Closure</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
		<i>General Fund</i>	0	0	0
		<i>Other</i>	0	0	0
<b>6.</b>	<b>Warm Shut Down</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
	<i>General Expenses</i>		0	0	0
	<i>Facilities Operations</i>		0	0	0
	<i>Contracts</i>		0	0	0
	<i>Vehicle Operations</i>		0	0	0
	<i>Utilities</i>		0	0	0
	<i>Staffing</i>		0	0	0
		<i>General Fund</i>	0	0	0
		<i>Other</i>	0	0	0
<b>7.</b>	<b>Foster Grandparent/Senior Companion Program</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
		<i>General Fund</i>	0	0	0
		<i>Other</i>	0	0	0
<b>8.</b>	<b>Staff Costs for Closure Plan</b>	<b>Total</b>	<b>\$716,000</b>	<b>\$4,918,000</b>	<b>\$4,202,000</b>
	<i>Staff Transition Costs</i>		378,000	628,000	250,000
	<i>Overtime for Consumer Transfers and Escort</i>		338,000	0	-338,000
	<i>Costs for Lump-Sum Buyout</i>		0	4,290,000	4,290,000
		<i>General Fund</i>	382,000	4,625,000	4,243,000
		<i>Other</i>	334,000	293,000	-41,000

**PLAN TO CLOSE AGNEWS DEVELOPMENTAL CENTER  
FISCAL SUMMARY COMPARISON  
CURRENT YEAR 2006-07 TO BUDGET YEAR 2007-08**

		2007-08 November Estimate CY 2006-07	2007-08 November Estimate BY 2007-08	Change from CY 2006-07 to BY 2007-08	
<b>9. Facility Preparation</b>	<b>Total</b>	<b>\$0</b>	<b>\$73,000</b>	<b>\$73,000</b>	
	<i>General Fund</i>	0	39,000	39,000	
	<i>Other</i>	0	34,000	34,000	
<b>10. Consumer Relocation Costs (Moving vans, transportation vehicles, etc.)</b>	<b>Total</b>	<b>\$0</b>	<b>\$105,000</b>	<b>\$105,000</b>	
	<i>General Fund</i>	0	56,000	56,000	
	<i>Other</i>	0	49,000	49,000	
<b>11. Regional Resource Development Projects</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	
	<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	
	<i>General Fund</i>	0	0	0	
	<i>Other</i>	0	0	0	
<b>12. Agnews Staffing Plan</b>	<b>Total</b>	<b>\$366,000</b>	<b>\$731,000</b>	<b>\$365,000</b>	
	<b>PYs</b>	<b>5.0</b>	<b>10.0</b>	<b>5.0</b>	
	<i>General Fund</i>	195,000	390,000	195,000	
	<i>Other</i>	171,000	341,000	170,000	
<b>Total Developmental Centers Costs of Closure</b>		<b>Total</b>	<b>\$83,851,000</b>	<b>\$73,419,000</b>	<b>-\$10,432,000</b>
		<b>PYs</b>	<b>980.0</b>	<b>812.5</b>	<b>-167.5</b>
		<i>General Fund</i>	41,809,000	36,253,759	-5,555,241
		<i>Other</i>	42,042,000	37,165,241	-4,876,759
<b>Year Ending Population</b>		<b>161</b>	<b>0</b>	<b>-161</b>	
<b>REGIONAL CENTERS</b>					
<b>13. Community Placement Plan</b>	<b>Total</b>				
<b>A) Operations</b>	<b>Total</b>	\$7,984,000	\$8,619,000	\$635,000	
1. Unified Operations Costs		6,064,000	6,386,000	322,000	
2. State Employees in the Community		1,390,000	1,703,000	313,000	
3. Consultant Services - Tech. Assistance on Housing Issues		280,000	280,000	0	
4. Evaluation of Licensing Pilots		250,000	250,000	0	
5. Foster Grandparent/Senior Companion Program		0	0	0	
	<i>General Fund</i>	6,993,000	7,405,000	412,000	
	<i>Other</i>	991,000	1,214,000	223,000	
<b>B) Purchase of Services (POS)</b>	<b>Total</b>	\$23,452,000	\$47,124,000	\$23,672,000	
	<b>Placements</b>	113	145	32	
1. Start-up Costs (resource development)		14,567,000	20,647,000	6,080,000	
2. Assessments		0	0	0	
3. Placements (property management and lease)		8,885,000	26,477,000	17,592,000	
	<i>General Fund</i>	21,215,000	39,425,000	18,210,000	
	<i>Other</i>	2,237,000	7,699,000	5,462,000	
				0	
		(4,008,000)	(7,514,000)	(3,506,000)	
	<i>(FYI: State Employees in the Community costs included in POS above)</i>				
<b>Total Community Placement Plan (A+B)</b>		<b>Total</b>	<b>\$31,436,000</b>	<b>\$55,743,000</b>	<b>\$24,307,000</b>
		<b>Placements</b>	<b>113</b>	<b>145</b>	<b>32</b>
		<i>General Fund</i>	28,208,000	46,830,000	18,622,000
		<i>Other</i>	3,228,000	8,913,000	5,685,000
<b>14. Placement Continuation</b>	<b>Total</b>				
<b>A) Operations</b>	<b>Total</b>	\$309,000	\$423,000	\$114,000	
1. Client Program Coordinators (CPC)		79,000	91,000	12,000	
2. Nurse Consultant		0	102,000	102,000	
3. State Employees in the Community		0	0	0	
4. Clinical Staff		0	0	0	
5. Increased Access to Oral Health Care		230,000	230,000	0	
	<i>General Fund</i>	130,000	166,000	36,000	
	<i>Other</i>	179,000	257,000	78,000	

**PLAN TO CLOSE AGNEWS DEVELOPMENTAL CENTER  
FISCAL SUMMARY COMPARISON  
CURRENT YEAR 2006-07 TO BUDGET YEAR 2007-08**

		<b>2007-08 November Estimate CY 2006-07</b>	<b>2007-08 November Estimate BY 2007-08</b>	<b>Change from CY 2006-07 to BY 2007-08</b>
<b>B) Purchase of Services (POS)</b>	Total	\$17,473,000	\$43,792,000	\$26,319,000
	Prior Year Placements	63	113	50
	<i>Placement Continuation (property management and lease)</i>	<i>17,473,000</i>	<i>43,792,000</i>	<i>26,319,000</i>
	General Fund	13,731,000	32,980,000	19,249,000
	Other	3,742,000	10,812,000	7,070,000
<i>(FYI: State Employees in the Community costs included in POS above)</i>		<i>(0)</i>	<i>(0)</i>	<i>(0)</i>
<b>Total Placement Continuation (A+B)</b>	Total	\$17,782,000	\$44,215,000	\$26,433,000
	Prior Year Placements	63	113	50
	General Fund	13,861,000	33,146,000	19,285,000
	Other	3,921,000	11,069,000	7,148,000
<b>Total Regional Center Costs of Closure</b>	Total	\$49,218,000	\$99,958,000	\$50,740,000
	General Fund	42,069,000	79,976,000	37,907,000
	Other	7,149,000	19,982,000	12,833,000
<b>GRAND TOTAL - COSTS OF CLOSURE</b>	Total	\$133,069,000	\$173,377,000	\$40,308,000
	PYs	980.0	812.5	-167.5
	General Fund	83,878,000	116,229,759	32,351,759
	Other	49,191,000	57,147,241	7,956,241
	Year Ending Population	161	0	-161

**AGNEWS POPULATION MOVEMENT**

*Current Year 2006-07*

	<b>2006-07 Budget Act</b>	<b>2007-08 November Estimate CY 2006-07</b>	<b>Change from 2006-07 Budget Act</b>	<b>Percent Annual Change</b>
	<b>A</b>	<b>B</b>	<b>B - A</b>	
<b>Beginning Population, July 1, 2006</b>	254	280	26	10.2%
Admissions	0	0	0	0.0%
Placements	-119	-113	6	-5.0%
Deaths	-6	-6	0	0.0%
<b>Ending Population, June 30, 2007</b>	<b>129</b>	<b>161</b>	<b>32</b>	<b>24.8%</b>
<b>Average Population</b>	<b>197</b>	<b>203</b>	<b>6</b>	<b>3.0%</b>
On Leave	-1	-1	0	0.0%
<b>Total Average In-Center Population</b>	<b>196</b>	<b>202</b>	<b>6</b>	<b>3.1%</b>

**AGNEWS POPULATION MOVEMENT**

*Budget Year 2007-08*

	<b>2006-07 Budget Act</b>	<b>2007-08 November Estimate BY 2007-08</b>	<b>Change from 2006-07 Budget Act</b>	<b>Percent Annual Change</b>
	<b>A</b>	<b>B</b>	<b>B - A</b>	
<b>Beginning Population, July 1, 2007</b>	254	161	-93	-36.6%
Admissions	0	0	0	0.0%
Placements *	-119	-155	-36	30.3%
Deaths	-6	-6	0	0.0%
<b>Ending Population, June 30, 2008</b>	<b>129</b>	<b>0</b>	<b>-129</b>	<b>-100.0%</b>
<b>Average Population</b>	<b>197</b>	<b>83</b>	<b>-114</b>	<b>-57.9%</b>
On Leave	-1	-1	0	0.0%
<b>Total Average In-Center Population</b>	<b>196</b>	<b>82</b>	<b>-114</b>	<b>-58.2%</b>

\* Includes 145 placements into the community and 10 transfers to other developmental centers.

## Executive Highlights

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## **EXECUTIVE HIGHLIGHTS**

### **CURRENT YEAR 2006-07**

#### **PROGRAM UPDATES:**

##### **STAFFING ADJUSTMENT: \$691,000 increase**

The November Estimate reflects an increase of 6 consumers compared to the 2006-07 May Revision (from 2,828 to 2,834). This projected increase in developmental center (DC) population is due to the reduction in projected placements of Agnews DC consumers into the community as a result of delays in development of specialized housing in the community for these consumers. As a result, an additional 8.0 positions and \$691,000 (\$368,000 General Fund and \$323,000 Reimbursements) are required to support the increased population.

The Budget Act of 2006, Item 4300-003-0001, Provision 3 allows for the transfer of funds between Item 4300-003-0001, the DC appropriation, and Item 4300-101-0001, the Regional Centers (RC) appropriation. Pursuant to this provision, the Department of Developmental Services (Department) is transferring Community Placement Plan funds from the RC program to the DC program to offset the increased need for General Fund.

The current year staffing need has been updated based on the change in projected average in-center population, resulting in the following increases:

- Level-of-Care – 5.0 positions and \$468,000 (\$250,000 General Fund and \$218,000 Reimbursements);
- Non-Level-of-Care – 3.0 positions and \$223,000 (\$119,000 General Fund and \$104,000 Reimbursements).

##### **AGNEWS DEVELOPMENTAL CENTER CLOSURE: \$3.8 million decrease**

#### **Agnews Closure Plan Update**

Pursuant to the Budget Act of 2006, Item 4300-001-0001, Provision 6, Section G of this Estimate provides a comprehensive update on the status of the Agnews Closure Plan released in January 2005.

## **CURRENT YEAR 2006-07 (continued)**

### **State Employees in the Community**

The November Estimate includes a decrease of \$3,819,000 in Reimbursements for state employees to provide services in the community. Due to the current status of the closure process and the time required for acquisition and completion of housing, the pace of state employees moving to community-based services is more gradual than originally anticipated.

### **REVISED MAJOR ASSUMPTIONS:**

#### **REALIGNMENT OF PROPOSITION 98 FUNDING: \$900,000 decrease**

The November Estimate includes a decrease of \$900,000 Proposition 98 General Fund funding for AB 1202 contracts that reimburse community education agencies for the cost of educating children from state facilities who attend school in surrounding communities. Fewer school age children are residing in developmental centers reducing expenditures for the special education services in a community school setting.

#### **AGNEWS DEVELOPMENTAL CENTER CLOSURE: \$366,000 increase**

##### **Agnews Closure Plan – Staffing Plan**

The November Estimate includes an increase of \$366,000 (\$195,000 General Fund and \$171,000 Reimbursements) and, effective January 1, 2007, 10.0 limited-term positions (5.0 personnel years) until closure, for staffing and associated funding to support consumer transition processes as required by the Lanterman Act, as the population declines under the Agnews Closure Plan.

### **NEW MAJOR ASSUMPTIONS:**

#### **MINIMUM WAGE INCREASE: (\$122,000)**

The DC budget will be increased by \$122,000 (\$71,000 General Fund and \$51,000 Reimbursements) to implement the minimum wage increase from \$6.75 to \$7.50 effective January 1, 2007 per Chapter 230, Statutes of 2006 (Assembly Bill 1835). The minimum wage increase directly impacts the resident wages paid to support the DC/CF vocational programs as resident wages are based on minimum wage and/or prevailing wage for specific job tasks.

## **EXECUTIVE HIGHLIGHTS**

### **BUDGET YEAR 2007-08**

#### **PROGRAM UPDATES:**

#### **STAFFING ADJUSTMENT: \$30.5 million decrease**

The November Estimate reflects a decrease of 239 consumers compared to the May Revision (from 2,828 to 2,589). This projected decrease in DC population is due to projected transfers of DC consumers into the community. The net result is a decrease of 367.5 positions and savings of \$30,540,000 (\$16,937,000 General Fund and \$13,603,000 Reimbursements).

The 2007-08 staffing need is based on the estimated average in-center population:

- Level-of-Care – decrease of 263.0 positions and \$23,852,000 (\$13,252,000 General Fund and \$10,600,000 Reimbursements); and
- Non-Level-of-Care – decrease of 104.5 positions and \$6,688,000 (\$3,685,000 General Fund and \$3,003,000 Reimbursements).

#### **AGNEWS DEVELOPMENTAL CENTER CLOSURE: \$4.0 million increase**

##### **Agnews Closure Plan Update**

Pursuant to the Budget Act of 2006, Item 4300-001-0001, Provision 6, Section G of this Estimate provides a comprehensive update on the status of the Agnews Closure Plan released in January 2005.

##### **Consumer Transfers to Other Developmental Centers**

A decrease of \$430,000 (\$229,000 General Fund and \$201,000 Reimbursements) reflects the reduction in costs associated with the transfer of 10 consumers from Agnews DC to other developmental centers.

##### **Staff Costs for Closure**

An increase of \$4,202,000 (\$4,243,000 General Fund increase and \$41,000 Reimbursement decrease) is needed to fund costs associated with staff transition, staff training, and staff escorts to transport consumers to their placements.

## **BUDGET YEAR 2007-08 (continued)**

### **Facility Preparation**

An increase of \$73,000 (\$39,000 General Fund and \$34,000 Reimbursements) is needed to fund the costs of items such as furnishings, clothing, and personal items to prepare Sonoma DC to receive Agnews DC consumers.

### **Consumer Relocation Costs**

An increase of \$105,000 (\$56,000 General Fund and \$49,000 Reimbursements) is needed to fund relocation costs for Agnews DC consumers, e.g., moving vans and transportation vehicles.

## **REVISED MAJOR ASSUMPTIONS:**

### **REALIGNMENT OF PROPOSITION 98: \$900,000 decrease**

The November Estimate includes a decrease of \$900,000 Proposition 98 General Fund for AB 1202 contracts that reimburse community education agencies for the cost of educating children from state facilities who attend school in surrounding communities. Fewer school age children are residing in developmental centers reducing expenditures for the special education services in a community school setting.

### **AGNEWS DEVELOPMENTAL CENTER CLOSURE: \$731,000 increase**

#### **Agnews Closure Plan – Staffing Plan**

The November Estimate includes an increase of \$731,000 (\$390,000 General Fund and \$341,000 Reimbursements) and 10.0 limited-term positions until closure, for staffing and associated funding to support consumer transition processes as required by the Lanterman Act, as the population declines under the Agnews Closure Plan.

## **NEW MAJOR ASSUMPTIONS:**

### **PORTERVILLE DEVELOPMENTAL CENTER SECURE TREATMENT PROGRAM EXPANSION: \$1,067,000 increase**

An increase of 5.0 positions effective January 1, 2008 and \$1,067,000 (\$1,055,000 General Fund and \$12,000 Reimbursements) is needed to acquire essential furnishings and equipment needed for licensing by the Department of Health Services and to

**BUDGET YEAR 2007-08 (continued)**

facilitate timely activation of operations upon completion of construction for the 96-bed expansion and recreation complex.

**MEETING CENTERS FOR MEDICARE AND MEDICAID SERVICES QUALITY MANAGEMENT SYSTEM REQUIREMENTS: \$446,000 increase**

An increase of 5.0 positions and \$446,000 (\$264,000 General Fund and \$182,000 Reimbursements) is needed to replace the five limited-term positions approved in 2005-06 to help develop, implement, and maintain Quality Management System improvements in the DC/CFs.

**MINIMUM WAGE INCREASE: (\$326,000)**

The DC budget will be increased by \$326,000 (\$190,000 General Fund and \$136,000 Reimbursements) to implement the minimum wage increase from \$6.75 to \$7.50 effective January 1, 2007, and from \$7.50 to \$8.00 effective January 1, 2008 per Chapter 230, Statutes of 2006 (Assembly Bill 1835). The minimum wage increases directly impact the resident wages paid to support the DC/CF vocational programs as resident wages are based on minimum wage and/or prevailing wage for specific job tasks.

## Future Fiscal Issues/Major Assumptions

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## **FUTURE FISCAL ISSUES AND MAJOR ASSUMPTIONS**

### **FUTURE FISCAL ISSUES**

#### **New and Changing Sex Offender Requirements**

In response to the public outcry for greater safeguards against sexual predators, several efforts are underway in California that will impact state and local programs having responsibilities for sex offenders. Although not specifically aimed at the Department of Developmental Services (Department) to date, the Department's programs will also be impacted. Some individuals with developmental disabilities exhibit sexually inappropriate behaviors and some have actually been convicted of sex offenses. In the Developmental Centers Program, there are currently 38 consumers in the Secure Treatment Program (STP) at Porterville Developmental Center (Porterville) who have been identified as sex offenders under Penal Code section 290 (PC 290) and are required to register. Of the 38 identified consumers, eight consumers are currently there as a condition of probation or parole, but an unknown number of them are serving probation or parole for a sex offense under PC 290.

Following are activities underway in 2006 that have led or will lead to greater restrictions, sanctions or safeguards dealing with sex offenders that potentially will result in a cost impact to the Developmental Centers Program.

New Legislation. Pursuant to Senate Bills (SB) 1178 (Chapter 336, Statutes of 2006, Speier) and 1128 (Chapter 337, Statutes of 2006, Alquist), and Assembly Bill (AB) 1849 (Chapter 886, Statutes of 2006, Leslie), effective immediately, every person required to register as a sex offender pursuant to PC 290 shall be assessed for the risk of reoffending. Additionally, the Penal Code amendments require every adult male, female, and juvenile who is assessed to be a High Risk Sex Offender (HRSO) to be continuously electronically monitored by Global Positioning System (GPS) while on probation or parole. Also included in the Penal Code amendments are increases in sentences as well as new crimes dealing with sex offenses.

Representatives from the California Department of Corrections and Rehabilitation (CDCR), the Department of Mental Health (DMH) and the Attorney General's Office will comprise the newly established State-Authorized Risk Assessment Tool for Sex Offenders (SARATSO) Review Committee (Committee). The Committee is responsible for developing and evaluating the appropriate risk assessment tool. The Committee is also responsible for developing a training program for parole officers, probation officers and local law enforcement personnel in utilization of the assessment tool which CDCR will oversee.

## **FUTURE FISCAL ISSUES (Continued)**

Based on the language in the new laws, the greatest cost impact will be to CDCR and probation and parole authorities for performing the assessments and implementing continuous electronic monitoring. The new laws add responsibilities to the Department, but the costs do not appear to be significant at this time. However, the law requires that the Committee establish a plan and a schedule for assessing eligible persons not assessed by CDCR, DMH, and probation departments. Until the Committee fully considers and acts on the various populations of sex offenders, and specifically the population in the STP at Porterville, the full impact to the Department's programs will not be known.

Future Legislation. Other legislative actions may also impact the Department's programs in the future. Under Executive Order S-08-06, the High Risk Sex Offender Task Force has developed and reported recommendations to improve policies to address placement, monitoring, and enforcement of parole policies with regard to high risk sex offenders. Many of the recommendations were considered by the Legislature and some of the recommendations were incorporated into SBs 1178 and 1128 and AB 1849. Another Executive Order, S-15-06, subsequently established the High Risk Sex Offender and Sexually Violent Predator Task Force to continue to develop recommendations to deal with specified sex offender issues. Future legislation can be expected in this area, which will need to be evaluated for cost and program impacts.

Proposition 83. Proposition 83 was passed by the voters of California in the November 2006 elections. The Department can expect a significant cost impact to implement continuous electronic monitoring for the life of PC 290 sex offenders, as well as possible STP population increases due to broadening the definition and punishment for certain sex offenses and adding further residency restrictions in the community, making community placements more difficult.

The sensitivity of sex offender issues and the lack of tolerance in local communities will undoubtedly increase the pressure for courts to order sex offenders into locked facilities, including the STP at Porterville. The STP is already over capacity as evidenced by a waiting list. A capital outlay project is currently underway to add 96 beds. However, that capacity will not be ready for occupancy until the summer of 2008. That capacity may not be sufficient to meet the growing need to institutionalize sex offenders as established by recent legislation and Proposition 83. In all likelihood, new capacity will be needed, driving future ongoing operational costs to support this population funded entirely by the General Fund.

## **REVISED MAJOR ASSUMPTIONS**

### **Realignment of Proposition 98 Funding**

The formula for allocations to developmental centers and state hospitals for AB 1202 contracts was determined when developmental center and state hospital populations were larger than they are now and included more school-age children. In the last two decades, both developmental centers and state hospitals have limited the admission of children whenever possible and deflected children and adolescents to more appropriate community placements when these were available. Consequently, fewer school age children who are eligible for special education services in a community school setting reside in developmental centers and state hospitals, and accordingly, AB 1202 contract expenditures have been significantly reduced.

#### **Change from Prior Estimate:**

This is a revised assumption beginning in CY 2006-07.

### **Agnews Closure Plan Implementation – Staffing Plan**

A revised methodology is needed to provide reasonable staffing and associated funding at the Agnews Developmental Center (Agnews) to support administrative and operational requirements as the population declines under the Agnews Closure Plan. Without an adjustment to the standard methodology for 2006-07 and 2007-08, the result will be significant reductions in authorized positions at Agnews. The ability to close Agnews in a safe and timely manner that will retain certification and maintain health and safety throughout the closure process requires adequate staff resources.

#### **Change from Prior Estimate:**

This is a revised assumption beginning in CY 2006-07.

## **NEW MAJOR ASSUMPTIONS**

### **Porterville Developmental Center Secure Treatment Program Expansion**

Five positions with associated operating expenses are needed to activate the Porterville Developmental Center's Secure Treatment Program expansion. Funding is also needed to acquire Group 2 essential furnishings and equipment. While the scheduled construction completion date for the expansion project is June 2008, funding is requested in 2007-08 for those items or projects that require a long lead time for planning or acquisition and that must be in place early to prepare for occupancy, and for staff who are required to facilitate timely activation of operations upon completion of construction.

#### **Change from Prior Estimate:**

This is a new assumption beginning in BY 2007-08.

### **Meeting Centers for Medicare and Medicaid Services Quality Management System Requirements**

Five limited-term Standards Compliance Coordinator positions need to be permanently established to implement and maintain the newly developed Quality Management System in the Developmental Centers and State Operated Community Facilities. One position each would be established at Fairview, Lanterman, Porterville and Sonoma Developmental Centers, one-half position would be established at Canyon Springs Community Facility, and one-half position at Sierra Vista Community Facility.

#### **Change from Prior Estimate:**

This is a new assumption beginning in BY 2007-08.

### **Minimum Wage Increase**

The Developmental Centers (DC) and Community Facilities (CF) budget will be increased in 2006-07 and 2007-08 to implement the minimum wage increases per Chapter 230, Statutes of 2006 (Assembly Bill 1835). The minimum wage will increase from \$6.75 to \$7.50 effective January 1, 2007 and from \$7.50 to \$8.00 effective January 1, 2008. The minimum wage increase directly impacts the resident wages paid to support the DC/CF vocational programs as resident wages are based on minimum wage and/or prevailing wage for specific job tasks.

**NEW MAJOR ASSUMPTIONS (Continued)**

**Change from Prior Estimate:**

This is a new assumption beginning in CY 2006-07.

## **UNCHANGED MAJOR ASSUMPTIONS**

### **Population**

- Admissions
- Deaths
- Placements

### **Personal Services**

- Staffing
  - Level-of-Care
  - Non-Level-of-Care
- Health Insurance Portability and Accountability Act
- Employee Compensation
- Medicare Part D Prescription Drug Benefits
- Quality Management System
- Porterville Intensive Behavioral Treatment Residence
- Office of Protective Services

### **Projects**

- Clients' Rights Advocacy Services and Volunteer Advocacy Services
- Foster Grandparent and Senior Companion Programs
- Wellness Contract
- Contracts for Federal Compliance

### **Agnews Developmental Center Closure**

- Population Adjustment
- Staff Support Costs
- Consumer Transfers to Other Developmental Centers
- Staff Costs for Closure
- Facility Preparation
- Consumer Relocation Costs

### **Operating Expenses**

- Occupational/Physical Therapy Contract Services
- Speech Therapy Contract Services
- Foodstuff
- Drugs, Laboratory and Supply
- Clothing
- Janitorial Contract Costs
- AB 1202 Education Contracts with Local Counties
- Community Facilities Contract-Out Services
- ICF-DD Quality Assurance Fee

**UNCHANGED MAJOR ASSUMPTIONS (Continued)**

Capitol People First Contract  
Debt Services

**Funding Sources**

Non-Proposition 98 General Fund  
Proposition 98 General Fund  
Reimbursements  
    Title XIX/Medi-Cal  
    Other Reimbursements  
        Part B – Individuals with Disabilities Education Act  
        Miscellaneous Reimbursements  
Federal Funds  
Lottery Education Fund (Non-Budget Act)

**DISCONTINUED MAJOR ASSUMPTIONS**

**Time Limited / No Longer Applicable**

	<b><u>CY 2006-07</u></b>	<b><u>BY 2007-08</u></b>
<b>Workers' Compensation One-Time Settlement Funding</b> One-time funding, originally budgeted in 2005-06 to aggressively pursue settlement of existing claims through the compromise and release process thereby reducing the Department's long-term liability.		Discontinued
<b>Quality Management System Requirements (QMS) - Limited Term Positions</b> Funding and position authority for five two-year limited term positions to assist in evaluation of current business processes, and to determine and make necessary process improvements.		Discontinued
<b>Capitol People First Contract</b> One-time costs for a contract with an outside law firm in defense of the Department and others regarding developmental center issues in the Capitol People First v. the Department lawsuit.		Discontinued

## Population

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**SYSTEMWIDE POPULATION**  
Current Year 2006-07

	<b>2006-07 Enacted Budget CY 2006-07</b>	<b>2007-08 November Estimate CY 2006-07</b>	<b>Change From Enacted to November Estimate CY 2006-07</b>	<b>Percent Annual Change</b>
<b>Beginning Population, July 1, 2006</b>	2,966	2,966	0	0.0%
Admissions	105	105	0	0.0%
Placements	-295	-289	6	-2.0%
Deaths	-63	-63	0	0.0%
<b>Ending Population, June 30, 2007</b>	<b>2,713</b>	<b>2,719</b>	<b>6</b>	<b>0.2%</b>
<b>Average Population</b>	<b>2,853</b>	<b>2,859</b>	<b>6</b>	<b>0.2%</b>
On Leave	-25	-25	0	0.0%
<b>Total Average In-Center Population</b>	<b>2,828</b>	<b>2,834</b>	<b>6</b>	<b>0.2%</b>
<i>DC Population Detail:</i>				
Agnews	196	202	6	3.1%
Canyon Springs	61	61	0	0.0%
Fairview	603	603	0	0.0%
Lanterman	503	503	0	0.0%
Porterville	700	700	0	0.0%
Sierra Vista	46	46	0	0.0%
Sonoma	719	719	0	0.0%
<b>Total Average In-Center Population by DC</b>	<b>2,828</b>	<b>2,834</b>	<b>6</b>	<b>0.2%</b>

**Assumptions/Methodology:**

1. The current year Developmental Center (DC) average in-center population is estimated to increase by 6 consumers (from 2,828 to 2,834). This increase in estimated DC population reflects the reduction in projected placements of Agnews DC consumers into the community.
2. Historical data indicates that more placements occur in the second half of the fiscal year due to resource development (new providers/beds) in the community. The average in-center population estimate is weighted to account for this factor.

**SYSTEMWIDE POPULATION**  
*Budget Year 2007-08*

	<b>2006-07 Enacted Budget CY 2006-07</b>	<b>2007-08 November Estimate BY 2007-08</b>	<b>Change From Enacted to November Estimate BY 2007-08</b>	<b>Percent Annual Change</b>
<b>Beginning Population, July 1, 2007</b>	2,966	2,719	-247	-8.3%
Admissions	105	150	45	42.9%
Placements	-295	-321	-26	8.8%
Deaths	-63	-69	-6	9.5%
<b>Ending Population, June 30, 2008</b>	<b>2,713</b>	<b>2,479</b>	<b>-234</b>	<b>-8.6%</b>
<b>Average Population</b>	<b>2,853</b>	<b>2,613</b>	<b>-240</b>	<b>-8.4%</b>
On Leave	-25	-24	1	-4.0%
<b>Total Average In-Center Population</b>	<b>2,828</b>	<b>2,589</b>	<b>-239</b>	<b>-8.5%</b>
<i>DC Population Detail:</i>				
Agnews	196	82	-114	-58.2%
Canyon Springs	61	53	-8	-13.1%
Fairview	603	563	-40	-6.6%
Lanterman	503	488	-15	-3.0%
Porterville	700	673	-27	-3.9%
Sierra Vista	46	49	3	6.5%
Sonoma	719	681	-38	-5.3%
<b>Total Average In-Center Population by DC</b>	<b>2,828</b>	<b>2,589</b>	<b>-239</b>	<b>-8.5%</b>

**Assumptions/Methodology:**

1. Using the assumptions from population projections and updated data for admissions, placements, and deaths, the 2007-08 estimated average in-center decrease in population is 239 residents.
2. Historical data indicates that more placements occur in the second half of the fiscal year due to resource development (new providers/beds) in the community. The average in-center population estimate is weighted to account for this factor.
3. It is assumed that all Agnews Developmental Center consumers will be placed in the community or transferred to other developmental centers as of June 30, 2008.

**SYSTEMWIDE POPULATION**

Current Year 2006-07 to Budget Year 2007-08

	<b>2007-08 November Estimate CY 2007-08</b>	<b>2007-08 November Estimate BY 2007-08</b>	<b>Change From CY 2006-07 to BY 2007-08</b>
<b>Beginning Population, July 1, 2007</b>	2,966	2,719	-247
Admissions	105	150	45
Placements	-289	-321	-32
Deaths	-63	-69	-6
<b>Ending Population, June 30, 2008</b>	<b>2,719</b>	<b>2,479</b>	<b>-240</b>
<b>Average Population</b>	<b>2,859</b>	<b>2,613</b>	<b>-246</b>
On Leave	-25	-24	1
<b>Total Average In-Center Population</b>	<b>2,834</b>	<b>2,589</b>	<b>-245</b>
<i>DC Population Detail:</i>			
Agnews	202	82	-120
Canyon Springs	61	53	-8
Fairview	603	563	-40
Lanterman	503	488	-15
Porterville	700	673	-27
Sierra Vista	46	49	3
Sonoma	719	681	-38
<b>Total Average In-Center Population by DC</b>	<b>2,834</b>	<b>2,589</b>	<b>-245</b>

**CLIENT DEVELOPMENT EVALUATION REPORT (CDER) MIX PERCENTAGE  
(SYSTEMWIDE)**

**Comparison of Enacted CY 2006-07 Budget to the 2007-08 November Estimate for BY 2007-08**

Preferred Programs:	CMC 1	PD 2	AUT 3	SENS 4	CD 5	BEH 6	HAB 7	SOC 8	P&S 9
2006-07 Enacted Budget (Based on CY 2006-07)	38.2%	7.5%	9.5%	1.4%	0.0%	38.5%	1.4%	2.1%	1.4%
2007-08 November Estimate (Based on BY 2007-08)	36.1%	7.8%	10.0%	1.3%	0.0%	39.3%	1.5%	2.5%	1.6%
Difference	-2.1%	0.3%	0.5%	-0.1%	0.0%	0.8%	0.1%	0.4%	0.1%

*Program Type:*

CMC: Continuing Medical Care  
 PD: Physical Development  
 AUT: Autism  
 SENS: Sensory Development  
 CD: Child Development

BEH: Behavior Development  
 HAB: Habilitation  
 SOC: Social Development  
 P&S: Physical and Social Development

## Statements of Change

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## Program Update Staffing Adjustments

### DESCRIPTION:

Staffing includes personal services and operating expenses for level-of-care (LOC) and non-level-of-care (NLOC) facility staff.

### KEY DATA/ASSUMPTIONS:

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
• Population data is from the Client Development Evaluation Report.		
Developmental Center (DC) Average Population	2,859	2,613
Leave Beds	-25	-24
Net In-Center Population	2,834	2,589
Change from Enacted Budget:		
CY: 6 consumers		
BY: -239 consumers		

### METHODOLOGY:

#### STAFFING

#### PERSONAL SERVICES:

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
• Salaries and Wages (LOC and NLOC)	\$501,000	-\$21,774,000
Total Estimated Number of Positions:		
CY 2006-07	7,732.1	
BY 2007-08	7,364.1	
See Attachment A-1 and B-1 for LOC staffing detail.		
See Attachment A-2 and B-2 for NLOC staffing detail.		
• Staff Benefits	167,000	-7,432,000
<b>TOTAL PERSONAL SERVICES</b>	<b>\$668,000</b>	<b>-\$29,206,000</b>

#### OPERATING EXPENSE & EQUIPMENT:

See Attachment A-1 and B-1 for detail.	<b>\$23,000</b>	<b>-\$1,334,000</b>
<b>NOVEMBER ESTIMATE REQUEST:</b>	<b>\$691,000</b>	<b>-\$30,540,000</b>

### FUNDING:

The funding for staff expenditures is based on the estimated Medi-Cal eligibility of the residents in each facility. Federal Financial Participation is estimated using the federal medical assistance percentage rate provided by the Department of Health Services (50% General Fund and 50% Reimbursements for 2006-07 and 2007-08).

### REASON FOR CHANGE:

The change in expenditures in the current year and budget year is based on updated population data.

**Program Update**  
**Staffing Adjustments (Continued)**

<b>TOTAL EXPENDITURES:</b>	<u>CY 2006-07</u>	<u>BY 2007-08</u>
<b>TOTAL REQUEST</b>	<b>\$691,000</b>	<b>-\$30,540,000</b>
<b>General Fund Total</b>	<b>\$369,000</b>	<b>-\$16,937,000</b>
<i>General Fund Match</i>	<i>322,000</i>	<i>-13,603,000</i>
<i>General Fund Other</i>	<i>47,000</i>	<i>-3,334,000</i>
<b>Reimbursements Total</b>	<b>\$322,000</b>	<b>-\$13,603,000</b>
<i>Medi-Cal Reimbursements</i>	<i>322,000</i>	<i>-13,603,000</i>
 <b>TOTAL POSITIONS</b>	 <b>8.0</b>	 <b>-367.5</b>

**Attachment A-1**

**POPULATION ADJUSTMENTS  
LEVEL-OF- CARE STAFFING**

**CURRENT YEAR 2006-07**

	2006-07	2007-08	Net Change	Fiscal Impact		
	May Revision 196	November Estimate 202			003	004
<b>PROFESSIONAL:</b>						
Medical	4.0	4.0	0.0	\$0	\$0	\$0
Psychology	9.0	9.0	0.0	0	0	0
Social Work	7.0	7.0	0.0	0	0	0
Rehab Therapy	8.0	8.0	0.0	0	0	0
Education	11.0	11.0	0.0	0	0	0
<b>Subtotal, Professional</b>	<b>39.0</b>	<b>39.0</b>	<b>0.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>NURSING:</b>	334.0	339.0	5.0	312,300	312,300	0
<b>Subtotal : LOC Permanent</b>	<b>373.0</b>	<b>378.0</b>	<b>5.0</b>	<b>\$312,300</b>	<b>\$312,300</b>	<b>\$0</b>
<b>TEMPORARY HELP</b>						
Physical Therapy (PT)/Occupational Therapy (OT)				\$14,586	\$14,586	\$0
Speech Therapy (ST)				5,826	5,826	0
<b>Subtotal, Temporary Help</b>				<b>\$20,412</b>	<b>\$20,412</b>	<b>\$0</b>
<b>Subtotal, Salaries and Wages</b>				<b>\$332,712</b>	<b>\$332,712</b>	<b>\$0</b>
<b>STAFF BENEFITS</b>						
OASDI/Medicare				\$24,122	\$24,122	\$0
Health				34,467	34,467	0
Retirement				54,227	54,227	0
<b>Subtotal, Staff Benefits</b>				<b>112,816</b>	<b>112,816</b>	<b>0</b>
<b>TOTAL, PERSONAL SERVICES</b>				<b>\$445,528</b>	<b>\$445,528</b>	<b>\$0</b>
<b>OPERATING EXPENSE &amp; EQUIPMENT</b>						
OT/PT Contract Services				\$0	\$0	\$0
ST Contract Services				0	0	0
Foodstuffs	\$1,829			10,974	10,974	0
Drugs, Lab & Supply	\$1,371			8,226	8,226	0
Clothing	\$586			3,516	3,516	0
<b>TOTAL, OPERATING EXPENSE &amp; EQUIPMENT</b>				<b>\$22,716</b>	<b>\$22,716</b>	<b>\$0</b>
<b>TOTAL REQUEST</b>				<b>\$468,244</b>	<b>\$468,244</b>	<b>\$0</b>
<b>ROUNDING</b>				<b>\$468,000</b>	<b>\$468,000</b>	<b>\$0</b>
<b>TOTAL FUNDING</b>				<b>\$468,000</b>	<b>\$468,000</b>	<b>\$0</b>
<b>General Fund</b>				<b>\$250,000</b>	<b>\$250,000</b>	<b>\$0</b>
General Fund Match				218,000	218,000	0
General Fund Other				32,000	32,000	0
<b>Reimbursements</b>				<b>\$218,000</b>	<b>\$218,000</b>	<b>\$0</b>
Medi-Cal Reimbursements				218,000	218,000	0

**Attachment A-2**

**POPULATION ADJUSTMENTS  
NON-LEVEL-OF-CARE STAFFING**

**CURRENT YEAR 2006-07**

Net Position Change	Fiscal Impact
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**CLINICAL SERVICES**

Psychiatric Technician (Escort)	1.0	\$51,888
Pharmacist I/Pharm Asst	1.0	80,088

**SUPPORT SERVICES**

Food Service Worker I/II - Production	1.0	36,180
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<b>Subtotal, Salaries and Wages</b>	<b>3.0</b>	<b>\$168,156</b>
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**STAFF BENEFITS**

OASDI/Medicare		\$12,191
Health		17,817
Retirement		24,655

<b>Subtotal, Staff Benefits</b>		<b>\$54,663</b>
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<b>TOTAL, PERSONAL SERVICES</b>	<b>3.0</b>	<b>\$222,819</b>
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<b>ROUNDING</b>		\$223,000
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<b>TOTAL FUNDING</b>		<b>\$223,000</b>
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<b>General Fund</b>		<b>\$119,000</b>
<i>General Fund Match</i>		104,000
<i>General Fund Other</i>		15,000

<b>Reimbursements</b>		<b>\$104,000</b>
<i>Medi-Cal Reimbursements</i>		104,000

Attachment B-1

**POPULATION ADJUSTMENTS  
LEVEL-OF- CARE STAFFING**

**BUDGET YEAR 2007-08**

	2006-07	2007-08	Net Change	Fiscal Impact		
	May Revision	November Estimate			003	004
<b>PROFESSIONAL:</b>						
Medical	49.0	44.0	-5.0	-\$557,948	-\$557,948	\$0
Psychology	103.0	96.0	-7.0	-513,831	-513,831	0
Social Work	80.0	75.0	-5.0	-291,904	-291,904	0
Rehab Therapy	108.0	100.0	-8.0	-394,069	-394,069	0
Education	137.0	129.0	-8.0	-523,111	0	-523,111
<b>Subtotal, Professional</b>	<b>477.0</b>	<b>444.0</b>	<b>-33.0</b>	<b>-\$2,280,863</b>	<b>-\$1,757,752</b>	<b>-\$523,111</b>
<b>NURSING:</b>	2,939.0	2,709.0	-230.0	-14,004,283	-14,004,283	0
<b>Subtotal : LOC Permanent</b>	<b>3,416.0</b>	<b>3,153.0</b>	<b>-263.0</b>	<b>-\$16,285,146</b>	<b>-\$15,762,035</b>	<b>-\$523,111</b>
<b>TEMPORARY HELP</b>						
Physical Therapy (PT)/Occupational Therapy (OT)				-\$451,307	-\$451,307	\$0
Speech Therapy (ST)				-110,689	0	-110,689
<b>Subtotal, Temporary Help</b>				<b>-\$561,996</b>	<b>-\$451,307</b>	<b>-\$110,689</b>
<b>Subtotal, Salaries and Wages</b>				<b>-\$16,847,142</b>	<b>-\$16,213,342</b>	<b>-\$633,800</b>
<b>STAFF BENEFITS</b>						
OASDI/Medicare				-\$1,188,003	-\$1,142,922	-\$45,081
Health				-1,806,910	-1,765,480	-41,430
Retirement				-2,677,107	-2,606,363	-70,744
<b>Subtotal, Staff Benefits</b>				<b>-5,672,020</b>	<b>-5,514,765</b>	<b>-157,255</b>
<b>TOTAL, PERSONAL SERVICES</b>				<b>-\$22,519,162</b>	<b>-\$21,728,107</b>	<b>-\$791,055</b>
<b>OPERATING EXPENSE &amp; EQUIPMENT</b>						
OT/PT Contract Services				-\$234,387	-\$234,387	\$0
ST Contract Services				-194,361	0	-194,361
Foodstuffs	\$1,829			-437,131	-437,131	0
Drugs, Lab & Supply	\$1,371			-327,669	-327,669	0
Clothing	\$586			-140,054	-140,054	0
<b>TOTAL, OPERATING EXPENSE &amp; EQUIPMENT</b>				<b>-\$1,333,602</b>	<b>-\$1,139,241</b>	<b>-\$194,361</b>
<b>TOTAL REQUEST</b>				<b>-\$23,852,763</b>	<b>-\$22,867,348</b>	<b>-\$985,416</b>
<b>ROUNDING</b>				<b>-\$23,852,000</b>	<b>-\$22,867,000</b>	<b>-\$985,000</b>
<b>TOTAL FUNDING</b>				<b>-\$23,852,000</b>	<b>-\$22,867,000</b>	<b>-\$985,000</b>
<b>General Fund</b>				<b>-\$13,252,000</b>	<b>-\$12,713,000</b>	<b>-\$539,000</b>
General Fund Match				-10,600,000	-10,154,000	-446,000
General Fund Other				-2,652,000	-2,559,000	-93,000
<b>Reimbursements</b>				<b>-\$10,600,000</b>	<b>-\$10,154,000</b>	<b>-\$446,000</b>
Medi-Cal Reimbursements				-10,600,000	-10,154,000	-446,000

**Attachment B-2**

**POPULATION ADJUSTMENTS  
NON-LEVEL-OF-CARE STAFFING**

**BUDGET YEAR 2007-08**

Net Position Change	Net Change in Costs
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**ADMINISTRATION**

Health Records Technician	-9.0	-\$323,460
Accounting Technician/Clerk/Office Technician	-1.0	-32,466
Personnel Supervisor II	-1.0	-54,144
Personnel Specialist	-3.0	-123,282

**CLINICAL SERVICES**

Residence Manager	-5.0	-358,356
Shift Supervisor	-19.0	-1,140,390
Health Services Specialist	-8.0	-579,840
Chaplain	-1.0	-50,838
Barbershop/Beauty Shop Manager	-1.0	-30,864
Psychiatric Technician (Escorts)	-1.0	-32,694
Psychiatric Technician (Active Treatment)	7.0	437,148
Pharmacist I	-3.0	-236,166
Registered Nurse	-1.0	-69,756
Clinical Lab Technologist	-1.0	-52,926
Individual Program Coordinator	-7.0	-335,484
Mini Data Set Coordinator	-6.0	-405,312
Assistive Technology Specialist	-1.0	-39,774

**SUPPORT SERVICES**

Facility Environmental Audit Technician	-1.0	-32,214
Assistant Director of Dietetics	-1.0	-59,310
Clinical Dietitian	-2.0	-101,904
Material & Stores Specialist	-1.0	-38,460
Food Service Supervisor I	-1.0	-40,872
Food Service Worker I/II - Production	-2.0	-63,690
Food Service Worker I/II - Presentation	-27.0	-829,470
Office Technician/Office Assistant/Account Clerk	-2.0	-62,640
Automotive Equipment Operator I	-8.0	-311,568

**PLANT OPERATIONS**

Chief of Plant Operation I	-1.0	-61,632
Energy Resources Specialist I	-0.5	-27,213
Plumber II/I/Apprentice	1.0	44,226
Painter II/I/Apprentice	1.0	42,534
Electrician II/I/Apprentice	1.0	44,226

**Subtotal, Salaries and Wages**

**-104.5      -\$4,926,591**

**Attachment B-2**

**POPULATION ADJUSTMENTS  
NON-LEVEL-OF-CARE STAFFING**

**BUDGET YEAR 2007-08 (Continued)**

**STAFF BENEFITS**

OASDI/Medicare	-\$351,864
Health	-617,281
Retirement	-791,780

<b>Subtotal, Staff Benefits</b>	<b>-\$1,760,925</b>
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<b>TOTAL, PERSONAL SERVICES</b>	<b>-104.5</b>	<b>-\$6,687,516</b>
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<b>ROUNDING</b>	-\$6,688,000
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<b>TOTAL FUNDING</b>	<b>-\$6,688,000</b>
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<b>General Fund</b>	<b>-\$3,685,000</b>
<i>General Fund Match</i>	-3,003,000
<i>General Fund Other</i>	-682,000

<b>Reimbursements</b>	<b>-\$3,003,000</b>
<i>Medi-Cal Reimbursements</i>	-3,003,000

**Program Update**  
**Agnews Closure Plan:**  
**Consumer Transfers to Other Developmental Centers**

**DESCRIPTION:**

The January 2007 Agnews Closure Plan Update reflects the estimated savings resulting from the transfer of 10 Agnews Developmental Center (DC) consumers to other DCs. These savings account for the difference in costs of consumers living at Agnews DC at a higher cost per consumer, as compared to the cost of consumers living in other DCs at a lower cost per consumer.

**ASSUMPTIONS/METHODOLOGY:**

Estimated savings are based upon the variable cost per consumer at Agnews of \$126,000 compared to the variable cost per consumer at Sonoma of \$83,000.

• BY Base	\$0
BY Request	-430,000
BY Total	<u>-430,000</u>

<u>CY 2006-07</u>	<u>BY 2007-08</u>
\$0	-\$430,000
<hr/>	<hr/>
<b>\$0</b>	<b>-\$430,000</b>

**TOTAL EXPENDITURES**

**FUNDING:**

Funding is based on the Agnews facility specific funding mix percentage of 53.35% General Fund and 46.65% Reimbursements.

**REASON FOR CHANGE:**

This increase is consistent with the January 2007 Agnews Closure Plan Update.

**TOTAL EXPENDITURES:**

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
<b>TOTAL REQUEST</b>	<b>\$0</b>	<b>-\$430,000</b>
<b>General Fund Total</b>	<b>\$0</b>	<b>-\$229,000</b>
<i>General Fund Match</i>	0	-201,000
<i>General Fund Other</i>	0	-28,000
<b>Reimbursements Total</b>	<b>\$0</b>	<b>-\$201,000</b>
<i>Medi-Cal Reimbursements</i>	0	-201,000

**Program Update**  
**Agnews Closure Plan:**  
**State Employees in the Community**

**DESCRIPTION:**

As initially presented in the Plan for the Closure of Agnews Developmental Center (Plan) submitted in January 2005 to the Legislature, this cost component, identified as Issue #4, captured all costs related to the administration and deployment of up to 200 State Employees for work in the community for up to two years post-closure. Subsequent to the initial submission, approval for the State Employees in the Community Program (Program) was received in the Budget Act of 2005 and authority was obtained pursuant to Administration-sponsored Assembly Bill (AB) 1378 (Lieber, Chapter 538, Statutes of 2005).

Upon enactment of AB 1378, the Department of Developmental Services (Department) and the Department of Personnel Administration (DPA) negotiated agreements for administering the Program with the four involved employee labor unions: the American Federation of State, County, and Municipal Employees (AFSCME); the California Association of Psychiatric Technicians (CAPT); the Service Employees International Union (SEIU); and the Union of American Physicians and Dentists (UAPD). A key issue was to ensure employee rights were recognized throughout the closure process which would allow certain employees to retain state employment status beyond Agnews' closure, while reassigning or terminating employment for other Agnews employees through the layoff process. Delays in housing acquisition have slowed the pace of consumer transition and therefore also the implementation of this Program, but activities have begun and will continue to deploy state staff into the community in response to the needs of Agnews' consumers.

**ASSUMPTIONS/METHODOLOGY:**

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
• Clinical Staff	-\$1,246,000	
• Direct Support Services	-2,573,000	
• Administrative Staff		
• CY Base	\$9,217,000	
CY Request	-3,819,000	
CY Total	\$5,398,000	
<b>TOTAL EXPENDITURES</b>	<b>-\$3,819,000</b>	<b>\$0</b>

**FUNDING:**

100% Reimbursements from the regional centers and service providers for Clinical Staff and Direct Support Services.

**REASON FOR CHANGE:**

This program update adjusts the authorized level of expenditure for state employees providing community services in 2006-07. As reflected in the Budget Act for 2006-07, the authorized level of expenditure for deployment of state employees is \$9,217,000 which represents full year funding for 100 positions. Because of the current status of the Agnews Developmental Center (Agnews) closure process and, in particular, the time required for acquisition and completion of housing through the Bay Area Housing Plan, the pace of state employees moving to community-based services is more gradual than originally anticipated. A modification of the

**Program Update**  
**Agnews Closure Plan:**  
**State Employees in the Community (Continued)**

authorized level to \$5,398,000 in reimbursements is needed. For 2007-08, no changes are being made at this time to the budget year base of \$9,217,000.

<b>TOTAL EXPENDITURES:</b>	<u>CY 2006-07</u>	<u>BY 2007-08</u>
TOTAL REQUEST	-\$3,819,000	\$0
Reimbursements	-\$3,819,000	\$0
<i>Other Reimbursements</i>	-3,819,000	0

**Program Update**  
**Agnews Closure Plan:**  
**Staff Costs for Closure Plan**

**DESCRIPTION:**

Agnews Developmental Center staff are needed to provide staff transition, staff training, staff escort of consumers to various placements, etc., to complete closure activities.

**ASSUMPTIONS/METHODOLOGY:**

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
Estimated costs included for staff transition, staff training, escort of consumers to placements, staff overtime per placement, mileage, etc.	\$0	\$4,202,000
<ul style="list-style-type: none"> <li>• BY Base \$716,000</li> <li>BY Request <u>\$4,202,000</u></li> <li>BY Total <u>\$4,918,000</u></li> </ul>		
<b>TOTAL EXPENDITURES</b>	<b>\$0</b>	<b>\$4,202,000</b>

**FUNDING:**

Funding is based on the Agnews facility specific funding mix percentage of 53.35% General Fund and 46.65% Reimbursements.

**REASON FOR CHANGE:**

This increase is consistent with the January 2007 Agnews Closure Plan Update.

**TOTAL EXPENDITURES:**

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
<b>TOTAL REQUEST</b>	<b>\$0</b>	<b>\$4,202,000</b>
<b>General Fund Total</b>	<b>\$0</b>	<b>\$4,243,000</b>
<i>General Fund Match</i>	0	-41,000
<i>General Fund Other</i>	0	4,284,000
<b>Reimbursements Total</b>	<b>\$0</b>	<b>-\$41,000</b>
<i>Medi-Cal Reimbursements</i>	0	-41,000

**Program Update**  
**Agnews Closure Plan:**  
**Facility Preparation**

**DESCRIPTION:**

Preparation is needed at other developmental centers to receive Agnews Developmental Center consumers, including the purchase of furnishings, clothing, and personal items for transfer of up to 10 consumers from the Agnews Developmental Center.

**ASSUMPTIONS/METHODOLOGY:**

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
Costs to prepare the other DC facilities for transfer of up to 10 consumers is estimated at \$7,300 per consumer.	\$0	\$73,000
<ul style="list-style-type: none"> <li>• BY Base <span style="float: right;">\$0</span></li> <li>BY Request <span style="float: right;"><u>73,000</u></span></li> <li>BY Total <span style="float: right;"><u><u>\$73,000</u></u></span></li> </ul>		
<b>TOTAL EXPENDITURES</b>	<b>\$0</b>	<b>\$73,000</b>

**FUNDING:**

Funding is based on the Agnews facility specific funding mix percentage of 53.35% General Fund and 46.65% Reimbursements.

**REASON FOR CHANGE:**

This increase is consistent with the January 2007 Agnews Closure Plan Update.

**TOTAL EXPENDITURES:**

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
<b>TOTAL REQUEST</b>	<b>\$0</b>	<b>\$73,000</b>
<b>General Fund Total</b>	<b>\$0</b>	<b>\$39,000</b>
<i>General Fund Match</i>	<i>0</i>	<i>34,000</i>
<i>General Fund Other</i>		<i>5,000</i>
<b>Reimbursements Total</b>	<b>\$0</b>	<b>\$34,000</b>
<i>Medi-Cal Reimbursements</i>	<i>0</i>	<i>34,000</i>

**Program Update**  
**Agnews Closure Plan:**  
**Consumer Relocation Costs**

**DESCRIPTION:**

The January 2007 Agnews Closure Plan Update reflects costs associated with relocating up to 10 consumers from Agnews Developmental Center (DC) to other DCs. These costs include items such as moving vans, transportation vehicles, and specialized medical transportation.

**ASSUMPTIONS/METHODOLOGY:**

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
Consumer relocation costs are estimated at \$10,500 per consumer for up to 10 consumers.	\$0	\$105,000
<ul style="list-style-type: none"> <li>• BY Base \$0</li> <li>BY Request 105,000</li> <li>BY Total <u>\$105,000</u></li> </ul>		
<b>TOTAL EXPENDITURES</b>	<b>\$0</b>	<b>\$105,000</b>

**FUNDING:**

Funding is based on the Agnews facility specific funding mix percentage of 53.35% General Fund and 46.65% Reimbursements.

**REASON FOR CHANGE:**

This increase is consistent with the January 2007 Agnews Closure Plan Update.

**TOTAL EXPENDITURES:**

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
<b>TOTAL REQUEST</b>	<b>\$0</b>	<b>\$105,000</b>
<b>General Fund Total</b>	<b>\$0</b>	<b>\$56,000</b>
<i>General Fund Match</i>	0	49,000
<i>General Fund Other</i>	0	7,000
<b>Reimbursements Total</b>	<b>\$0</b>	<b>\$49,000</b>
<i>Medi-Cal Reimbursements</i>	0	49,000

## Revised Major Assumption Realignment of Proposition 98 Funding

### DESCRIPTION:

Assembly Bill 1202 (Hart) Chapter 1191 – Statutes of 1980, was enacted to ensure that individuals with exceptional needs of mandated school-age, residing in California’s state hospitals and developmental centers are provided the same access to educational programs as is provided for individuals with exceptional needs residing in communities. This legislation requires that state facilities reimburse community education agencies for the cost of educating children from the state facilities who attend school in the surrounding community pursuant to the provisions of the act. Funds are allocated by the Legislature, via Proposition 98, solely to fund contracts with County Offices of Education for the provision of Special Education for eligible pupils who reside in state developmental centers and state hospitals and for whom the most appropriate education is found in the community surrounding these facilities. The contracting provisions of Assembly Bill 1202 “apply only to pupils in community school programs other than on state hospital grounds” (California Education Code Part 30, Chapter 8, §56850 - §56865).

### ASSUMPTIONS/METHODOLOGY:

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
Based on actual expenditures for the three prior fiscal years a decrease in needed funding is projected.	-\$900,000	-\$900,000
• CY Base	\$2,052,000	
CY Request	-900,000	
CY Total	\$1,152,000	
• BY Base	\$2,052,000	
BY Request	-900,000	
BY Total	\$1,152,000	
<b>TOTAL EXPENDITURES</b>	<b>-\$900,000</b>	<b>-\$900,000</b>

### FUNDING:

Funding is 100% Proposition 98 General Fund.

### REASON FOR CHANGE:

The formula for allocations to developmental centers and state hospitals for AB 1202 contracts was determined when developmental center and state hospital populations were larger than they are now and included more school-age children. In the last two decades, both developmental centers and state hospitals have limited the admission of children whenever possible and deflected children and adolescents to more appropriate community placements when these were available. Consequently, fewer school age children who are eligible for special education services in a community school setting reside in developmental centers and state hospitals, and accordingly, AB 1202 contract expenditures have been significantly reduced.

### TOTAL EXPENDITURES:

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
<b>TOTAL REQUEST</b>	<b>-\$900,000</b>	<b>-\$900,000</b>
<b>General Fund Total:</b>	<b>-\$900,000</b>	<b>-\$900,000</b>
<i>General Fund Other</i>	<i>-900,000</i>	<i>-900,000</i>

**Revised Major Assumption**  
**Agnews Closure Plan:**  
**Staffing Plan**

**DESCRIPTION:**

A New Major Assumption was approved for 2005-06 to provide reasonable staffing and associated funding at the Agnews Developmental Center (Agnews) to support administrative and operational requirements, as well as closure workload, as the population declines under the Agnews Closure Plan (Plan). Licensing standards dictate specific ratios of licensed staff to population as well as minimum licensed staffing levels. These standards effectively preserve the quality of direct clinical services regardless of the size of a facility, but they do not address the administrative, clinical support, and closure responsibilities also facing Agnews. The ability to close Agnews in a safe and timely manner that will retain certification and maintain client health and safety throughout the closure process requires adequate staff resources.

**ASSUMPTIONS/METHODOLOGY:**

10.0 positions effective January 1, 2007 until closure: 8.0 Community Program Specialist I/II; 1.0 Program Director/Community Program Specialist IV; 1.0 Office Technician/Management Services Technician. (See Attachment A.)

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
<i>Personal Services</i>	\$268,000	\$535,000
<i>Benefits</i>	98,000	196,000
<b>TOTAL EXPENDITURES</b>	<b>\$366,000</b>	<b>\$731,000</b>
<b>TOTAL POSITIONS</b>	<b>5.0</b>	<b>10.0</b>

**FUNDING:**

Funding is based on the Agnews facility specific funding mix percentages.

**REASON FOR CHANGE:**

A new need has been identified for positions to support client transition processes as part of closure. Serious delays in formulating and implementing agreed-upon individualized client transition plans are being experienced in the current year which will grow and jeopardize the timely closure of Agnews if not addressed immediately.

Regulations require that individualized plans for client placement be developed by Planning Teams which include the client, his/her key family members, regional center representatives, and staff who know the client well. The charge of the team is to explore and consider a variety of possible living options and identify the one most appropriate based on an assessment of the client's strengths, interests, and service needs. The exploration process must allow opportunities for the client, staff, and family members to raise, discuss, and resolve questions and concerns and to visit community options before reaching a decision.

Reaching consensus with all Planning Team members in regards to community transition is a time-consuming and sensitive activity requiring skillful facilitation of numerous meetings and the ability to resolve concerns and disagreements. Every resident at Agnews is a unique individual with unique needs and Teams identify a myriad of issues that require detailed follow up and coordination to ensure they are addressed correctly and

## **Revised Major Assumption** **Agnews Closure Plan: Staffing Plan (Continued)**

satisfactorily. Agnews staff currently conducting these meetings is challenged by the sheer volume of Planning Teams and find it difficult to provide the level of support necessary to ensure meetings move forward productively and on schedule.

In addition to conducting and participating in these critically important Planning Team meetings, Agnews staff have had to take on the additional workload of scheduling and supporting Team member visits to numerous community living options and communicating with key stakeholders on the progress of these transition-related activities. Significant life-changing decisions need to be made so adequate support for this activity is critical for individuals to enjoy a smooth and efficient transition from Agnews to the community.

The eight Community Program Specialist (CPS) positions are key resources needed to assist with these time-consuming and sensitive transition activities involving all Planning Team members. They will be required to skillfully facilitate hundreds of meetings and resolve concerns and disagreements in support of a successful transition for each client and the timely closure of Agnews. In addition to conducting and participating in these critically important Planning Team meetings, the CPS positions will assume in great part the additional closure-related workload of scheduling and supporting visits to numerous community living options and communicating with stakeholders on the progress of these transition-related activities.

In addition, a management level position is needed to supervise the CPS positions and to ensure resources are managed efficiently. Any unexpected delays occurring within the Planning Teams must be addressed quickly and assistance is often needed at the managerial level to problem solve, resolve disagreements and remove barriers so transition activities can proceed smoothly. The manager will be responsible for ensuring all staff involved are knowledgeable of and complying with the Agnews Transition Guidelines; developing and implementing a quality assurance system to include observation of Planning Team meetings and transition activities; coordinating the provision of information to parents on relevant housing and community-based services; and overall monitoring of the time frames to ensure Agnews closure remains on track for June 2008.

The support staff position is critically needed for a number of activities and will assume responsibility to develop, maintain, and monitor tracking systems from the point transition activities are initiated through the completion of a successful transition and adjustment to community living. Furthermore, assistance will be provided in identifying trends and evaluating their causes through the collection and integration of data from a variety of sources including DDS Headquarters and DC staff, Regional Centers, families, and contractors. All information assembled and organized by this position will be incorporated into status reports for management use and as required semiannually for the Legislature, and provided to a variety of stakeholders.

A review of the status of transition activities and reasons for current delays has determined that this staffing adjustment is needed to provide additional staff resources in support of Planning Team meetings and the numerous required transition activities and follow-up tasks needed to achieve closure.

**Revised Major Assumption**  
**Agnews Closure Plan:**  
**Staffing Plan (Continued)**

<b>TOTAL EXPENDITURES:</b>	<u>CY 2006-07</u>	<u>BY 2007-08</u>
<b>TOTAL FUNDING</b>	<b>\$366,000</b>	<b>\$731,000</b>
<b>General Fund</b>	<b>\$195,000</b>	<b>\$390,000</b>
<i>General Fund Match</i>	<i>171,000</i>	<i>341,000</i>
<i>General Fund Other</i>	<i>24,000</i>	<i>49,000</i>
<b>Reimbursements</b>	<b>\$171,000</b>	<b>\$341,000</b>
<i>Medi-Cal Reimbursements</i>	<i>171,000</i>	<i>341,000</i>

Attachment A

**Revised Major Assumption**  
**Agnews Closure Plan:**  
**Staffing Plan**

	Current Year 2006-07		Budget Year 2007-08	
	Position Change	Fiscal Impact	Position Change	Fiscal Impact
<b>ADMINISTRATION</b>				
Community Program Specialist I/II	4.0	\$207,192	8.0	\$414,384
Program Director/Community Pgm Specialist IV	0.5	43,098	1.0	86,196
Office Technician/Management Services Tech	0.5	17,142	1.0	34,284
<b>Subtotal, Salaries and Wages</b>	<b>5.0</b>	<b>\$267,432</b>	<b>10.0</b>	<b>\$534,864</b>
<b>STAFF BENEFITS</b>				
OASDI/Medicare		\$19,389		\$38,778
Health		34,114		68,228
Retirement		44,742		89,483
<b>Subtotal, Staff Benefits</b>		<b>\$98,245</b>		<b>\$196,489</b>
<b>TOTAL, PERSONAL SERVICES</b>	<b>5.0</b>	<b>\$365,677</b>	<b>10.0</b>	<b>\$731,353</b>
<b>ROUNDING</b>		\$366,000		\$731,000
<b>TOTAL FUNDING</b>		<b>\$366,000</b>		<b>\$731,000</b>
<b>General Fund</b>		<b>\$195,000</b>		<b>\$390,000</b>
<i>General Fund Match</i>		171,000		341,000
<i>General Fund Other</i>		24,000		49,000
<b>Reimbursements</b>		<b>\$171,000</b>		<b>\$341,000</b>
<i>Medi-Cal Reimbursements</i>		171,000		341,000

## New Major Assumption **Porterville Developmental Center Secure Treatment Program Expansion**

### **DESCRIPTION:**

Five positions with associated operating expenses are needed to activate the Porterville Developmental Center's (PDC) Secure Treatment Program (STP) expansion. Funding is also needed to acquire Group 2 essential furnishings and equipment. While the scheduled construction completion date for the expansion project is June 2008, funding is requested in 2007-08 for those items or projects that require a long lead time for planning or acquisition and that must be in place early to prepare for occupancy, and for staff who are required to facilitate timely activation of operations upon completion of construction. This major assumption identifies two specific components necessary to open and operationalize the new facilities:

- 1) Equipment and Furnishings and,
- 2) Program and Facility Activation Team

### **Nature of Request**

#### **Component 1: Equipment and Furnishings:**

The Department of Developmental Services (DDS) requests \$885,000 in one-time funding, to acquire Group 2 (State Administrative Manual, Section 6806) essential furnishings and equipment, including beds, chairs, vehicles, computers, vocational and recreational equipment and pool supplies, as listed in Attachment A. These items are the usual items associated with a new construction project and are critical to making the buildings usable and functional. The buildings included in the expansion project include:

- A) Six residential units of 16 beds and 9,316 square feet each, for a total of 96 beds and 55,896 square feet.
- B) A recreation complex, which includes a 16,140 square foot gymnasium and a 2,087 square foot pool.
- C) A reception and protective services building totaling 10,000 square feet, which provides a reception center for the public, vendors, visitors and families, as well as a visiting area for families and consumers; offices for Protective Services personnel; and a courtroom for judicial reviews and hearings for consumers.
- D) Two existing vacant buildings (Buildings 11 and 12) will house classrooms, vocational training sites, activity rooms, and other consumer support functions, such as clinics, canteen/snack bar, a post office and consumer cashier's office. Buildings 11 and 12 include a total of 35,629 square feet. These buildings, while not new, were incorporated as part of the project as a lower-cost alternative to a larger recreation and activity complex. A smaller version of the recreation building was included in the final Capital Outlay funding package on the assumption that when Buildings 11 and 12 became vacant, they would be refurbished to make up the balance of the classroom, training, and activity space needed by the expanded population. This component of the Major Assumption requests funds to equip Buildings 11 and 12. These two buildings will serve the entire STP, including the existing programs and the new expansion, which together will have a total capacity of 396 consumers.

## **New Major Assumption**

### **Porterville Developmental Center Secure Treatment Program Expansion (Continued)**

The new buildings in the capital outlay projects will provide needed additional housing and recreational spaces, and Buildings 11 and 12 will provide needed programming, activity and educational spaces that have been unavailable to the current STP. The lack of facilities and resources in the STP has impeded the delivery of required services, especially recreational and leisure time activities which are required by licensing and certification regulations. Funds are being requested in fiscal year 2007-08 because of the long lead-time needed for ordering equipment and furnishings through the state procurement process. The buildings will need to be set up and furnished before the Department of Health Services will license them and approve occupancy. Delaying the ordering and purchase of equipment and supplies until the 2008-09 fiscal year would cause a serious delay in the proposed occupation timeline for the new buildings.

#### **Component 2: Program and Facility Activation Team:**

This component would establish five positions for 2007-08 that would otherwise be included in 2008-09 as part of the staffing standards for the 96 additional consumers. These positions are required early to facilitate timely activation of operations upon completion of construction. It will be necessary to have key managers on site and working well in advance of the opening of the new facilities to plan, develop timelines for, and complete the multiple activities associated with opening facilities. It will be necessary, for example, to expedite the hiring, processing and training of the large number of new employees that will be generated by the staffing standards, including level of care, professional, and protective services employees, in a compressed amount of time after the positions are authorized in fiscal year 2008-09 (see Attachment B).

At present, there are insufficient resources available to assume this new workload without impacting the delivery of services to current consumers. It has been accepted practice at other agencies, such as the Department of Corrections and Rehabilitation, the University of California and the California State University systems, and the Department of Mental Health, to assign an Activation Team during the construction phase to plan and prepare for opening a new facility.

The PDC STP Activation Team will include: one Program Director, one Nursing Coordinator, one Unit Supervisor, one Personnel Specialist and one Senior Account Clerk, for a total of five positions. Half-year funding is needed and these positions would be filled effective January 2008.

#### **Background/History**

The expansion is necessary to meet the need for secure facilities to house the growing number of individuals with developmental disabilities who are committed to DDS under Penal Code sections 667.5 or 1370.1, or Welfare and Institutions Code sections 6500-6513 (individuals that represent a threat to the public but cannot be housed in jails). In the past several years, DDS has seen a steep increase in both the number of referrals for admission and the level of challenge these individuals present. Increasingly, extremely violent and dangerous individuals are being referred for admission to PDC. These individuals would, absent their developmental disability, be sent to the California Department of Corrections and Rehabilitation or the Department of Mental Health. The STP has been at maximum capacity for several years and unable to take additional consumers, creating frustration and serious problems for regional centers, courts, referring agencies, and consumers.

## **New Major Assumption**

### **Porterville Developmental Center Secure Treatment Program Expansion (Continued)**

The Budget Act of 2003 (Chapter 157/03) Item 4300-301-0660 (1) and (2), authorized \$63,319,000 lease revenue bonds for preliminary plans, working drawings and construction for two separate capital outlay projects, the Forensic Recreation Complex (\$6,495,000) and the 96-Bed Forensic Expansion (\$56,824,000).

Due to recent cost increases in concrete, steel, copper, fuel and labor, the bids received in December 2005 for the construction phase exceeded the State's estimate by over 20 percent. At the same time, the Public Works Board (PWB) approved merging the two projects as one project for the construction phase. The Budget Act of 2006 (Chapter 47-48/06), through Item 4300-496, reverts the construction authority of \$56,549,000 lease revenue bonds (\$5,789,000 for the recreation complex and \$50,760,000 for the 96-bed expansion), and through Item 4300-301-0660 (2) provides new construction authority of \$78,473,000 in lease revenue bonds for a single combined project. This new appropriation results in an overall budget authority of \$85,243,000 for this project. In addition, the PWB approved a \$464,000 augmentation for working drawings as a delegated item in April 2006, thereby bringing cumulative project authority to \$85,707,000. The current construction completion date is June 2008.

State licensing and federal certification regulations require that furnishings, equipment and supplies be set up, in place, and in working order at the time of inspection and before occupancy, licensing and certification will be approved.

Regional centers and courts have been supportive of the need for the STP expansion as options for this population are not adequate or available in other settings. Families recognize that the PDC STP is a preferred alternative over jail or prison for their family members.

#### **Justification**

The Capital Outlay project will construct the PDC 96-Bed Expansion and the Recreation Complex and Protective Services buildings, all behind the security fence in PDC's STP. Construction will begin in October 2006 and be completed in Summer 2008. This proposal for equipment and furnishings is to fully furnish and equip the buildings in order to open and occupy the facilities upon completion of construction.

Both this request and the capital outlay project are consistent with DDS's strategic plan Goal 1: System Capacity, which states: "Expand the availability of individualized services and supports." This funding request is justified in that it will: provide Group 2 items necessary for the authorized capital outlay project to be fully functional for its intended use; and will provide a small number of personnel to develop and open the expanded facilities and services.

A major function the Program and Facility Activation Team will perform is the recruitment, hiring and training of the new staff that will be needed to support the increased population in the STP. The team will have all the tasks associated with hiring planned in detail, so that when the 2008-09 budget is signed, positions can be established and staff hired as quickly as possible. Some of the necessary advance hiring-related activities include: conducting outreach activities with high schools and colleges, advertising in professional journals, conducting job fairs, developing informational brochures and flyers for different classifications, preparing job announcements, requesting lists; negotiating the development of new Psychiatric Technician training classes with local community colleges; making advance arrangements or developing contracts for expediting and conducting employee physicals, health screenings, fingerprinting and background checks; developing testing

## **New Major Assumption**

### **Porterville Developmental Center Secure Treatment Program Expansion (Continued)**

questions and materials, arranging for interview panels, preparing and training panel chairpersons, and developing employee orientation and training curricula and schedules. Some personal services and resources may only be available through contracts, and lead-time will be necessary for developing and processing state contracts.

Other activation workload will relate to the creation of a third treatment program for the STP, which will be required by the addition of 96 new consumers. Currently there are two programs within the STP. The development of a third treatment program will include: establishing a network of health care, ancillary, professional, habilitation and behavioral services needed; identifying appropriate treatment methodologies and therapies; developing activities and activity schedules, training plans and curricula, documentation systems, policy and procedure manuals, meal schedules and menus; disaster plans, fire, evacuation, health, safety and security procedures; and plans for hundreds of processes, systems, procedures and committees required for licensing and certification. Other workload relates to completing applications and procedures for initial licensing and certification inspections, and arranging for initial fire inspections, fire clearances and occupancy permits.

A large workload surrounds the coordination, ordering, tracking, procurement and eventual set-up of all equipment, furnishings and supplies. Other processes relate to identifying the consumers who will be admitted or transferred, completing necessary assessments and court hearings, obtaining legal commitment documents, arranging for transferring records, and scheduling transportation and processing admissions.

In addition to developing new programs and services, it will be necessary to carefully plan an internal reorganization of the existing STP to effect the opening of the new units. Not all new admissions will be housed in the new units, nor will new staff necessarily be assigned to the new buildings. With more residential options available, existing groupings will be reviewed to determine more compatible groups, considering such criteria as age, size, gender, sexual orientation, functioning levels, severity of behavior, commitment status, gang affiliation, supervision levels, flight risk, primary program need, and other factors. With the limited number of units currently available, consumers frequently have to be housed in less than optimal groupings that may pit rival gang members against each other, or expose vulnerable consumers to more violent, dangerous or exploitive roommates. Housing reorganization will require a large number of consumer moves that will necessitate scheduling, preparation, and family notifications. Reassignment of existing staff, to match employee skills with new groupings, and to pair experienced staff with new staff for mentoring, will also be necessary. Staff reassignments will have to be planned and discussed with labor unions and affected employees.

Purchases for all new equipment and supplies would be initiated in January 2008, or as soon as staff become available, and made in accordance with state procurement and contracting requirements. All acquisitions would be made from a list of pre-approved items. Data systems and state accounting procedures will be used to track purchasing documents, the status of orders, and payment. New equipment, as well as used equipment and furnishing from Agnews, would be inventoried, labeled, logged and stored in accordance with DDS and PDC procedures for property management. (See Attachment C.)

The five positions requested for the Facility Activation Team would be advertised, interviewed, selected and hired through state civil service procedures and a competitive selection process. Advertising would begin in October 2007, with an anticipated hiring date of January 2008. The Program Director will be responsible for reporting to the PDC Clinical Director on the status of property acquisition as well as staff hiring, and will also

**New Major Assumption**  
**Porterville Developmental Center Secure  
Treatment Program Expansion (Continued)**

report regularly on the major milestones identified as necessary for facility activation. (See sample tasks in Attachment C.) DDS Developmental Centers Division will monitor PDC progress through regular reports and periodic meetings.

**ASSUMPTIONS/METHODOLOGY:**

Component 1, Equipment and Furnishings, includes the cost to purchase essential furnishings and equipment, including beds, chairs, vehicles, computers, vocational and recreational equipment and pool supplies, as listed in Attachment A. These items are the usual items associated with a new construction project and are critical to making the buildings usable and functional.

Component 2, Program and Facility Activation, includes position authority for five positions, one Program Director, one Nursing Coordinator, one Unit Supervisor, one Personnel Specialist and one Senior Account Clerk, to facilitate timely activation of operations upon completion of construction. The positions will be established effective January 1, 2008 to prepare for the opening of the expanded facility that has an scheduled construction completion date in June 2008.

**Timetable**

Component 1: Group 2 equipment and furnishings ordering, purchasing and placement begins when staff are hired, or on January 1, 2008. Please see Attachment C for timelines.

Component 2: Beginning January 2008, hire five-member Facility Activation Team, which includes: 1.0 Program Director-Developmental Disabilities Programs, 1.0 Nursing Coordinator-Forensic, 1.0 Unit Supervisor-Safety, 1.0 Personnel Specialist, and 1.0 Senior Account Clerk. (Please see Attachment B for workload justification and Attachment C for key activities.)

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
5.0 positions effective January 1, 2008 and ongoing: 1.0 Program Director, DD, Safety; 1.0 Nursing Coordinator, Safety; 1.0 Unit Supervisor, Safety; 1.0 Personnel Specialist; 1.0 Sr. Accounting Clerk/Account Clerk.		
One-time Operating Expense and Equipment funds of \$885,000 to acquire Group 2 essential furnishings and equipment.		
<i>Personal Services</i>	\$0	\$135,000
<i>Benefits</i>	0	47,000
<i>Operating Expenses and Equipment</i>	0	885,000
<b>TOTAL EXPENDITURES</b>	<b>\$0</b>	<b>\$1,067,000</b>
<b>TOTAL POSITIONS</b>	<b>0.0</b>	<b>2.5</b>

**New Major Assumption**  
**Porterville Developmental Center Secure  
Treatment Program Expansion (Continued)**

**FUNDING:**

Funding for the one-time equipment is 100% General Fund and all other funding is based on the Porterville specific funding mix percentages of 73.34% General Fund and 26.67% Reimbursements.

**CHANGE FROM PRIOR ESTIMATE:**

This is a new request for BY 2007-08 and ongoing.

**TOTAL EXPENDITURES:**

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
<b>TOTAL FUNDING</b>	<b>\$0</b>	<b>\$1,067,000</b>
<b>General Fund</b>	<b>\$0</b>	<b>\$1,055,000</b>
<i>General Fund Match</i>	<i>0</i>	<i>12,000</i>
<i>General Fund Other</i>	<i>0</i>	<i>1,043,000</i>
<b>Reimbursements</b>	<b>\$0</b>	<b>\$12,000</b>
<i>Medi-Cal Reimbursements</i>	<i>0</i>	<i>12,000</i>

**New Major Assumption**  
**Porterville Developmental Center Secure**  
**Treatment Program Expansion**  
**Budget Year 2007-08**

	Budget Year 2007-08		Budget Year +1	
	Position Change	Fiscal Impact	Position Change	Fiscal Impact
<b>ADMINISTRATION</b>				
Program Director, DD, Safety	0.5	38,200	1.0	76,399
Nursing Coordinator, Safety	0.5	32,352	1.0	64,704
Unit Supervisor, Safety	0.5	30,355	1.0	60,710
Personnel Specialist	0.5	17,844	1.0	35,688
Sr. Accounting Clerk / Account Clerk	0.5	16,002	1.0	32,003
<b>Subtotal, Personal Services</b>	<b>2.5</b>	<b>\$134,753</b>	<b>5.0</b>	<b>\$269,504</b>
<b>STAFF BENEFITS</b>				
OASDI/Medicare		\$7,021		\$14,041
Health		17,716		35,432
Retirement		22,079		44,159
<b>Subtotal, Staff Benefits</b>		<b>\$46,816</b>		<b>\$93,632</b>
<b>TOTAL, PERSONAL SERVICES</b>	<b>2.5</b>	<b>\$181,569</b>	<b>5.0</b>	<b>\$363,136</b>
<b>ROUNDING</b>		\$182,000		\$363,000
<b>TOTAL FUNDING</b>		<b>\$182,000</b>		<b>\$363,000</b>
<b>General Fund</b>		<b>\$170,000</b>		<b>\$340,000</b>
<i>General Fund Match</i>		12,000		23,000
<i>General Fund Other</i>		158,000		317,000
<b>Reimbursements</b>		<b>\$12,000</b>		<b>\$23,000</b>
<i>Medi-Cal Reimbursements</i>		12,000		23,000

Porterville Secure Treatment Expansion Needs

New Major Assumption Attachment A  
 Component 1: Equipment and Furnishings

Fiscal Year 2007-08  
 Porterville 96 Bed Expansion and Recreation Complex Project Needs  
 Group 2 Equipment

Location	Totals All One-time costs	Included in one-time costs	
		Major Equipment	Technology
		Vehicles	PC equip., fax, etc.
<b>A. Residences - 6 Units with vehicles</b>	<b>\$477,866</b>	\$113,000	\$30,000
<b>B. Recreational Complex(gym &amp; pool)</b>	<b>\$51,425</b>	\$20,000	\$7,500
<b>C. Office of Protective Services Building</b>	<b>\$206,830</b>	\$30,000	\$64,089
<b>D. Educational &amp; Vocational Services (Buildings 11 &amp; 12)</b>	<b>\$148,781</b>	\$0	\$69,000
<b>Total Request</b>	<b>\$884,902</b>		

## PORTERVILLE SECURE TREATMENT EXPANSION NEEDS

## A. 96 BED EXPANSION - RESIDENCES (6 units - 16 beds each)

Revised July 2006

Item	No. Per Unit	No. Per 6 units	Unit price	1 Unit Total	Total Request	Justification
<b>Bedroom</b>						
mattresses	16	96	\$81	\$1,296	\$7,776	Consumer essential requirement
miscellaneous(lamps, bedding, etc.)	Per Unit	6	\$3,000	\$3,000	\$18,000	Environmental Enhancement
bed frames	16	96	\$252	\$4,032	\$24,192	Consumer essential requirement
<b>Consumer &amp; visitor Bathrooms</b>				\$0	\$0	
<b>Large Kitchen</b>						
food transport carts	2	12	\$500	\$1,000	\$12,000	Consumer essential requirement
<b>Consumer kitchenette</b>						
small appliances: microwave, toaster blender, utensils, dishes, etc.	1	6	\$500	\$500	\$3,000	
refrigerator	1	6	\$500	\$500	\$3,000	Required food storage, Consumer training and access.
<b>Visiting Room</b>						
Furniture (chairs, table, etc..)	1	6	\$1,795	\$1,795	\$10,770	Consumer essential requirement
<b>Exam room</b>						
exam table	1	6	\$548	\$548	\$3,288	Consumer requirement
Crash cart	1	6	\$1,500	\$1,500	\$9,000	Consumer essential need
mini treatment cart	1	6	\$600	\$600	\$3,600	Consumer essential need
suction machine	1	6	\$400	\$400	\$2,400	Consumer essential need
Med. Cart	1	6	\$3,000	\$3,000	\$18,000	Consumer essential need
scale	1	6	\$150	\$150	\$900	Consumer essential need
Medication Refrigerator	1	6	\$500	\$500	\$3,000	Consumer essential need
Miscellaneous (medical equipment)	Per Unit	6	\$2,600	\$2,600	\$15,600	Consumer essential need
<b>Computer</b>						
PC and printers	1	6	\$2,500	\$2,500	\$15,000	Operational support staff related
PC and printers	1	6	\$2,500	\$2,500	\$15,000	Operational support staff related

Item	No. Per Unit	No. Per 6 units	Unit price	1 Unit Total	Total Request	JUSTIFICATION
<b>Living Rooms</b>						
sofa	6	36	\$645	\$3,870	\$23,220	Consumer essential need
chairs	12	72	\$469	\$5,628	\$33,768	Consumer essential need
Entertainment Center	2	12	\$402	\$804	\$4,824	Consumer essential need
Activity Table	2	12	\$538	\$1,076	\$6,456	Consumer essential need
Activity Chairs	20	120	\$135	\$2,700	\$16,200	Consumer essential need
Storage Chest (large)	4	24	\$479	\$1,916	\$11,496	Consumer essential need
Miscellaneous décor (window coverings, pictures)	2 rooms per unit	6	\$4,000	\$4,000	\$24,000	Consumer requirement for environmental enhancement
Storage Chest (small)	4	24	\$429	\$1,716	\$10,296	Consumer essential need
<b>Dining Room</b>						
Dining Tables	5	30	\$400	\$2,000	\$12,000	Consumer essential need
Dining Chairs	20	120	\$200	\$4,000	\$24,000	Consumer essential need
Hutch	1	6	\$1,800	\$1,800	\$10,800	Consumer essential need
<b>Laundry Room</b>						
Miscellaneous (hampers, carts, etc...)	1	6	\$2,880	\$2,880	\$17,280	Consumer essential need
<b>Other</b>						
Industrial strength ashtrays	1	6	\$350	\$350	\$2,100	
Tables (hard plastic hexagons)	1	6	\$650	\$650	\$3,900	
one unit				<b>\$59,811</b>	<b>\$364,866</b>	Six units
<b>Vehicles</b>						
Van (for new Program)	Per program	1	\$23,000	\$23,000	\$23,000	Consumer transportation
Transport Cart	Per program	2	\$7,000	\$14,000	\$14,000	Consumer transportation
Refrigerator Del. Truck (main kitchen)	Per program	1	\$76,000	\$76,000	\$76,000	Food Transport consumer meals
<b>Totals</b>				<b>\$113,000</b>	<b>\$113,000</b>	
<b>Total for 6 Units with Vehicles</b>					<b>\$477,866</b>	

**PORTERVILLE SECURE TREATMENT EXPANSION NEEDS**

Revised July 2006

**B. RECREATIONAL COMPLEX (Gym & Pool)**

Item	No. of items	Unit price	Total Request	Justification
<b>Pool</b>				
Pool alarm	2	\$200	\$400	Consumer Safety
Portable Barriers	10	\$40	\$400	Consumer Safety
Submersible pump	1	\$150	\$150	Needed equipment for pool maintenance
Misc. Pool Supplies	1	\$500	\$500	Licensing requirements
<b>Gymnasium</b>				
Misc. Supplies (leisure equip. & Gym supplies)	1	\$15,825	\$15,825	Consumer use
Chairs	6	\$75	\$450	Consumer use
Table	1	\$650	\$650	Consumer use
<b>Offices</b>				
Copy machine	1	\$5,000	\$5,000	Staff related, Operational support
Desk	3	\$450	\$1,350	Staff related, Operational support
Desk chairs	4	\$275	\$1,100	Staff related, Operational support
<b>Computer (Gym)</b>				
Computer & printer	1	\$2,500	\$2,500	Operational support, Staff related
<b>Gymnasium</b>				
10 person electric cart	2	\$10,000	\$20,000	Consumer transportation
<b>Kitchen</b>				
Misc. (dishes, cookware, etc...)		\$500	\$500	Consumer use
<b>Storage rooms</b>				
Metal Shelves	1	\$2,000	\$2,000	Health and Safety, Licensing Required
Flammable Safety Cabinet	1	\$600	\$600	Licensing Required
<b>Total</b>			<b>\$51,425</b>	
<b>Vehicles</b>			<b>\$20,000</b>	
<b>Technology equipment</b>			<b>\$7,500</b>	

**DEPARTMENT OF DEVELOPMENTAL SERVICES  
PORTERVILLE DEVELOPMENTAL CENTER STP EXPANSION  
Component 2: Program and Facility Activation Team**

**Program Director**

TASKS	Hours/Year
<p>The PD, with staff will develop a Third Treatment Program for the STP. Will manage and coordinate the development of unit schedules, activity schedules, and training and vocational programs for the newly added facilities. Will manage the hiring of Program staff and directly supervise the Unit Supervisors and Nursing Coordinator. Will meet and assist OPS staff with the hiring of security staff. Will assist in the development of timelines and tracking systems for the tasks associated with the development of the Program and STP expansion, including issues related to administrative services.</p> <p>(90 hours per month, per person for 6 months)</p>	540
<p>Will develop policy and procedure manuals, including admission criteria and program overview. Will prepare and provide materials for the direct training of new staff.</p> <p>(25 hours per month, per person for 6 months)</p>	150
<p>Will work with DDS Headquarters staff on special projects connected with the of the 96-bed expansion project.</p> <p>(15 hours per month, per person for 6 months)</p>	90
<p>Will work directly with the Central Program Services Director to develop work and education programs for expansion areas' new consumers. Will develop policy for the Recreation Complex use. Will review plans for utilization of Buildings 11 &amp; 12 for vocational and other services for the STP. Will develop timelines and tracking systems.</p> <p>(15 hours per month, per person for 6 months)</p>	90
<p>Will develop the timelines and supervise consumer assessment for transfers within the STP and admission to 96 bed facility. Works directly with RRDP.</p> <p>(10 hours per month, per person for 6 months)</p>	60
<p>Work directly with Quality Assurance staff to identify and monitor regulatory requirements needed to license the 96-bed facility. Will work with staff to prepare 96-bed facility, Recreation Complex, Buildings 11 &amp; 12, and Protective Services building for licensing approvals.</p> <p>(8 hours per month per person for 6 months)</p>	48
<p>Will monitor equipment purchases and oversee the tracking of equipment purchasing and storage, and coordinate furnishing and stocking the residences.</p> <p>(5 hours per month, per person for 6 months)</p>	30
<b>Total Person Hours</b>	<b>1,008</b>
<b>Full Time Equivalents (1,778 hours per FTE)</b>	<b>0.56</b>
<b>Current Position Equivalents</b>	<b>0.0</b>
<b>Total Positions Needed Beginning January 1, 2008</b>	<b>1.0</b>

**DEPARTMENT OF DEVELOPMENTAL SERVICES  
PORTERVILLE DEVELOPMENTAL CENTER STP EXPANSION  
Component 2: Program and Facility Activation Team**

**Nursing Coordinator**

TASKS	Hours/Year
Will develop allocations for 96-bed expansion, utilizing current, newly hired, and registry staff to provide 24-hour nursing coverage and professional non-level of care staff. Will develop questions for QAP's and hiring interviews and develop timelines and tracking system for hiring staff. Participate in the recruitment efforts of staff for STP positions. Will develop separate position control for the 96-bed expansion areas.  (65 hours per month, per person for 6 months)	390
Will work with PD to develop training curriculum for staff; develop duty statements for all disciplines in the STP. Will meet with OPS and Fire Chief on policy and procedures. Will assist with policy and procedure development. Will prepare job announcements; assist with the development of new programs.  (60 hours per month, per person for 6 months)	360
Will develop transportation schedules for consumer transfers for STP admission. Will develop disaster plans and the safety manual in accordance with Fire/Life/Safety to ensure that the new STP buildings are in code compliance. Will work directly with the facility OPS Chief and the Fire Chief on security and fire code requirements. Will develop tracking and timelines for licensing approval.  (45 hours per month, per person for 6 months)	270
Total Persons Hours	1,020
Full Time Equivalents (1,778 hours per FTE)	0.57
Current Position Equivalents	0.0
Total Positions Needed Beginning January 1, 2008	1.0

**DEPARTMENT OF DEVELOPMENTAL SERVICES  
PORTERVILLE DEVELOPMENTAL CENTER STP EXPANSION  
Component 2: Program and Facility Activation Team**

**Unit Supervisor**

TASKS	Hours/Year
<p>Will be responsible for all equipment purchases for 96-bed facility, Recreation Complex, Protective Services building and Buildings 11 &amp; 12. Will develop timelines for purchasing equipment and develop tracking system for all equipment. Will designate placement of equipment and work directly with General Services staff to monitor the storage and care for all equipment. Will develop and schedules transportation of equipment to designated areas.</p> <p>(60 hours per month, per person for 6 months)</p>	360
<p>Will develop training schedules for consumer vocational and education programs with the reorganization of the STP and new buildings. This would include identifying where each consumer would work and live, and equipment needed in each area through a tracking system.</p> <p>(60 hours per month, per person for 6 months)</p>	360
<p>In conjunction with the Nursing Coordinator, will prepare the necessary training materials for all staff on current and new program curriculum for STP consumers in preparation for the increase and changing population of the STP. Participate in hiring interviews.</p> <p>(40 hours per month, per person for 6 months)</p>	240
<p>Will provide training through special projects as directed by DDS Headquarters.</p> <p>(10 hours per month, per person for 6 months)</p>	60
<b>Total Person Hours</b>	<b>1,020</b>
<b>Full Time Equivalents (1,778 hours per FTE)</b>	<b>0.57</b>
<b>Current Position Equivalents</b>	<b>0.0</b>
<b>Total Positions Needed Beginning January 1, 2008</b>	<b>1.0</b>

**DEPARTMENT OF DEVELOPMENTAL SERVICES  
PORTERVILLE DEVELOPMENTAL CENTER STP EXPANSION  
Component 2: Program and Facility Activation Team**

**Personnel Specialist**

TASKS	Hours/Year
<p>Will assist with implementation of recruitment efforts to staff the 96-Bed Expansion projects. Responsibilities Include conducting outreach activities with high schools, colleges, prepare and advertise in professional journals, conduct job fairs at various locations to attract potential employees. Will develop informational brochures and flyers for different classifications.</p> <p>(54 hours per month, per person for 6 months)</p>	324
<p>Will prepare job announcements for the various new staff needed. Will assist in setting up and providing tours for potential employees. Process requests for list appointments and ensure all necessary tests and background checks are in place. Assist in developing test questions and materials, arrange interview panels. Set up an information desk and answer incoming calls and provide information to potential hires.</p> <p>(60 hours per month, per person for 6 months)</p>	360
<p>Will prepare for distribution the full benefit packages for new employees when hired. Will prepare and set up the full pay roster of approximately 200 new STA staff of monthly payroll. Will ensure that a variety of standard forms are ready for the hiring process. Will ensure that research of each applicant's State Employee History will occur. Will ready the process to research and application of a variety of SPB/DPA State Laws and Rules; utilize the various manuals and guidelines. Will have contact with Nursing Coordinators, State Controllers Office, PAR Liaison etc. Will set-up process for distribution of packets for Health Benefits eligibility information and out of pocket premiums, and new Health and Dental Enrollment documents. Will ensure that any miscellaneous changes to current plans are included. Will contact CalPERS and DPA.</p> <p>(40 hours per month, per person for 6 months)</p>	240
Total Person Hours	924
Full Time Equivalent (1,778 hours per FTE)	0.51
Current Position Equivalents	0.0
Total Positions Needed Beginning January 1, 2008	1.0

**DEPARTMENT OF DEVELOPMENTAL SERVICES  
PORTERVILLE DEVELOPMENTAL CENTER STP EXPANSION  
Component 2: Program and Facility Activation Team**

**Senior Account Clerk / Accounting Clerk  
(Trust / Accounting)**

TASKS	Hours/Year
<p>Will prepare and process all purchasing documents for the equipment, furnishings and supplies needed to prepare the 96-bed expansion units, gym and pool, and the Protective Services buildings for activation. Will work with the PD, NC and the OPS Chief and Fire Chief to coordinate the purchases and installation of equipment and furnishing for the buildings. Will assist the Unit Supervisor with purchase requisitions in obtaining information on price quotes/bids, shipping information, PIA waivers, leveraged purchasing agreements, etc. Will maintain compliance with DGS purchasing rules and regulations for all purchases and maintain purchase order logs and track the purchase documents.</p> <p>(120 hours per month, per person for 6 months)</p>	720
<p>Will assist in setting up the payroll distribution for the additional staff hired for the expansion. Will set up the process to sort payroll checks, clearance of salary advances, garnishments and accounts receivables.</p> <p>(20 hours per month, per person for 6 months)</p>	120
<p>Will set up the process to assist with various employee reimbursements for the additional staff hired for the expansion, such as, uniform allowance, overtime meals, license renewals, etc. Will assist the Personnel Specialist in all recruitment efforts.</p> <p>(10 hours per month, per person for 6 months)</p>	60
<b>Total Person Hours</b>	<b>900</b>
<b>Full Time Equivalents (1,778 hours per FTE)</b>	<b>0.50</b>
<b>Current Position Equivalents</b>	<b>0.0</b>
<b>Total Positions Needed Beginning January 1, 2008</b>	<b>1.0</b>

**PORTERVILLE SECURE TREATMENT EXPANSION NEEDS**

Revised July 2006

**C. PROTECTIVE SERVICES BUILDING (SECURITY STAFF, COURTROOM & VISITING**

**SUMMARY OF TOTALS**

Major Assumption Revisions 11-23-05 PDC STP Expansion Proj.xls

Total - Training, Conference, and Hearing Item	\$41,122
Total - Equipment for New Staff (2)	\$8,034
Total - Equipment for Existing Staf	\$157,674

**Grand Total                    \$206,830**

Training, Conference, and Hearing Rm. Items	No. of Items	Unit Price	Total Request	Justification
Folding Table 96" x 30 " (training room)	16	\$107	\$1,712	Staff operational needs
Projection Screen 96" x 96" (training room)	1	\$291	\$291	Staff operational needs
Presentation Stand (Training Room)	1	\$290	\$290	Staff operational needs
Stackable Side Chair (training room)	64	\$74	\$4,736	Staff operational needs
Soundstation Conference Phone	2	\$499	\$998	Staff operational needs
Conference Chair	87	\$235	\$20,445	Staff operational needs
Conference Chair w/ Rollers	21	\$250	\$5,250	Staff operational needs
Conference Table 72" x 36" x 30" (SI Interview/Break room)	2	\$404	\$808	Staff operational needs
Peninsula Table (conference room)	2	\$739	\$1,478	Staff operational needs
Conference Table 72" x 36" x 30" (Hearing & visitor Room)	3	\$404	\$1,212	Staff operational needs
Chancellor's Chair (Hearing room)	1	\$439	\$439	Staff operational needs
Magnum Expression Task Chair (Hearing rm)	1	\$399	\$399	Staff operational needs
Copy Machine (Hearing Room)	1	\$975	\$975	Staff operational needs
Presentation Stand (Hearing Room)	1	\$290	\$290	Staff operational needs
Tables (3x8) Copy & Hearing rooms	2	\$300	\$600	Staff operational needs
Tables (4x4) Visitor & Hearing rooms	3	\$400	\$1,200	Staff operational needs
Total - Training, Conference, and Hearing Rm. Items			\$41,122	
Standard Desk - Oak	6	\$642	\$3,852	Staff operational needs
Work Table w/ Keyboard	6	\$131	\$786	Staff operational needs
Magnum Expression Task Chair	6	\$399	\$2,394	Staff operational needs
Book Case	6	\$343	\$2,058	Staff operational needs
28 Qt. Wastebasket	6	\$2	\$13	Staff operational needs
Computers & printers (2 new staff)	2	\$2,500	\$5,000	Staff operational needs
<b>Total - Equipment for New Staff (6)</b>			<b>\$14,103</b>	
<b>Delete - Equipment for New Staff (4)</b>			<b>-\$6,069</b>	
<b>Total - Equipment for New Staff (2)</b>			<b>\$8,034</b>	

Equipment for Existing Staff	No. of Items	Unit Price	Total Request	Justification
File Cabinet - Oak	22	\$456	\$10,032	Staff operational needs
Executive Desk Ctr - Oak (O.T. office)	3	\$1,052	\$3,156	Staff operational needs
Executive Desk - Oak (Cmdr's)	2	\$770	\$1,540	Staff operational needs
Standard Desk - Oak	19	\$642	\$12,198	Staff operational needs
Work Table w/ Keyboard	21	\$131	\$2,751	Staff operational needs
Pedestal Base Table (Sup.'s offices)	2	\$354	\$708	Staff operational needs
6' Oval Table - Cherry (Cmdr's office)	2	\$767	\$1,534	Staff operational needs
Magnum Expression Task Chair	28	\$399	\$11,172	Staff operational needs
Book Case	15	\$343	\$5,145	Staff operational needs
Book Case w/ Doors	11	\$254	\$2,794	Staff operational needs
28 Qt. Wastebasket	57	\$2	\$123	Staff operational needs
38 Gallon Trash Can	2	\$326	\$652	Staff operational needs
Confetti Shredder	3	\$1,631	\$4,894	Staff operational needs
Strip Shredder	1	\$1,370	\$1,370	Staff operational needs
Dry Erase Board 8' x 4'	9	\$148	\$1,331	Staff operational needs
Dry Erase Board 4' x 3'	11	\$46	\$508	Staff operational needs
Coarkboard 4' x 3' (OT office, breakroom)	2	\$44	\$88	Staff operational needs
Fax Machine	5	\$1,139	\$5,694	Staff operational needs
Mobile Lecterns	1	\$329	\$329	Staff operational needs
Kenmore 21.7 Cu Ft Refridgerator (evidence/breakroom)	2	\$600	\$1,200	Staff operational needs
Sylvania 27" TV/VCR/DVD	2	\$630	\$1,260	Staff operational needs
Approx. Cost for Networking Hardware	1	\$5,420	\$5,420	Staff operational needs
Microwave Oven	1	\$300	\$300	Staff break room
Computers & printers	12	\$2,500	\$30,000	Staff operational needs
Heavy Duty Copiers	2	\$5,000	\$10,000	Staff operational needs
Camera, Recorder, Microphones	1	\$7,000	\$7,000	Staff operational needs
Radio Equipment	1	\$3,000	\$3,000	Staff operational needs
Omnilock / Biometric reade	1	\$2,500	\$2,500	Staff operational need:
Golf Cart (4 passenger) Admin, SI, Sallypor	2	\$7,500	\$15,000	Staff / consumer operational need:
Golf Cart (2 passenger) Admin, S	2	\$7,500	\$15,000	Staff / consumer operational need:
Copy Machine(Dispatch)	1	\$975	\$975	Staff operational needs
<b>Total - Equipment for Existing Staff</b>			<b>\$157,674</b>	
		<b>Vehicles</b>	<b>\$30,000</b>	
		<b>Technology Equipment</b>	<b>\$64,089</b>	

## PORTERVILLE SECURE TREATMENT EXPANSION NEEDS

## D. VOCATIONAL AND EDUCATIONAL SERVICES - BUILDINGS 11 &amp; 12

Item	No of items	Unit price	Total Request	Justification
<b>1 Canteen</b>				
Miscellaneous equipment and supplies	1	\$10,000	\$10,000	Operational Need, Staff Use
<b>Office Furniture</b>				
Filing Cabinets (metal locking)	10	\$350	\$3,500	Operational Need, Staff Use
Desk	10	\$450	\$4,500	Operational Need, Staff Use
Chair	10	\$275	\$2,750	Operational Need, Staff Use
Computer & printer	10	\$2,500	\$25,000	Operational Need, Staff Use
Fax machine	3	\$500	\$1,500	Operational Need, Staff Use
Copier	2	\$5,000	\$10,000	Operational Need, Staff Use
<b>2 Storage</b>				
Storage Shelves	8	\$2,000	\$16,000	Operational Need, Staff Use
<b>3 Leisure Room (R-67)</b>	0			NA
<b>4 Classrooms -12 and Computer Lab</b>				
Will use Education Funds	0			NA
<b>5 Post Office</b>	0			NA
<b>6 Recycling Center</b>				
Baler	1	\$15,000	\$15,000	Consumer use, Essential Program Need
Computer & printer	1	\$2,500	\$2,500	Operational Need, Staff Use (consumer payroll, etc..)
Desk	1	\$450	\$450	Operational Need, Staff Use (consumer payroll, etc..)
Chair	1	\$275	\$275	Operational Need, Staff Use
<b>7 Pallet Repair Crew</b>				
Computer & printer	1	\$2,500	\$2,500	Operational Need, Staff Use
Desk	1	\$450	\$450	Operational Need, Staff Use
Chair	1	\$275	\$275	Operational Need, Staff Use

Item	No of items	Unit price	Total Request	Justification
<b>8 Grounds Crew</b>				
Desk	1	\$450	\$450	Operational Need, Staff Use
Chair	1	\$275	\$275	Operational Need, Staff Use
Computer & printer	1	\$2,500	\$2,500	Operational Need, Staff Use
<b>9 Janitorial Crew</b>				
Computer & printer	1	\$2,500	\$2,500	Operational Need, Staff Use
Desk	1	\$450	\$450	Operational Need, Staff Use
Chair	1	\$275	\$275	Operational Need, Staff Use
<b>10 Production/Ceramic Center</b>				
Computer & printer	1	\$2,500	\$2,500	Essential Program Need
Desk	1	\$450	\$450	Operational Need, Staff Use
Chairs	1	\$275	\$275	Operational Need, Staff Use
<b>11 Linen Crew</b>				
Computer & printer	1	\$2,500	\$2,500	Operational Need, Staff Use
Desk	1	\$450	\$450	Operational Need, Staff Use
Chairs	1	\$275	\$275	Operational Need, Staff Use
<b>12 12 Annex Training</b>				
Tables	8	\$245	\$1,960	Operational need, staff training
Chairs	40	\$75	\$3,000	Operational need, staff training
<b>13 Modular (Client Training)</b>				
Filing Cabinets (metal locking)	7	\$350	\$2,450	Operational need, consumer training
Computers & Printers	7	\$2,500	\$17,500	Operational need, consumer training
Misc. (furniture,copier,desks, etc..)	1	\$8,767	\$8,767	
<b>14 Fashion Center</b>				
Heat seal machine	1	\$1,525	\$1,525	Operational need, staff/consumer use
Misc. storage shelves, carts, racks, etc..	1	\$5,254	\$5,254	Operational need, staff/consumer use
Desk	1	\$450	\$450	Operational need, staff use
Chair	1	\$275	\$275	Operational need, staff use
		<b>Total</b>	<b>\$148,781</b>	
		<b>Vehicles</b>	<b>\$0</b>	
		<b>Technology Equipment</b>	<b>\$69,000</b>	

ATTACHMENT C

PROGRAM & FACILITY ACTIVATION TEAM - KEY ACTIVITIES , TIMELINES

	FY 2007-08						FY 2008-09		
	Jan	Feb	March	April	May	June	July	Aug, Sept	Oct, Nov, Dec
<b>Construction of 6 new residences, gym, pool, OPS bldgs.</b>	Construction Duration to June	→	→	→	→	Construction Completion	Punch list completion & begin furnishing bldgs.	Furnish all Buildings with Group 2 Equip & Furnishing	
<b>Program &amp; Facility Activation Team (Group listed individually below)</b>	Facility Activation Team staff hires in Jan.	Work with headquarters, PDC staff on timelines, processes for contract work.	Coordinate furnishings purchases & installation.	Assess needs, prepare Strategic Plan of expansion.	Ensure all consumer assessments are completed for admission.	Coordinate State Fire Marshall inspection & approval for occupancy permit.	Ensure all inspections are completed & approved.	Develop curriculum, coordinate schedules, ensure equipment & supplies are ordered.	Monitor all systems & adjust as necessary.
<b>PROGRAM DIRECTOR</b>	With Team prepare criteria, procedures, goals of new Third Treatment Program.	Manage & coordinate development of unit, activity schedules, supervise US & NC.	Identify current STP consumers to be transferred & waiting lists for admissions.	Identify program & treatment, education, vocational criteria & needs.	Ensure & set-up treatment, schedules, activities, service network .	Work with Central Program Services Director on expanding voc/ed svcs.	With NC identify where each STP consumer will work & live.	Develop timelines & supervise consumer assessments. Work directly with RRDP on admissions.	Assist & monitor the moving of consumers into residences
<b>NURSING COORDINATOR</b>	With Unit Supervisor set-up curriculum for staff, duty statements for all disciplines.	Identify & develop allocations for 24-hr staffing-nursing & NLOC.	Establish & implement required measures for licensing & certification of six residences.	Coordinate with OPS, PDC staff re security procedures, schedules staff.	Develop policy & program manuals, admissions criteria.	With PD develop training, education schedules for each consumer.	Hire new staff, conduct background checks, etc.	Conduct Training, orientation, assign to units. Set-up schedules, match to consumers.	Assist PD & Us with staff set-up of units when furnishing, equipment arrives & consumer transfers.
<b>UNIT SUPERVISOR</b>	Order Equipment for all new Bldgs, Buildings 11&12 - computers, printers, faxes, kitchen equipment per MA.	Order furnishings for all new buildings and Buildings 11 and 12.	Order Transportation, coordinate with Plant Operations, Program Directors.	Responsible for all services: SoCal Edison & SoCal Gas, Telephone, Cable TV, Garbage, PIA Laundry, Janitorial contracts for STP.	Meet & coordinate with vendors, contractors for specific svcs, areas needing svc.	Prepare, negotiate, implement contracts	Supervise staff set-up of units when furnishing, equipment arrives	Oversee the supervision of the moving of consumers into residences	
<b>PERSONNEL SPECIALIST</b>	Prepare lists of staff needed by type, number, assignments, therapy, treatment.	Meet with Bargaining Units. Conduct out-reach, recruiting efforts, i.e. job fairs, flyers, brochures, etc.	Meet w. community colleges to initiate PT programs.	Develop test questions, materials.	Arrange interview panels for new employees (approx. 200)	Set-up information desk, answer calls re: potential hires.	Merge, Prepare, process full pay roster for STP staff.	Assist NC with Training, orientation, assignments of new staff.	
<b>SENIOR ACCOUNT CLERK / ACCOUNTING CLERK (TRUST/ACCTG)</b>	Prepare, process all purchasing documents for equipment, supplies, furnishings.	Obtain info-price quotes/bids, shipping furnishing, equip, supplies.	Set-up logs, tracking systems purchase dox.	Assist Unit Supervisor with purchase documents.	Facilitate PIA waivers, purchasing agreements.	Set-up payroll distribution, associated duties for additional staff.	Conitnue all Accounting responsibilities		

## New Major Assumption

### **Meeting Centers for Medicare and Medicaid Services Quality Management System Requirements**

#### **DESCRIPTION:**

Five limited-term Standards Compliance Coordinator (SCC) positions need to be permanently established to implement and maintain the newly developed Quality Management System (QMS) in the Developmental Centers and State Operated Community Facilities (DC/CF). One position each would be established at Fairview, Lanterman, Porterville and Sonoma Developmental Centers, one-half position would be established at Canyon Springs Community Facility, and one-half position at Sierra Vista Community Facility.

#### **Nature of Request**

The 2005-06 budget provided \$1,000,000 funding for a contractor to develop a system-wide Quality Management System. Additionally, it provided funding for five limited-term positions in the DC/CFs to help develop the QMS at the DC/CF level. However, no positions were provided for implementation and ongoing support and maintenance of the QMS. This proposal would provide five permanent positions for this purpose.

In May 2006, the Department entered into an Interagency Agreement with the University of California, Davis to analyze and recommend short-term and long-term improvements for QMS at each facility and systemwide. The contractor was directed to review the Department's quality assurance activities in the context of the quality framework established by the Centers for Medicare and Medicaid (CMS) and to accomplish the following:

- Conduct a comprehensive business process review;
- Conduct an assessment and comprehensive analysis of existing quality assurance systems at the DC/CFs and the Department's Developmental Centers Division (DCD);
- Document the performance and capability of current processes;
- Identify opportunities for process and organizational improvements;
- Develop a long-term strategy for improving the DC/CF and DCD quality management systems that meets the CMS quality framework and the Department's Strategic Plan goals; and
- Recommend the best practices to improve system-wide performance.

The five limited-term positions established in the 2005-06 budget have been assisting with the development of the new quality management system and have been assigned to accomplish the following:

- Implement a detailed work plan for the analysis and development of a new QMS approach at each DC/CF;
- Identify, develop, and test standardized data requirements that will support the QMS;
- Review and analyze existing QMS data;
- Identify and develop individual outcome measures and improvement plans consistent with the Department's QMS;
- Develop a data collection plan, including baseline and ongoing measurement of performance indicators for each DC/CF;

**New Major Assumption**  
**Meeting Centers for Medicare and Medicaid Services Quality  
Management System Requirements (Continued)**

- Develop and disseminate reports;
- Research best practices, both statewide and nationwide in conjunction with the Department's QMS; and
- Participate in DC/CF and DCD strategic planning.

In June 2007, the contractor will have completed its work and the recommendations for QMS improvements will be ready to be implemented; however, the limited-term positions will expire, leaving no resources to conduct the implementation and ongoing support and maintenance of the QMS. To ensure the establishment and success of the new QMS, five permanent SCC positions are required for addressing workload at the DCs/CFs, thus enabling the Department to achieve the following:

- Develop and maintain a detailed work plan for implementation of the developmental centers' QMS consistent with the Department's implementation of the QMS: Measure, analyze, and track quality indicators, including adverse events and other aspects of performance that evaluate processes of care, services and operations;
- Enter performance data and use the data collected to monitor the effectiveness and safety of services and quality of care, and identify opportunities for improvement and changes that will lead to improvement;
- Set priorities for performance improvement activities that focus on high-risk, high-volume, or problem-prone areas; consider the incidence, prevalence, and severity of problems in those areas; and affect health outcomes, consumer safety, and quality of care that is consistent with the Department's QMS;
- Develop a data collection plan and conduct baseline and ongoing measurement of performance indicators for developmental centers which are consistent with the Department's QMS and in line with CMS guidelines;
- Implement performance improvement activities including feedback and learning throughout the organization and tracking performance to ensure the improvements are sustained;
- Document the quality improvement plans being conducted, the reason for conducting the projects, and the measurable progress achieved on the projects;
- Collaborate with other departments and external entities around exchange and utilization of information about results of quality management activities, e.g., State Departments of Health Services and the Federal Department of Justice;
- Continuously evaluate and monitor the risk management system with expectations to reduce the number and severity of serious injuries;
- Provide QMS training and technical support to DC/CF staff, consumers, families, and DC/CF service providers;
- Research quality management best practices both statewide and nationwide;

## New Major Assumption

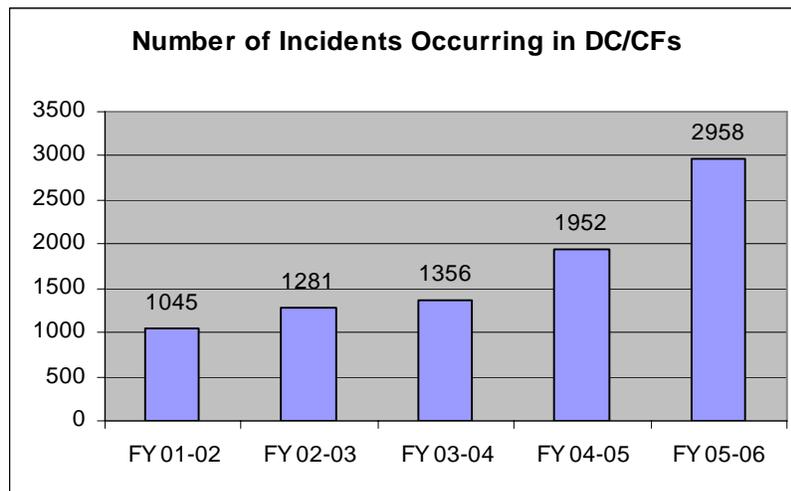
### Meeting Centers for Medicare and Medicaid Services Quality Management System Requirements (Continued)

- Develop and disseminate reports including plans of correction, effectiveness of improvements, and improvements to be made to the system;
- Make recommendations to policies related to QMS as indicated, consistent with the Department's QMS and CMS' guidelines;
- Develop corrective action plans and monitor the implementation of corrective actions; and
- Coordinate and participate in surveys with a QMS perspective.

#### Background/History

In 2002, CMS initiated a directive to utilize a new approach to ensure that the quality of care provided at all facilities and programs subject to receipt of Federal Financial Participation (FFP) meet their standards. These requirements, which apply to the DC/CFs, require the Department to utilize a comprehensive QMS. CMS expects states to move beyond current practice where quality is measured through compliance review and special incidents tracking, to a comprehensive system that produces desired consumer outcomes, establishes performance expectations, measures both performance and outcomes, and takes action to improve performance based upon information and analysis. In addition, CMS and state law also require licensed facilities to have an integrated risk management and client protection system which includes mechanisms for reporting and investigating allegations of consumer abuse, neglect, serious injuries, and deaths.

The Department's quality assurance mechanisms are lacking in many of the essential components required by CMS. The Sonoma DC's loss of certification for 9 months starting in July 2000 and Agnews DC's loss of certification for 18 months starting in April 1999, confirmed the Department's deficiencies in this area. In addition, the numbers of negative, reportable incidents occurring in the DC/CFs have been on the rise since 2001. In large part, the increases are due to implementation of greater reporting requirements. However, each incident contributes to the need for greater response and remediation under the QMS.



## **New Major Assumption**

### **Meeting Centers for Medicare and Medicaid Services Quality Management System Requirements (Continued)**

To improve the Department's quality assurance programs, funding was provided in 2005-2006 to utilize a contractor and five limited-term SCC positions to analyze and recommend improvements for QMS at each facility. The analysis phase will be completed by June 30, 2007 and will be followed by implementation and maintenance phases. The new QMS system will directly serve the consumers living in the DC/CFs by improving the quality of care they receive. In addition, an anticipated outcome is the reduction of negative incidents and the further safeguarding of the DC/CF licenses and certifications.

It is the Department's policy to provide the best care possible to the individuals living in the DC/CFs. Although the primary mission of the DC/CFs is to provide habilitation and training services, the Department strives to achieve this in a manner that ensures the best interests of the residents are of the utmost concern. The assurance of quality care given at the DC/CFs is a vital concern of the residents and their families, and the Department has a legal obligation to provide such services.

Currently the Department's seven DC/CFs, with the exception of the Secure Treatment Program at Porterville Developmental Center, are certified to receive Federal Financial Participation for Medicaid, which amounts to approximately 50 percent of the funding required to operate these facilities (approximately \$304 million FFP in 2006-07). Therefore, it is critical that the Department continues to ensure that every effort is made to comply with the regulations for certification.

The parent organizations at each of the DC/CFs are primarily assembled to ensure the quality of care provided to their family members at the DC/CFs meets their expectations. They have indicated the services given at the DC/CFs should provide good health care, physicians with specialized areas of scope, behavioral experts, a system that monitors medications to avoid overmedicating, ongoing notification of incidents that occur, and comprehensive individual program plans and that their concerns be heard and taken into consideration. In addition, families want to be assured that their loved ones are free from harm and abuse and that the quality of their lives are what they hoped it would be.

In addition, other organizations such as Protection and Advocacy, Inc., the Association of Retarded Citizens and the State Council on the Developmentally Disabled and their Area Boards have a vested interest in the quality of care given by the Department to the residents of the DC/CFs.

All of these organizations, as well as the Federal and State licensing and certification agencies, DC/CF residents and their families will solidly support the implementation and ongoing maintenance of a QMS that provides risk monitoring, assurance of quality outcomes and effective management of State resources.

#### **Justification**

The Department is committed to providing leadership that results in quality services to the people of California and assures the opportunity for individuals with developmental disabilities to exercise choices. One of the Department's goals identified in the Strategic Plan for 2002-2008 is Quality Management; developing systems to ensure that quality services and supports are provided. The Strategic Plan states, in part, that the Department will:

- Encourage services and supports that effectively promote and continuously improve personal outcomes for consumers in the areas of choice, relationships, lifestyle, health and well-being, development, rights and satisfaction;

## New Major Assumption

### **Meeting Centers for Medicare and Medicaid Services Quality Management System Requirements (Continued)**

- Develop performance measures and data collection systems to assess the degree to which individuals in the service system make progress toward achieving desired outcomes;
- Develop a quality assurance/improvement system including a performance measurement component to determine the effectiveness of the service system in achieving system values and personal outcomes;
- Maintain a risk management and mitigation system; and
- Ensure that performance measures are available to consumers and their families to assist them in choosing service providers.

The Department uses its Quality Assurance Offices within each of the DC/CFs to ensure quality services as described by the Strategic Plan. These Offices are responsible for developing, implementing and maintaining the new QMS while ensuring current quality assurance programs continue to function. The existing permanent staff that are responsible for the current quality assurance programs are charged with the following ongoing activities:

- Oversee the work of Individual Program Coordinators;
- Review survey findings and evaluate compliance with plans of correction and certification;
- Conduct onsite auditing and monitor current quality assurance mechanisms to maintain licensure and certification;
- Review and make recommendations for needed actions and implementation strategies on system-wide issues, policies, procedures, new regulations, and survey protocols related to active treatment, client protections, client rights, behavior management, program planning and coordination, governing body, quality assurance, and physical plant;
- Conduct analysis of current data, identify individual developmental center and system-wide patterns and trends, and prepare written reports;
- Train center staff in survey readiness skills, interpretation of licensing regulations, survey documentation requirements, policies, procedures, and quality assurance systems related to licensing and certification;
- Coordinate and act as liaison for all licensing visits;
- Participate as a member of mock survey teams;
- Chair or participate on various facility committees;
- Analyze and advise on legislation related to licensing and certification of developmental centers;
- Provide DCD with daily incident briefs; and
- Monitor daily incident reports for accuracy, thoroughness, trends, and patterns.

## **New Major Assumption**

### **Meeting Centers for Medicare and Medicaid Services Quality Management System Requirements (Continued)**

Because of the broad range of activities and extensive workload required of the current permanent staff, they are not able to conduct the work related to the implementation and maintenance phases of QMS. Therefore, the Department must establish the five permanent SCC positions to achieve its quality management goals as stated in the Strategic Plan. The five SCC positions will be dedicated to overall system improvements and responsible for ensuring the implementation and ongoing monitoring and maintenance of the QMS at each DC/CF. Without these additional resources, the implementation and maintenance phases that follow the development phase cannot be completed.

In addition, the Department's seven DC/CFs, with the exception of the Secure Treatment Program at Porterville, are certified to receive FFP, which amounts to approximately 50 percent of the funding required to operate these facilities (approximately \$304 million FFP in 2006-07). It is critical that the State continues to ensure that every effort is made to comply with the regulations for certification. Each DC/CF has had issues raised regarding their quality assurance programs during the most recent round of annual licensing and certification reviews. The Department must be able to respond to serious investigations and consumer outcome deficiencies in order to ensure that regulatory requirements are being met at the DC/CFs. The five permanent SCC positions will enable the Department to obtain a more thorough analysis of performance indicators that will lead to an accurate identification of issues, appropriate response to those issues, and quality improvements in the provision of services. This ultimately will better safeguard the DC/CF certifications and funding source.

The five positions requested to become permanent are currently authorized in the budget as limited-term positions until June 30, 2007. Since the incumbents were hired through a competitive selection process, with approval of the new positions for 2007-08, the incumbents could be appointed permanently to their civil service classification of SCC. In this way, their experience and expertise in QMS at their facility would be retained, and the continuity of the project would be maintained. If for any reason a permanent appointment of an incumbent is not possible, the new, vacant position would be advertised to fill, a competitive selection process conducted, and a new hire would be made consistent with State civil service rules and procedures.

When the University of California, Davis, completes the contract work in June 2007, the work products and recommendations will undergo a review by the DCs/CFs and Headquarters. Specifically, the Developmental Center Operations Branch (Branch) within the Developmental Centers Division, is responsible for oversight and support of the programs and clinical services in the DCs/CFs. This Branch will take a lead role in facilitating the review of the contractor's work, development of appropriate action plans, and taking recommendations forward to Department management for consideration. The end result will be Department-approved, facility-specific and Headquarters action plans for QMS improvements. The Branch will then monitor and support progress in the DCs/CFs and Headquarters consistent with the action plans. Department management and the facility Executive Directors will also be overseeing the progress and ensuring appropriate completion of the work. Performance indicators will be closely monitored to track changes in the quality of care being provided. Expected benefits from QMS improvements should be evidenced in positive licensing survey results and reduced incidents of consumer harm.

#### **ASSUMPTIONS/METHODOLOGY:**

Funding to establish five permanent SCC positions is needed to replace the five limited-term positions approved in the 2005-06 budget to help develop a QMS in the DCs/CFs. One position each would be

**New Major Assumption**  
**Meeting Centers for Medicare and Medicaid Services Quality  
Management System Requirements (Continued)**

established at Fairview, Lanterman, Porterville and Sonoma Developmental Centers, one-half position each would be established at Canyon Springs and Sierra Vista Community Facilities. The new permanent positions will be utilized to implement and maintain the newly developed QMS.

**Timetable**

The five SCC positions will be established effective July 1, 2007.

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
5.0 Standard Compliance Coordinators		
<i>Personal Services</i>	\$0	\$324,000
<i>Benefits</i>	0	122,000
<b>TOTAL EXPENDITURES</b>	<b>\$0</b>	<b>\$446,000</b>
<b>TOTAL POSITIONS</b>	<b>0.0</b>	<b>5.0</b>

**FUNDING:**

Funding is based on facility specific funding mix percentages.

**CHANGE FROM PRIOR ESTIMATE:**

This is a new request for BY 2007-08 and ongoing.

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
<b>TOTAL EXPENDITURES:</b>		
<b>TOTAL FUNDING</b>	<b>\$0</b>	<b>\$446,000</b>
<b>General Fund</b>	<b>\$0</b>	<b>\$264,000</b>
<i>General Fund Match</i>	0	182,000
<i>General Fund Other</i>	0	82,000
<b>Reimbursements</b>	<b>\$0</b>	<b>\$182,000</b>
<i>Medi-Cal Reimbursements</i>	0	182,000

**New Major Assumption**  
**Meeting Centers for Medicare and Medicaid Services Quality**  
**Management System Requirements**  
**Budget Year 2007-08**

Position Change	Fiscal Impact
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**ADMINISTRATION**

Standards Compliance Coordinator	5.0	324,114
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<b>Subtotal, Personal Services</b>		<b>\$324,114</b>
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**STAFF BENEFITS**

OASDI/Medicare		\$22,046
Health		44,641
Retirement		55,090

<b>Subtotal, Staff Benefits</b>		<b>\$121,777</b>
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<b>TOTAL, PERSONAL SERVICES</b>	<b>5.0</b>	<b>\$445,891</b>
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<b>ROUNDING</b>		\$446,000
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<b>TOTAL FUNDING</b>		<b>\$446,000</b>
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<b>General Fund</b>		<b>\$264,000</b>
<i>General Fund Match</i>		182,000
<i>General Fund Other</i>		82,000

<b>Reimbursements</b>		<b>\$182,000</b>
<i>Medi-Cal Reimbursements</i>		182,000

**DEPARTMENT OF DEVELOPMENTAL SERVICES  
New Major Assumption  
(DC) Quality Management System**

**Workload Analysis for  
Five Permanent Standards Compliance Coordinators**

The following workload assessment outlines the minimum required functions needed to implement and maintain the Department’s Quality Management System.

SCC TASKS	HOURS/YEAR
Develop and maintain a detailed work plan for implementation of the developmental centers’ QMS consistent with the Department’s implementation of the QMS. 5%	445
Enter performance data and use the data collected to monitor the effectiveness and safety of services and quality of care, and identify opportunities for improvement and changes that will lead to improvement. 7.5%	668
Measure, analyze, and track quality indicators and trends, including adverse events and other aspects of performance that assess processes of care, services and operations. 7.5%	668
Set priorities for performance improvement activities that focus on high-risk, high-volume, or problem-prone areas; consider the incidence, prevalence, and severity of problems in those areas; and affect health outcomes, consumer safety, and quality of care that is consistent with the Department’s QMS. 10%	890
Develop a data collection plan and conduct baseline and on-going measurement of performance indicators for developmental centers which are consistent with the Department’s QMS. 10%	890
Implement performance improvement activities including feedback and learning throughout the organization and tracking performance to ensure the improvements are sustained. Develop, implement and monitor follow-up corrective action plans. 13%	1153
Document the quality improvement plans being conducted, the reason for conducting the selected projects, and the measurable progress achieved on the projects. 7.5 %	668
Develop and disseminate reports (including plans of correction, effectiveness of improvements, and improvements to be made to the system.) 10%	890
Monitor the risk management system with expectations to reduce the numbers and severity of serious injuries. 7.5%	668
Provide QMS training and technical support to developmental centers, consumers and families, and DC/CF service providers. Coordinate and participate in all surveys. 10%	890
Collaborate with other departments and external entities around exchange	265

and utilization of information about results of quality assurance activities, e.g., State Departments of Health Services and the Federal Department of Justice. 3%	
Research quality assurance best practices both statewide and nationwide. 6 %	530
Make recommendations to policies related to QMS as indicated, consistent with the Department's QMS. 3%	265
<b>Total Person Hours</b>	<b>8890</b>
<b>Full Time Equivalents (1778 Hours Per FTE) 100%</b>	<b>5.0</b>
<b>Current Staff Equivalents</b>	<b>0.0</b>
<b>Total Staff Needed</b>	<b>5.0</b>

## **New Major Assumption** **Minimum Wage Increase**

### **DESCRIPTION:**

The Developmental Centers (DC) and Community Facilities (CF) budget will be increased by \$122,000 (\$71,000 General Fund and \$51,000 Reimbursements) in 2006-07 to implement the minimum wage increase from \$6.75 to \$7.50 effective January 1, 2007 per Chapter 230, Statutes of 2006 (Assembly Bill 1835). The DC/CF budget will be increased by \$326,000 (\$190,000 General Fund and \$136,000 Reimbursements) in 2007-08 to implement the minimum wage increase effective January 1, 2007 and the increase from \$7.50 to \$8.00 effective January 1, 2008. The minimum wage increase directly impacts the resident wages paid to support the DC/CF vocational programs as resident wages are based on minimum wage and/or prevailing wage for specific job tasks.

### **Nature of Request**

Pursuant to AB 1835, the budget will include funding for resident wages that are paid to individuals working in the vocational programs within the DCs/CFs. These programs provide a wide array of paid employment options that allow individuals to select areas of work that meet their interests, while providing them with on-the-job training to develop work skills and prepare for future work opportunities. The vocational/job services and training are a part of the consumer's individual program plan (IPP), through the person centered planning process.

Currently, the DCs/CFs pay over \$2 million in resident wages to consumers participating in vocational programs. Resident wages are based on minimum wage and/or prevailing wage for specific job tasks. Many DC/CF consumers work either full or half days at the various job sites. Upon entering a work program, consumers are paid minimum wage while they undergo an assessment and time study process to determine their specific job performance level. Once the time study is completed, the consumer then receives the minimum wage (if assessed at maximum performance level) or a percentage of the minimum wage, based upon the corresponding job performance level.

There may also be an impact to the DCs/CFs contracts if contractors' subcontractors are minimum wage recipients and these increases are passed on to the Department when contracts are renegotiated in 2007-08 and beyond. These costs, however, cannot be estimated at this time.

### **Background/History**

AB 1835 added Sections 1182.12 and 1182.13 to the Labor Code to increase the minimum wage in two increments: \$.75 per hour (\$6.75 to \$7.50) effective January 1, 2007 and \$.50 per hour (\$7.50 to \$8.00) effective January 1, 2008. Additionally, it requires the Department of Industrial Relations to adjust upwards the permissible meals and lodging credits by the same percentage as the increases in the minimum wage, and to amend and publish wage orders to be consistent with AB 1835.

All employers in California must pay the State minimum wage rate unless their employees are exempt under California law. However, there are exceptions for employees who have developmental or physical disabilities, or both, and for non profit organizations such as sheltered workshops or rehabilitation facilities that employ workers with disabilities. Such individuals and organizations may be issued a special license in accordance with current regulations and guidelines issued by the U.S. Department of Labor's Employment Standards Administration Wage and Hour Division, under the Fair Labor Standards Act (FLSA) of 1938, as amended, authorizing employment at a wage less than the legal minimum wage.

## **New Major Assumption** **Minimum Wage Increase (Continued)**

DCs/CFs provide vocational programs that fall under the FLSA guidelines. The facilities themselves are licensed and certified by state and federal law. As such, in order to maintain licensure and certification and the receipt of federal funds, the DCs/CFs are subject to annual certification surveys to assess compliance with federal requirements by the California Department of Health Services and the federal Centers for Medicare and Medicaid Services, sometimes conducted as partnership surveys. These surveys assess compliance with 42 Code of Federal Regulations (CFR), Intermediate Care Facility for Persons with Mental Retardation (ICF/MR) Conditions of Participation. Specific to the Condition of Participation for Client Protection, 42 CFR §483.420(a) (8), facilities must ensure that consumers who work are compensated for their effort at prevailing wages and commensurate with their abilities. Guidelines associated with this ICF/MR regulation define prevailing wage as a “wage paid to non-disabled workers in nearby industry or the surrounding community for essentially the same type, quality and quantity of work or work requiring comparable skills.” The guideline further states that a working individual must be paid at least the prevailing minimum wage except when an appropriate certificate has been obtained by the facility in accordance with regulations and guidelines issued under the FLSA. Any individual performing work, as defined above, must be compensated in direct proportion to his or her productivity.

The DCs/CFs measure a consumer’s work through a time study process which identifies the consumer’s job performance in work equivalents of a regular employee’s output. Based upon the results of the time study, the consumer is either paid the prevailing minimum wage or a percentage of the minimum wage. Therefore, as required by AB 1835, the DCs/CFs must pay resident wages in accordance with the January 2007 and January 2008 increases to the minimum wage. Given the current annual expenditures for resident wages of more than \$2 million, the impending minimum wage increases cause a significant impact to the DCs/CFs budgets.

DC/CF vocational programs are subject to FLSA requirements and must comply with the law. Additionally, DCs/CFs are subject to state and federal regulations which require the DCs/CFs to provide the services outlined within the consumer’s IPP. The Lanterman Act also requires that individuals receive the services identified in their IPPs. DCs/CFs historically have received deficiencies for failing to meet the condition of participation for client protection as it relates to the provision of services identified in the IPP. These plans generally contain vocational and/or job service goals and objectives which directly impact the fiscal expenditures of the facility, dependent upon the numbers of consumers working at the job sites. Without an augmentation in the DC appropriation to support the required minimum wage increases, facilities will be at risk for violations of the FLSA and be at risk of meeting required conditions of participation, thereby risking federal financial participation in the overall program.

It is anticipated that all stakeholders will support the wage increases paid to consumers related to the hours of vocational/job services performed in accordance with their IPPs. The increased wages will improve the quality of life of consumers as they make personal choices in the expenditure of these funds.

### **Justification**

This proposal is consistent with the Department’s Strategic Plan, Goal 4, in which the Department seeks to “establish a system to ensure DDS, state developmental centers, regional centers and service providers are in compliance with all applicable federal and state laws, regulations and contracts, including accounting for their funding in an appropriate manner.” The proposal is also consistent with requirements of the FLSA, which require vocational programs to pay the minimum wage or a percentage of the minimum wage, based on individual worker performance.

## **New Major Assumption** **Minimum Wage Increase (Continued)**

As a direct result of this chaptered legislation, DC/CFs resident wages will increase commensurately with the January 2007 increase to \$7.50 per hour, and the January 2008 increase to \$8.00 per hour. The Department does not have an option in the payment of these wages in accordance with state and federal regulations. If a consumer chooses to work half or full days at a vocational job site per their IPP, the DC/CF is obligated to provide the services and supports necessary, which includes compensation for their services in the form of payment specific to the prevailing minimum wage or in direct proportion to his/her job performance.

The Department is committed to offering consumers a larger array of services and supports and making these more available and accessible to meet current and future needs. As a result, vocational choices and opportunities continue to grow, and consumer participation continues to increase as consumers' abilities increase. While the resident wages are a relatively small percentage of the DC/CF budgets, they have been an increasing expenditure over the past several years. However, payment of these wages, specific to the vocational/job services performed, are a large factor in meeting the condition of participation for client protection, which ensures the continued receipt of federal financial participation for the DCs/CFs. If this request is not funded, there would be a direct, negative impact to services and supports offered to consumers.

The required funding will provide the resources needed to continue compensating consumers as required by state and federal regulations. More important to the consumers, the funds paid for vocational and work programs is a tremendous benefit to their self esteem, assists with their training for future independence, and provides a monetary compensation which they may utilize in making choices for leisure and recreation activities within their surrounding communities. All of these benefits assist the DCs/CFs with meeting the required conditions of participation for client protection and active treatment.

Accountability for the use of resident wages is assured through existing accounting and budgeting control processes at the DCs/CFs, and at the Headquarters level for monitoring and oversight of these processes. Consumers involved in vocational/work programs receiving compensation report these wages as required via trust office controls to assure proper accounting of their funds as it relates to their eligibility for Medi-Cal, Social Security Income, etc.

### **ASSUMPTIONS/METHODOLOGY:**

Based on the current minimum wage of \$6.75 per hour and projected resident wages of \$2,199,423 the estimated annual hours worked for all residents is 325,840. The minimum wage will increase to \$7.50 per hour effective January 1, 2007 which will generate a fiscal impact of \$122,000 in the current year. The minimum wage will increase again on January 1, 2008 to \$8.00 per hour which will generate a fiscal impact of \$326,000. See Attachment A for further detail.

#### **Timetable**

The resident wages increase would be effective January 1, 2007 when the minimum wage goes to \$7.50 per hour and again January 1, 2008 when the minimum wage increases to \$8.00 per hour.

**New Major Assumption**  
**Minimum Wage Increase (Continued)**

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
Based upon the implementation schedule pursuant to AB 1835, the DCs/CFs augmentation will be commensurate with the scheduled increases as shown on Attachment A.		
<i>Operating Expenses and Equipment</i>	(\$122,000)	(\$326,000)
<b>TOTAL EXPENDITURES</b>	<b>(\$122,000)</b>	<b>(\$326,000)</b>

**FUNDING:**

Funding is based on the systemwide funding mix rates.

**CHANGE FROM PRIOR ESTIMATE:**

This is a new budget adjustment for CY 2006-07 and ongoing. Funding is shown as a non-add because the budget will be augmented by an Executive Order to fund estimated costs.

<b>TOTAL EXPENDITURES:</b>	<u>CY 2006-07</u>	<u>BY 2007-08</u>
<b>TOTAL FUNDING</b>	<b>(\$122,000)</b>	<b>(\$326,000)</b>
<b>General Fund</b>	<b>(\$71,000)</b>	<b>(\$190,000)</b>
<i>General Fund Match</i>	(51,000)	(136,000)
<i>General Fund Other</i>	(20,000)	(54,000)
<b>Reimbursements</b>	<b>(\$51,000)</b>	<b>(\$136,000)</b>
<i>Medi-Cal Reimbursements</i>	(51,000)	(136,000)

## New Major Assumption Minimum Wage Increase

Current Allocation		
2006-07 Resident Wage Allocation	Current Minimum Wage	Current Estimated Annual Hours Paid
\$2,199,423	\$6.75	325,840

2006-07		
1/1/2007 New Minimum Wage	6 Month Incremental Fiscal Impact <sup>A</sup>	2006-07 Total Fiscal Impact (from CY 2006-07)
\$7.50	\$122,190	\$122,190
	General Fund:	71,292
	Reimbursements:	50,898

2007-08				
1/1/2007 Minimum Wage	12 Month Incremental Fiscal Impact <sup>B</sup>	1/1/2008 New Minimum Wage	6 Month Incremental Fiscal Impact <sup>C</sup>	2007-08 Total Fiscal Impact (from CY 2006-07)
\$7.50	\$244,380	\$8.00	\$81,460	\$325,840
			General Fund:	190,111
			Reimbursements:	135,729

2008-09		
7/1/2008 Minimum Wage	12 Month Fiscal Impact	2008-09 Total Fiscal Impact (from CY 2006-07)
\$8.00	\$407,300	\$407,300
	General Fund:	237,639
	Reimbursements:	169,661

<sup>A</sup> Fiscal impact from January 1, 2007 to June 30, 2007.

<sup>B</sup> Fiscal impact from July 1, 2007 to December 31, 2007.

<sup>C</sup> Fiscal impact from January 1, 2008 to June 30, 2008.

## Fund Sources

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## General Fund

### DESCRIPTION:

The General Fund is used for two purposes: 1) as a match to Title XIX Reimbursement funds received for payment of resources/services for Medi-Cal eligible consumers (these funds are referred to as "General Fund Match"), and 2) to pay for non-Medi-Cal eligible resources/services for consumers in the five developmental centers (DCs) and two state operated community facilities (SOCFs)(these funds are referred to as "General Fund Other").

### ASSUMPTIONS/METHODOLOGY:

CY 2006-07

BY 2007-08

#### General Fund:

The DCs'/SOCFs' General Fund appropriation consists of two components: (1) General Fund Match and (2) General Fund Other. The detail of these two components follows:

- General Fund Match \$317,725,000 \$305,297,000

This portion of General Fund is required as a match to Medi-Cal Reimbursements received from the Department of Health Services (DHS). These Reimbursements are originally funded by the federal government and passed through DHS (the federally recognized single state agency for Medicaid). The federal financial participation costs are established by utilizing the federal medical assistance percentages (FMAP) provided by DHS.

They are as follows:

General Fund Match to FMAP	<u>CY 2006-07</u>	<u>BY 2007-08</u>		
Non-Proposition 98	50.00%	50.00%	314,045,000	301,999,000
Proposition 98	50.00%	50.00%	3,489,000	3,110,000
HIPAA	50.00%	50.00%	191,000	188,000

- General Fund Other \$85,697,000 \$88,270,000

This funding is for services provided to the DC/SOCF consumers that are not eligible for funding by other means, i.e., federal or other reimbursements, Lottery Education Fund and federal funds.

### TOTAL GENERAL FUND

**\$403,422,000**

**\$393,567,000**

## Title XIX Medi-Cal/Other Reimbursements

### DESCRIPTION:

Title XIX Medi-Cal Reimbursements are funds received for services provided to eligible consumers in the five developmental centers and two state operated community facilities (DCs/SOCFs). These funds require a General Fund match at the FMAP rate. Other Reimbursements are funds received for services provided to the DCs/SOCFs residents that have no General Fund match requirements.

### ASSUMPTIONS/METHODOLOGY:

CY 2006-07

BY 2007-08

#### Reimbursements:

The Developmental Centers' appropriation for reimbursements consists of two components: (1) Title XIX Medi-Cal and (2) Other Reimbursements. The detail of these two components follows:

- Title XIX/Medi-Cal \$317,725,000 \$305,297,000

Title XIX Medi-Cal Reimbursements fund eligible services provided to residents in the DCs/SOCFs system via the Department of Health Services (DHS) (the federally recognized single state agency for Medicaid). The federal financial participation costs are established by utilizing the federal medical assistance percentages (FMAP) as provided by DHS.

They are as follows:

FMAP	<u>CY 2006-07</u>	<u>BY 2007-08</u>		
Non-Proposition 98	50.00%	50.00%	314,045,000	301,999,000
Proposition 98	50.00%	50.00%	3,489,000	3,110,000
HIPAA	50.00%	50.00%	191,000	188,000

- Other Reimbursements \$8,373,000 \$12,295,000

These costs consist of miscellaneous reimbursements for such services as the Community Industries Contract, Rental Income Contracts, and State Employees in the Community.

### TOTAL REIMBURSEMENTS

**\$326,098,000**

**\$317,592,000**

## Federal Funds

### DESCRIPTION:

The Federal Foster Grandparent Program Grant provides funds to establish person-to-person relationships between low income seniors, 60 years of age and older, and children with developmental disabilities and/or mental health issues.

### ASSUMPTIONS/METHODOLOGY:

CY 2006-07

BY 2007-08

• **Foster Grandparent Program Grant**

\$620,000

\$620,000

The Foster Grandparent Program grant is used for specified operational costs, such as stipends and educational supplies in the five developmental centers: Agnews, Fairview, Lanterman, Porterville, and Sonoma. This Grant also includes pass-through funding to the Department of Mental Health for services provided at Metropolitan State Hospital.

### TOTAL FEDERAL FUNDS

**\$620,000**

**\$620,000**

## Lottery Education Fund

### DESCRIPTION:

Lottery Education Fund uses state General Fund revenues guaranteed for the support of school districts, community colleges districts, and state agencies that provide direct elementary and secondary level instructional services.

### ASSUMPTIONS/METHODOLOGY:

CY 2006-07

BY 2007-08

#### Lottery Education Fund:

\$489,000

\$489,000

- The Lottery Education Fund is used for specified educational costs such as training programs to establish curriculum as well as to support special needs and equipment costs in the five developmental centers (DC) (Agnews, Fairview, Lanterman, Porterville, and Sonoma) and two state-operated community facilities (SOCF) (Canyon Springs and Sierra Vista). Funds received are based on the DC and CF consumer average daily attendance.
- Effective 2005-06, the Lottery Education Fund appropriation is no longer an item in the Budget Act. The fund is now considered a Governmental Cost Fund but will continue to fund educational costs in the DC/SOCF system.

### TOTAL LOTTERY EDUCATION FUND

\$489,000

\$489,000

# **Report on the Plan for the Closure of Agnews Developmental Center**



**January 2007**

**California Health and Human Services Agency  
Department of Developmental Services**



**“Building Partnerships, Supporting Choices”**

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**For a copy of this update or other information, please refer to  
[www.dds.ca.gov/AgnewsClosure](http://www.dds.ca.gov/AgnewsClosure)**

# Report on the Plan for the Closure of Agnews Developmental Center

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## **Executive Summary**

This report provides a comprehensive update on the status of the Plan for the Closure of Agnews Developmental Center (Agnews Closure Plan). This is the third statutorily required update and covers progress from April 1, 2006, through November 30, 2006. The prior reports provided updates on progress from July 1, 2004, through March 31, 2006, and are available at [www.dds.ca.gov/AgnewsClosure](http://www.dds.ca.gov/AgnewsClosure).

## **Background**

The Department of Developmental Services (DDS) directly operates five state developmental centers and two smaller community facilities. These facilities provide programs, treatment, and supervision in 24-hour structured health care facilities. The population of California's developmental centers has decreased over time. This decrease in the use of institutions began when the community-based system was initiated in 1969 under the newly established Lanterman Mental Services Act, now called the Lanterman Developmental Disabilities Services Act (Lanterman Act). The Lanterman Act promotes the provision of services in the least restrictive environment and emphasizes community settings as the preferred living option for most consumers. The total developmental center population declined dramatically as the community system expanded, falling from a high of 13,355 individuals in 1968 to the census of 2,895 individuals on November 30, 2006. Declining populations made it possible for the state to cease operating five facilities. Modesto State Hospital was closed in 1970, DeWitt State Hospital in 1972, Mendocino State Hospital in 1973, Stockton Developmental Center in 1995, and Camarillo State Hospital and Developmental Center in 1996.

The development of community services as an alternative to institutional care in California mirrors national trends that support the development of integrated services and the reduced reliance on state institutions. There has been a reduction in the national population of large state facilities of almost 70 percent in the last 25 years. Most states, including California, have reduced the population of their state facilities by over half since 1990. On average, ten large state facilities are closed each year across the nation. Seven states no longer operate state institutions.

In Olmstead, Commissioner, Georgia Department of Human Resources, et al. vs. L. C., by Zimring, Guardian ad Litem and next friend, et al. (1999), 527 U.S. 581, the United States Supreme Court decision stated that services should be provided in community settings when treatment professionals have determined that community placement is appropriate, when the individual does not object to community placement, and when the placement can reasonably be accommodated considering the resources available to the state and the needs of others with disabilities. Since the decision, the federal Department of Health and Human Services, Centers for Medicare and Medicaid Services (CMS), issued recommendations to the states to prepare comprehensive Olmstead plans to decrease dependency on institutional services.

## **Report on the Plan for the Closure of Agnews Developmental Center**

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The increased emphasis on the provision of services in community-based settings derives from a service philosophy embodied by the Lanterman Act and judicial interpretation. It also reflects the development of resources and expertise available in the community services system to serve individuals with complex support needs.

The plan to close Agnews Developmental Center (Agnews) was developed over a three-year period, formally submitted to the Legislature in January 2005, and approved as part of the Budget Act for Fiscal Year 2005 – 2006. Enabling legislation to support the implementation of the critical elements of the plan has been enacted. Legislation supported the development of permanent housing through the Bay Area Housing Plan (BAHP), established a pilot of community care licensed homes to serve adults with special health care needs in the Bay Area, and authorized Agnews' employees to work in the community to support the transition of Agnews' residents into community homes.

The foundation of the Agnews Closure Plan is the development of sufficient community capacity to support the transition of Agnews' consumers into the communities that are close to their families. New service and support options are being created that provide meaningful choices for each person and that are designed to provide a stable home and service system that people can count on. The administration extended the Agnews closure date from June 30, 2007, to June 30, 2008, to assure that the necessary resources would be in place.

The Agnews Closure Plan is the first significant deinstitutionalization initiative since the Coffelt settlement agreement that was reached in January, 1994. The implementation of the Coffelt settlement resulted in a reduction of the developmental center population by more than 2,320 persons between 1993 and 1998. This was accomplished by creating new community living arrangements, developing new assessment and individual service planning procedures and quality assurance systems, and by obtaining increased federal funding through the Medicaid Home and Community-Based Services (HCBS) Waiver.

### **Resource Development**

The acquisition and development of housing is a critical element in the implementation of the Agnews Closure Plan. Over 75 percent of the current Agnews' residents will move into BAHP homes. Twenty-six homes have been acquired and two are in escrow. BAHP funds are being used to purchase SB 962 homes, which provide for persons with specialized health care needs, Family Teaching Homes (FTH), and Specialized Residential Homes (SRH), which provide for persons with behavioral challenges or other specialized needs. Bay Area regional centers have also identified organizations that will be responsible for the provision of residential and support services required for the implementation of the Agnews Closure Plan. These providers are in the process of developing and implementing a service strategy that includes program design, staff recruitment and development, and review of the needs of consumers whom they may serve. For those living arrangements that require licensure, providers are also now engaged or preparing for the licensing process. Based on our success with the

## **Report on the Plan for the Closure of Agnews Developmental Center**

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acquisition of homes, it is expected that significant progress will be achieved in transitioning consumers into the community by the end of the fiscal year. The first SB 962 homes are expected to be licensed as early as February 2007, and transition activities are underway to support consumers moving into these homes. Permit documents have been submitted for 14 properties. It is anticipated that ten property permits will be approved in January 2007. Selective demolition has begun on four properties.

AB 1378 authorizes the DDS to utilize existing Agnews' state employees as part of the Agnews Closure Plan. State employees may serve as direct care staff, provide clinical and other support services, or directly operate facilities to ensure the health and well being of Agnews' residents as they transition into the community. The DDS has reached agreements with a range of labor organizations that identify the process for the deployment of state staff to the community. Agnews has also established an Outpatient Clinic as part of its acute care hospital license. The clinic is licensed by the Department of Health Services (DHS) and vendorized by San Andreas Regional Center (SARC) to provide outpatient medical and dental services with Agnews' staff. The Agnews Outpatient Clinic was operational on May 1, 2006, and has provided over 100 services to date.

### **Agnews' Consumers**

As of November 30, 2006, 261 residents remain at Agnews. Ninety-nine persons have transitioned into the community since the closure planning process began in July 2004. It is projected that 113 consumers will transition from Agnews into the community in Fiscal Year 2006 – 2007 and the remainder of persons that will transition from Agnews into the community will do so by June 30, 2008.

As of December 21, 2006, 17 consumers have moved from Agnews in the current year, and approximately 40 persons are in various stages of the community living options process and are expected to transition into the community over the next several months. In these instances, potential residential providers and community resources have been identified.

The profile of current Agnews' consumers has not changed significantly since the prior report. Sixty-nine percent of the residents today are over the age of 40. Sixty-five percent of them have lived at Agnews over 20 years. Fifty-four percent of the residents have extensive personal care and significant health care needs, approximately 25 percent have significant behavioral issues, and 20 percent require constant supervision and ongoing intervention to prevent self-injury.

Of the 99 consumers who have transitioned from Agnews between July 1, 2004, and November 30, 2006, 79 percent had been served in Intermediate Care Facility residences and 21 percent had been served in the Nursing Facility residences while they lived at Agnews. Of these consumers, 27 percent have moved to Community Care Facilities Negotiated (CCF Negotiated), 22 percent moved to Intermediate Care

## **Report on the Plan for the Closure of Agnews Developmental Center**

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Facilities/Developmentally Disabled-Nursing (ICF-DD-N), 19 percent moved to Community Care Facilities Level 4I (CCF L4I), 13 percent moved to Intermediate Care Facilities/Developmentally Disabled-Habilitation (ICF-DD-H), 5 percent moved to Residential Care Facilities for the Elderly (RCFE), 5 percent moved to the FTH, 5 percent returned to their parents homes, and 4 percent moved into Supported Living arrangements.

Of the consumers who have transitioned to the community, 87 percent are over the age of 30 years. Fifty-two percent had lived at Agnews for over 20 years. Fifty-one percent of the consumers who transitioned to the community have significant behavioral needs, 44 percent have significant health and extensive personal care needs, and 5 percent have protection and safety needs.

### **Agnews' Employees**

As of November 30, 2006, there were 1,054 employees at Agnews. Of these employees, 85 percent are full-time employees. Fifty-four percent of Agnews' employees have worked at Agnews for 10 years or less. Fifty-seven percent of the employees are over 50 years of age. Fifty-three percent of the Agnews' employees provide direct care. Seventy-one percent of the employees live in Santa Clara County.

Between July 1, 2004, and November 30, 2006, 404 employees separated from employment at Agnews. Of those separating, 38 percent left state service, 29 percent retired, and 29 percent transferred to other state employment. Of the employees that separated, 69 percent had worked at Agnews 10 years or less and 47 percent were direct care staff.

Agnews continues to promote employee stability and provide opportunities for staff to strengthen the community-based developmental services system. Managing employee attrition during closure remains a high priority at Agnews to assure continuity of services and to protect our most valuable resource, the expertise and commitment of a dedicated workforce.

Sufficient Agnews' staff is needed while the facility is in operation to protect the health and safety of remaining residents and to ensure ongoing the certification of the facility and the associated federal financial participation. Annual staff attrition remains at less than 15 percent, but it is expected to increase as the closure date nears. Personnel management will remain a constant priority throughout the closure process.

## **Purpose of the Report and Background**

### **Purpose of the Report**

This report on the Plan for the Closure of Agnews Developmental Center (Agnews Closure Plan) is submitted pursuant to the Budget Act of 2006 (Assembly Bill 1801, Chapter 47, Statutes of 2006). Provisions of the Act include the following:

“The State Department of Developmental Services shall provide the fiscal and policy committees of the Legislature with a comprehensive status update on the Agnews Plan, on January 10, 2007, and May 15, 2007, which will include at a minimum all of the following:

- (a) A description and progress report on all pertinent aspects of the community-based resources development;
- (b) An aggregate update on the consumers living at Agnews and consumers who have been transitioned to other living arrangements;
- (c) An update to the major implementation steps and timelines;
- (d) A comprehensive update to the fiscal analysis as provided in the original plan; and
- (e) An update to the plan regarding Agnews’ employees.”

The report is divided into five sections:

- Progress Report on Resource Development
- Update on Agnews’ Consumers
- Update on Agnews’ Employees
- Major Implementation Steps and Timelines
- Fiscal Update

This report provides a comprehensive update on the status of the Agnews Closure Plan. This is the third statutorily required update and covers progress from April 1, 2006, through November 30, 2006. The prior reports provided updates on progress from July 1, 2004, through March 31, 2006, and are available at [www.dds.ca.gov/AgnewsClosure](http://www.dds.ca.gov/AgnewsClosure).

### **Background**

The Agnews Closure Plan was developed over a three-year period, formally submitted to the Legislature in January 2005, and approved as part of the Budget Act for Fiscal Year 2005 – 2006. The foundation of the Agnews Closure Plan is building community capacity and successfully transitioning consumers into the community. Consistent with the plan, new service and support options are being created aimed at ensuring consumer health and safety throughout the transition and permanent housing capacity in the Bay Area.

In 2004, the administration began introducing legislation that served as the policy framework for the plan. In January 2005, AB 2100 (Chapter 831, Statutes 2004), modified by SB 643 (Chapter 551, Statutes of 2005), was effective, allowing the Bay

Area regional centers to secure and assure lease payments for residents of Agnews moving into BAHP homes and added FTHs as a new service delivery option. In January 2006, SB 962 (Chapter 558, Statutes of 2005) established a pilot project for the creation of homes licensed by the Community Care Licensing (CCL) division of the Department of Social Services to serve adults with special health care needs in the Bay Area. Additionally, AB 1378 (Chapter 538, Statutes of 2005) authorized Agnews' employees to work in the community to support the transition of Agnews' residents into community living options.

The Agnews Closure Plan projected a closure date of June 30, 2007. However, the DDS evaluated the progress in implementing the plan and determined that it was not possible to carry it out and close Agnews by June 30, 2007. The DDS concluded that a June 30, 2008, closure date was more appropriate. Through a Finance letter dated March 30, 2006, the administration extended the closure date to June 30, 2008.

## **Progress Report on Resource Development**

### **Background**

The planning process for the closure of Agnews started in Fiscal Year 2003 – 2004. The DDS utilized broad participation from internal and external stakeholders to develop a plan that would result in the orderly transition of Agnews' residents into alternative services and supports in the community. In October 2003, the teams completed their recommendations and reports that served as the basis for the Agnews Closure Plan. The Bay Area regional centers, SARC, the Regional Center of the East Bay (RCEB), and Golden Gate Regional Center (GGRC), and Agnews played a significant role in developing the plan. After several public meetings in Fiscal Year 2003 – 2004, the Agnews Closure Plan was submitted to the Legislature in January 2005, and it was approved with the enactment of the Budget Act of 2005.

### **The Transition to the Community Process**

The 1992 amendments to the Lanterman Developmental Disabilities Services Act, Welfare & Institutions Code (W&I) sections 4500 et seq. (Lanterman Act) require a person-centered approach for consumer services and supports based on sensitivity to the consumer's choices, lifestyle, and cultural background. The choices of consumers, in consultation with their families and/or authorized representatives, are given the full attention and respect of all planning team members. W&I section 4646 states in part that it is the intent of the Legislature to ensure that the provision of services and supports is centered on the consumer and takes into account needs and preferences as well as promoting community integration to lead independent, productive lives in stable and healthy environments. When it is determined that a consumer may benefit from the appointment of an authorized representative, one will be pursued on his or her behalf.

Agnews, the Bay Area regional centers, the Regional Project of the Bay Area (RPBA), and the DDS remain committed to assuring that each consumer who chooses a community living option has the appropriate services and supports for a successful transition. The selection of a future living option takes into account the consumers needs and preferences as identified through comprehensive interdisciplinary team assessments and input from the consumer and others who are important in the person's life. A plan to explore and evaluate all identified options of interest is developed and specific activities scheduled to support implementation of the plan. The participation of the consumer's authorized representative and/or family in the selection of, and movement into, a community living option is supported through the process. The transition process provides an opportunity for the consumer, his or her family, and the planning team to determine the appropriateness of possible community living options. The process ensures that team members have an opportunity to express questions or concerns so that they can be addressed during transition planning. To facilitate this process, each planning team has a designated chair and facilitator and maintains a summary of actions and issues requiring resolution.

To make an informed decision about the appropriateness of a specific living option and service/support provider, the consumer has opportunities to meet potential housemates and service providers and experience the environment of the living option under consideration. Additionally, the ability of the consumer to be supported in the living option must be evaluated. To that end, visits are scheduled to include the consumer and authorized representative, and to the extent that individuals are able and available, the family and key planning team members. Visits are scheduled at times convenient for the consumer and other planning team members.

Upon completion of the planned exploration of living options, and with input from the consumer and others who participated, the option is identified that best meets the consumer's choices and needs. At this point in time, any preferences for continued friendships and relationships are also noted to enable further consideration and coordination on the part of those individuals identified.

The regional center service coordinator and the RPBA representative monitor the status of Bay Area housing being developed and the availability of other community living options and advises consumers and their authorized representatives and families when opportunities exist consistent with their preferred living option. When specific housing options become available, a Community Living Option (CLO) planning meeting is scheduled, with the consumer, his/her authorized representative, and/or family, as appropriate, to initiate detailed transition planning.

There are two significant updates related to transitioning consumers since the last report:

1. Community Transition Guidelines for Agnews have been prepared by the DDS and are being finalized. The intent of the guidelines is to assist Agnews' staff in taking the steps necessary, consistent with existing statute and regulation, to ensure consumers experience a successful transition from Agnews to the community prior to the facility's closure in June 2008. The guidelines include an emphasis on ensuring appropriate notification of appeal processes for consumers, their family, and other planning team members and also on confidentiality of consumer information by all involved in the transition process.
2. The consumer program at Agnews is primarily focusing on the provision of training that can augment consumer skills as they transition to their new home in the community. The discussion of services and supports needed for a successful transition is the focus of each individual program plan. Program planning meeting documentation highlights the transition-related action items to be completed by the planning team.

In order to facilitate the above revisions, additional training of planning team members has occurred and will continue to occur throughout the coming year. Training has been provided to relevant staff with special emphasis on chair and facilitator roles and responsibilities.

## **Consumer Choices**

The DDS, in collaboration with Agnews' residents, Agnews' staff, and the Bay Area regional centers, has adapted the "Making My Own Choices" booklet and the "Picture and Sticker Book," and produced a ten-minute DVD that depicts four types of living options and samples of community activities. The materials were adapted specifically to support Agnews' residents in being actively involved participants in the IPP process and transition discussions.

The "Making My Own Choices" materials focus on living decisions such as how people want to live, what they want in their home, and maintaining friends and important relationships. The "Things I Like to Do" component focuses on community activities and how the person wants to spend his or her day. In addition, an Interviewers Guide that ensures that the interview process is person-centered was developed. The "Making My Own Choices" booklet and Interviewer's Guide was completed in April 2006 and discussions with Agnews' residents to complete their "Making My Own Choices" booklets began in August 2006.

The DDS Coordinator of Consumer Services is meeting with each resident of Agnews to discuss their individualized choices for living options using the adapted "Making My Own Choices" booklet. The Coordinator and support staff typically meet with 24 residents per month. Appointments are scheduled with residents one month prior to their IPP meetings so that all team members have ample time to receive the consumer's information. The estimated completion date for the project is September 2007.

## **Resource Development**

### **Bay Area Housing Plan**

The DDS sponsored AB 2100. This legislation authorizes the DDS to approve a proposal, or proposals, from the Bay Area regional centers to provide for, secure, and assure the payment of leases for housing for people with developmental disabilities. SB 643 further amended this section to clarify the regional centers' ability to assure full payment of a housing lease based upon availability of the house for occupancy.

On September 22, 2005, the Department of Finance submitted the BAHP and the expenditure plan to the Joint Legislative Budget Committee (JLBC) for review. The expenditure plan proposed using the \$11.115 million appropriated in the Budget Act of 2004 (SB 1113, Chapter 208, Statutes of 2004) and re-appropriated in the Budget Act of 2005 (4300-491) for the pre-development costs associated with acquisition and development of housing to implement the BAHP. The Budget Act of 2006 authorized the re-appropriation of the \$11.115 million in funds until June 30, 2010, to liquidate any encumbrances associated with the BAHP Expenditure Plan. On November 8, 2005, the JLBC reviewed and concurred with the BAHP, provided that the DDS submits monthly project status reports to the Legislature. The JLBC concurred with the proposed expenditure of the \$11.115 million for the pre-development costs associated with acquisition and development of housing for residents leaving Agnews. Subsequent to

the first property acquisition in June 2006, the DDS began submitting the BAHP progress reports to the Legislature.

On September 8, 2005, the initial \$20 million in bond financing was approved. On January 12, 2006, the California Housing Finance Agency (CalHFA) Board of Directors approved an additional request for \$25 million in bond financing for the BAHP. Then, on November 9, 2006, CalHFA Board approved an additional \$25 million in bond financing. The DDS anticipates additional bond financing will be approved by CalHFA at the May 2007 Board meeting. The BAHP housing funds are used to acquire properties and either renovate or construct SB 962 homes, the FTHs, and the SRHs. The housing developer, the Bay Area regional centers, and the Bay Area nonprofit housing development corporations (NPOs) have secured the necessary agreements for bond financing with the CalHFA and construction financing with the Bank of America.

Since January, the housing developer has coordinated with the Bay Area regional centers, the NPOs, and BAHP service providers to clarify the roles and responsibilities of the parties, understand licensing requirements, explain the BAHP documents, and streamline the acquisition and development process. Based on consumer-centered planning underway at Agnews, housing rollout schedules have been coordinated among the parties. The rollout schedules provide projections of housing acquisition, construction and development based on individual program planning, and is adjusted as necessary to reflect real-time influences and changes in circumstances. The NPOs started identifying properties for purchase in March, 2006. In early April 2006, the housing developer trained regional center staff and the NPOs on the housing acquisition and approval process. As of December 12, 2006, 26 properties have been acquired (closed escrow) and two properties are in escrow. It is projected that it will take an average of three to six months to renovate each property.

The competitive California housing market requires significant commitment by all parties to successfully negotiate contracts that meet the needs of the BAHP. The developer began acquiring property in April 2006, prior to the real estate market decline. Finding single-story with four or five-bedroom homes affordable to purchase in the Bay Area presented a challenge. With the market softening, the acquisition of property is on track, but the construction has been delayed as a result of the complexity of dealing with local zoning and permitting processes. Acquisitions are located in 13 separate jurisdictions with different permitting processes and timelines. Efforts are underway to expedite the permitting process and engage local officials early on during the design phase.

The current 28 BAHP properties have not encountered a negative community response. However, one prior property acquisition encountered strong opposition from some neighborhood residents after the property was purchased. Although the property was exempt from local zoning controls and use permits, the steering committee decided to sell the property and focus on purchasing properties in more receptive neighborhoods.

To foster community support, the resource development teams are implementing several measures including identification of properties that have adequate on-site parking and setbacks that will allow BAHP homes to blend into the existing neighborhood. The resource development teams also seek out properties to purchase that are attractive, or that provide an opportunity in the rehabilitation process to make the house one of the more attractive homes in the neighborhood. Additionally, a rapid response by service providers to neighbor concerns is a critical factor in the ongoing success of the BAHP community living arrangements.

The BAHP funds are being used to purchase SB 962 homes, Family Teaching Homes (FTH), and Specialized Residential Homes (SRH). Bay Area regional centers have also identified organizations that will be responsible for the provision of residential and support services required for the implementation of the Agnews Closure Plan. These providers are in the process of developing and implementing a service strategy that includes program design, staff recruitment and development, and review of the needs of consumers they serve. For those living arrangements that require licensure, providers are also now engaged or preparing for the licensing process. Based on our success with the acquisition of homes, it is expected that significant progress will be achieved in transitioning consumers into the community by the end of the fiscal year. The first SB 962 homes are expected to be licensed as early as February 2007, and transition activities are underway to support consumers moving into these homes.

### **BAHP Acquisition Process**

The property acquisition process has been streamlined, as follows, to increase the number of BAHP acquisitions.

#### ***Site Identification***

In January, 2006, each Bay Area regional center began forecasting the number of homes, by housing type, needed to support consumer placement within their respective catchment areas. The NPOs collaborated with the housing developer to identify properties based on predetermined the BAHP site selection criteria. The criteria ensures that homes are located in safe, high-quality neighborhoods, near public transportation, community amenities, emergency medical services and recreational activities. Research conducted during the site identification process determines the concentration, if any, of licensed facilities in the area.

#### ***Expedited Initial Proposal***

Once a home has been identified, the housing developer, service provider, NPO and the regional center representative conduct a site visit and determine if the home should be placed under contract. If the determination meets team agreement, a formal request is made to the BAHP Steering Committee to approve placement of the home under contract. If approved, a refundable deposit is wired into escrow, the home is placed under contract for a period of 60 days, and the 25 – 30 day contingency period begins.

### ***Formal Initial Proposal***

During the contingency period, the NPOs coordinate due diligence inspections of the property to verify any existing structural, mechanical or environmental concerns. Further, the NPO works directly with the CCL to ensure that there is no overconcentration of licensed facilities in the area.

### ***Due Diligence Period***

The housing developer, in conjunction with the service provider, the regional center and the NPO generate a scope of work for each property to ensure the property can achieve a set of design principles and guidelines unique to the particular population moving into each housing type. Once generated, the housing developer engages an architect to visit the site and create a proposed space plan that captures the scope of work. Subsequently, the housing developer analyzes the inspection reports, the space plan, a design guidelines checklist, and estimated budget for the improvements. During the contingency period a construction inspector, representing the lenders, visits the property and approves the proposed scope of work or recommends changes to the scope of work.

### ***Final Proposal***

Prior to the end of the contingency period and before the deposit becomes non refundable, the housing developer presents a final proposal to the steering committee for review. The final proposal includes a scope of work, budget, site photos, space plan, independent appraisal ordered by the lender and all due diligence reports. Upon approval from the BAHP Steering Committee, the housing developer releases the contingency on the property and closes escrow on the property.

### ***Acquisition Construction Loan Closing***

The acquisition construction loan closing is when the housing developer coordinates with the NPO, service provider, regional center, and both lenders to execute multiple loan documents and records the required documents to acquire the property.

### ***Design Phase***

Upon close of escrow, the design phase begins. During this phase, the regional center team, the service provider and the NPO review and approve preliminary plans and finishes for each property. The architect incorporates final comment, develops the permit documentation, and submits the plans and necessary permit applications (e.g. building, asbestos removal, sprinkler, fire alarm).

Due to the need to research the various code requirements for each home type among jurisdictions, the BAHP properties have taken approximately 75 days to complete the permit application process. An upfront investment in research of the local permit processes has resulted in the architects producing a permit application package in as little as 28 days. As of December 14, 2006, 19 BAHP properties are in the design phase.

### ***Permit Approval***

To date, the properties acquired are located in 13 jurisdictions including, but not limited to Livermore, Union City, Castro Valley, Hayward, Alameda, Campbell, San Mateo, San Bruno, Cupertino, San Jose, Los Gatos, Morgan Hill, and South San Francisco. Each locale has its own permit process. The housing developer and the architect work with each local jurisdiction to educate them on the BAHF and to access the requirements and anticipated turnaround time to receive permit approval. The permit process varies widely between jurisdictions; some jurisdictions grant permits over-the-counter, while others require three to four weeks from formal submittal to approval. A continued investment in education with the local jurisdictions is anticipated to reduce the permit turnaround time for future BAHF homes.

Once permits are received, the housing developer pursues final lender approval and proceeds with construction. Permit documents have been submitted for 14 properties. It is anticipated that ten permits will be approved in January 2007. Selective demolition has begun on four properties.

### ***Schedule Update***

The current plan is for 20 homes to receive certificates of occupancy by mid-May 2007 with staggered delivery beginning in February 2007. The housing developer projects the remaining 42 homes will be delivered for occupancy between mid-May and December 31, 2007.

### **Family Teaching Homes and Extended Family Teaching Homes**

FTH were added to the Adult Family Home Agency (AFHA) model as part of AB 2100. The FTH model differs from the AFHA in two ways. First, a FTH can serve up to three individuals in a home rather than two. Second, individuals living in a FTH do not share the same home as the family. The teaching family lives in an adjoining home, and typically the home is a duplex. To accommodate the FTH model, the DDS developed FTH regulations that were promulgated on November 7, 2006. Currently, six individuals are living in FTHs in the SARC catchment area. Resources are under development in Fiscal Year 2006 – 2007 to move 18 individuals into FTHs. Current plans are for an additional 27 individuals to move into FTHs in Fiscal Year 2007 – 2008.

In addition to implementing the FTH model, the regional centers are actively recruiting Agnews' staff to provide Extended Family Teaching Homes (EFTH). An EFTH is owned, leased or rented by the family. The AFHA recruits, trains, approves, and monitors families who provide this service. Each EFTH will serve no more than two adults with developmental disabilities in their home where they will receive specialized, highly-supported and well-monitored services.

Agnews has had six staff orientations to provide information to prospective EFTH families from among Agnews' staff. More orientations are scheduled in 2007. There are

many Agnews' staff who have established long term personal relationships with consumers currently living at Agnews and who are interested in providing ongoing supports in their own homes to these same consumers. The orientation covers a brief synopsis of the program and the attendees of the orientation are given an interest survey. The interest survey requests contact information, inquires why they are interested in the program, if they plan on working an additional job while being an adult family home provider, whether they will be moving or if they will be providing services in their current home, and if they would like to meet with a representative of the AFHA to personally discuss the program. Once potential home providers are identified they must go through a stringent screening process that includes, a general physical, drug screening, tuberculosis testing, and fingerprinting. The recruitment and training process is time intensive and involves multiple agencies as well as consumers and their families. At present, plans are for seven consumers to move to an EFTH with families of Agnews' employees.

### **Specialized Residential Homes**

To address the needs of Agnews' residents with behavioral challenges or other specialized needs, the SRHs that serve three or four persons are being developed. The homes are CCL licensed adult residential facilities that provide augmented staffing and professional services to address the unique needs of the consumer. Consumers living in the SRHs have unique behavioral needs related, but not limited, to mental health and behavioral challenges. The SRHs provide 24-hour on-site staff with specialized expertise to meet the unique needs of the individuals that they serve. In addition, these homes have the capability for on-site crisis response to meet scheduled or unpredictable needs in a way that promotes maximum dignity and independence. These homes provide supervision and direct care support to ensure the health, safety, and well being of consumers with challenging needs.

To address statutory requirements when a majority of consumers in any one SRH turns age 60, the adult SRH will need to be re-licensed as a RCFE. To accommodate this transition, all BAHP SRHs will be constructed, or renovated, to meet the physical plant requirements for both the Adult Residential Facility license and the RCFE license.

In Fiscal Year 2006 – 2007, six SRHs are being developed for 21 consumers leaving Agnews. Homes will be located in Santa Clara, Alameda, Contra Costa, and San Mateo Counties. In the 2007 – 2008 Fiscal Year, 15 SRHs will be developed.

### **SB 962 Homes**

The DDS sponsored legislation to establish SB 962 homes, also known as adult residential homes for persons with specialized health care needs. This type of residence is necessary to fill a critical gap in licensure categories for Agnews' residents who have a combination of specialized health care and intensive support needs. Examples of the health services that can be provided in a SB 962 home include, but are not limited to, nutritional support including total parenteral nutrition, gastrostomy feeding

and hydration; renal dialysis; and special medication regimes including injections, intravenous medications, management of insulin dependent diabetes, catheterization, indwelling urinary catheter management, and pain management. The creation of this new type of CCL licensed residential care facility, as a pilot project, will provide for community-based services in the Bay Area for up to 120 current Agnews' residents. The SB 962 requirements include licensed nursing staff on duty 24 hours per day, seven days per week, development of an Individual Health Care Plan for each consumer that is updated at least every six months, and at least monthly face-to-face visits with the consumer by a regional center nurse. Moreover, state costs for the residential, day programming and transportation services provided to HCBS Waiver participants are eligible for federal reimbursement. An independent evaluation of the pilot will be submitted to the Legislature by January 1, 2009.

The DDS is responsible for granting the certificate of program approval for SB 962 homes and monitoring regional center compliance with the SB 962 requirements. Monitoring activities will include on-site visits to the homes at least every six months by a registered nurse from the DDS. These visits will include, but are not limited to, confirming regional center nurse on-site visits, ensuring that each consumer has an individual health care plan that meets their needs, that there are written procedures for administration of medications, and a review of consumer records for documentation compliance.

Training was provided to regional centers and SB 962 service providers on November 30, 2006. The training included an overview of the SB 962 legislation, the DDS certification process, the transition process for Agnews' consumers into SB 962 homes, the role of the DDS, regional center, the CCL, service provider, and the QMS in SB 962 Homes.

DDS staff will provide technical assistance and, as needed, develop and monitor corrective action plans. In addition, DDS staff will respond to and investigate complaints related to consumer health care, review and approve any requested changes to the approved program design prior to implementation, and manage the contract for the independent contractor responsible for evaluating and determining the effectiveness of this pilot program.

Negotiations with the CCL have resulted in agreements to expedite and coordinate licensing applications received from potential SB 962 service providers, including pre-application reviews to ensure that BAHF properties meet local planning authority requirements. These agreements have been detailed in a flow chart that documents the steps of the process including property identification, property purchase, program certification, facility licensure, and transfer to local nonprofit housing organizations. Structured, problem-solving meetings with CCL, the Bay Area regional centers, and the housing developer occur on a monthly basis. These meetings are now focused on the development of monitoring and enforcement processes to ensure that each entity conducts its responsibilities in a coordinated manner. The completion of a Memorandum of Understanding (MOU) required by SB 962 has provided the framework

for these discussions. The MOU addresses coordination of housing acquisition and service provider licensing; routine monitoring visits; complaint assessment and response; information sharing and cross reporting; confidentiality; cooperation in administrative and enforcement actions; and, participation in the evaluation of the SB 962 Pilot. Importantly, the MOU also provides the framework for ongoing flexibility and problem solving between the two departments to ensure safe and appropriate community living options.

In addition, the DDS is meeting with the DHS, Licensing and Certification Division, to discuss the need for similar collaboration with that organization when the placement of choice and need for an Agnews' resident is an Intermediate Care Facility or other living arrangement licensed by the DHS.

Briefings regarding SB 962 requirements and implementation strategies have been completed with, the Northern California Fire Prevention Officers Association, the San Mateo County Fire Prevention Officers Association, and the fire officials for Santa Clara, San Mateo and Alameda Counties. One meeting was also held with Santa Clara County Building officials. The State Fire Marshal has aided our efforts by distributing an update on SB 962 to all fire jurisdictions in California. Since the Fire Marshal is in the process of consolidating, and re-writing fire occupancy regulations, the DDS will continue to work with these officials to ensure SB 962 and BAHP placements are designed to meet current and future fire code compliance. In Fiscal Year 2006 – 2007, nine SB 962 homes will be developed for 30 persons. Additional SB 962 homes will be developed in the 2007 – 2008 Fiscal Year.

## **Behavioral Services**

The established community system is being enhanced to provide a more comprehensive behavioral support system. As part of transition planning, the behavioral needs of each Agnews' resident are assessed and intervention strategies are identified as appropriate.

Agnews and SARC are developing a Community Intervention Response Team (CIRT) that proactively supports persons transitioning from Agnews with behavioral needs. CIRT staff will be available to provide consultation, training, and support to service providers in need of CIRT services to transition Agnews' consumers.

Four Agnews Psychiatric Technicians have been identified and trained for this project and will be supported by other professional staff such as psychologists, psychiatrists, pharmacists, and nurses. The CIRT members are now certified trainers in Professional Crisis Management and have completed a twelve unit Competent Learner course. Foundational to this model is the premise that all behaviors are a form of communication and that intervention requires a comprehensive assessment of the person's health, emotional, social and mental conditions as well as an understanding of their skills and abilities. CIRT is considered a valuable resource for Agnews' residents with behavioral needs who will be living in the community.

The Bay Area regional centers have also contracted with Telecare to develop an acute psychiatric facility that will be available for persons who are experiencing a behavioral crisis and require short-term treatment and stabilization services. Telecare has identified a site and developed a proposal that is being reviewed by Alameda County. The treatment facility will have a capacity to serve 15 persons and services will be provided within 12 to 18 months from the approval of the proposal.

## **Health Services**

Wellness is foundational to the transition plan for Agnews' residents. There are three significant components to this effort:

1. Assuring that the health needs of each Agnews' resident is assessed and that a comprehensive individualized health plan is developed.
2. Providing supports to existing community providers to enable them to become service partners in the transition effort.
3. Developing and implementing a service strategy that fills identified service gaps and supports the ongoing availability of needed services.

Agnews, the Bay Area regional centers, and DDS staff have developed a comprehensive nursing and risk assessment tool that is completed for each Agnews' resident prior to placement and on an annual basis. The nursing and risk assessment tool includes over 60 health related items including, risk conditions, special health care needs and dietary needs. The assessment is used to develop a Health Transition Plan that is incorporated into the Community Living Options transition process. The RPBA, the regional center service coordinators, and the QMS team members share responsibility to assure that the plans are implemented.

The DDS and the Bay Area regional centers have formed a Training Committee to implement training and support activities for community providers. The most recent training was on September 12, 2006, by Columbus Medical Services and Elwyn. Dr. Robert Perry led a workshop on Dementia in Persons with Special Needs. Continuing education credit was made available to encourage attendance. Sixty health service participants attended the training. Another workshop on Practical Implications of Genetic Disorders was provided by Brenda Finucane and Elliot Simon.

Agnews expanded its license on March 30, 2006, allowing it to provide outpatient medical services to persons with developmental disabilities who reside in the community. The clinic has provided over 100 services to date, including primary medical care; specialty services, such as psychiatry and neurology; and other services such as occupational and physical therapy; and dental services. The most frequently used services are dental, primary medical care, psychiatry and neurology. In addition, SARC has vendorized Agnews to provide specialized therapeutic services. The costs of specialized therapeutic services provided to consumers who meet the qualifications

and requirements for enrollment in the HCBS Waiver are eligible for federal financial participation.

A comprehensive health service strategy has been developed that includes a short and long term plan. Medical and professional services in the short-term will be provided by Agnews to consumers transitioning to the Bay Area whenever the planning team determines that the service is needed. This may, depending on the person, include medical and professional services provided by Agnews, or the person may only require more specialized services such as psychiatry or neurology. After Agnews closes, the longer term strategy is to have identified and partnered with community medical providers prior to the closure of Agnews. A number of established health provider agencies have expressed an interest in expanding their services to continue and augment the services provided by the Agnews Outpatient Clinic. We are currently discussing the service needs of Agnews' consumers with these established providers and are exploring their capacity and interest. These alternatives will be finalized to ensure that the transition can begin prior to the end of 2007.

Agnews will play a significant role in the provision of medical services needed to support transition of its residents to the community. These services may be provided in the person's home as well as at the outpatient clinic. Many of the consumers with extensive needs will receive their medical services from state staff.

The health service fiscal component is complex and, like the service strategy, includes short and long-term components. Some of the outpatient services that Agnews provides to transitioning residents are allowable costs integral to the closure process. Regional centers can also authorize the purchase of specialized therapeutic services through the Medicaid Waiver when appropriate. The DDS is continuing to work with the DHS as well as internally to assure that the costs for these services are appropriately billed.

The DDS and the Bay Area Regional Centers are working to ensure ongoing access to health services. We are collaborating with the DHS to identify ways to improve access to managed care programs. This service option has the potential to meet the needs of a number of regional center consumers because it provides access to a wide range of medical and professional services through a system that is coordinated by a primary care physician. The DDS has scheduled meetings with the Santa Clara and Alameda County Health Plans to strategize on ways to access health services through their plans. Both counties have or are in the process of establishing Special Needs Plans for persons who are eligible for Medi-Cal and Medicare. The long-term objective is to access coordinated medical services for consumers in the community.

## Oral Health

The Bay Area regional centers have contracted with the University of the Pacific (UOP) School of Dentistry to provide training and support to assist the regional center dental coordinators to fulfill their goal of increasing access to dental resources and providers for consumers transitioning from Agnews into community living arrangements. With the assistance of the UOP School of Dentistry, the Bay Area regional centers have interviewed and hired a dental coordinator at each regional center. The dental coordinators act as liaison between the regional centers and community oral health professionals. They also provide triage, referral and tracking, conduct individual and community prevention programs, and develop local resources. Each of the regional centers has appointed a dental liaison who supports and facilitates the dental coordinators. The UOP School of Dentistry is providing training and technical assistance to the dental coordinators and liaisons.

The UOP School of Dentistry is also completing a feasibility study to determine whether their Union City clinic could expand its services to include dental sedation. A number of Agnews' residents as well as current community consumers require dental services that include sedation. The need for this service has been demonstrated by its significant utilization through the Agnews Outpatient Clinic. The feasibility study is underway and will be completed and available for consideration in early 2007.

Each of the regional centers has collected information about oral health systems present within their catchment area. They have completed a community-mapping process to identify community clinics, dental offices, and hospitals that might be sources of treatment for Agnews' residents. Dental coordinators are also contacting oral health providers in their areas who are known to have accepted referrals in the past or who have been identified as possible sources of care. This process will allow them to understand the capabilities and desires of those providers. Dental coordinators are offering support for referrals which can allow providers to increase the number of referrals they accept or start to accept.

The UOP School of Dentistry has completed a survey of all the dentists and dental hygienists in the Bay Area. Six hundred dentists and dental hygienists responded to the survey. The oral health professionals responding to these surveys will be targeted for further follow-up as possible sources of care. The UOP School of Dentistry is also collaborating with the regional centers to plan for continuing education courses to be provided in 2007 for oral health professionals. These courses will be offered at a low tuition rate to increase enrollment. The course will better prepared dental professionals to treat people with developmental disabilities. Attendee lists will be used for further follow-up by dental coordinators in their resource development efforts. Finally, the UOP School of Dentistry is supporting a major effort to prevent dental disease through training of direct care community staff on measures to prevent dental disease.

The final component of the project is completing an assessment of the oral health needs of individual consumers. The process begins with gathering data from existing Agnews'

records. An oral health screening examination will be conducted by the regional center dental coordinator. The oral health assessments will be integrated into transition planning and support targeted referrals to community resources. This targeted referral process involves carefully matching the needs of the consumer to the capacities of the provider and pacing the number of referrals to an acceptable level for the provider.

### **State Staff in the Community**

Employees at Agnews, as well as at other developmental centers have developed a wide range of special skills that make them effective in providing services and supports to persons with developmental disabilities. Agnews has a large number of registered nurses, licensed psychiatric technicians, physicians, social workers, teachers, physical therapists, occupational therapists, registered dietitians, rehabilitation therapists, and other professionals who have developed a repertoire of expertise beyond their formal education that is invaluable in working with persons with developmental disabilities.

As many of the Agnews employees have dedicated their entire career to providing services and supports to persons with developmental disabilities and have expressed an interest in continuing to serve the people who reside at Agnews as they transition to community services, it is beneficial to support and encourage Agnews staff to make this transition with the consumers. Toward this end, Agnews and the DDS have provided extensive staff training and orientation to prepare these employees for transition to the community-based developmental services system.

AB 1378 is a critical component for successfully transitioning Agnews' residents into community living arrangements. This legislation authorizes the DDS to utilize existing Agnews' state employees as part of the administration's plan for the closure. State employees can consider serving as direct care staff, providing clinical and other support services, and directly operating facilities to ensure the health and well being of former Agnews' residents.

The State has negotiated contracts with the three Bay Area regional centers to use state employees in the community and has reached agreement concerning the implementation of the state employees in the community program with the American Federation of State, County, and Municipal Employees, the California Association for Psychiatric Technicians, the Service Employees International Union, and the Union of American Physicians and Dentists. The agreements cover the employee selection process, the provision of ongoing supervision, employee rights and representation, and the rights of those employees in the actual closure process.

Agnews has been working collaboratively with each of the Bay Area regional centers to establish direct lines of communication with families, staff, and provider organizations in an effort to keep people informed of new developments and upcoming opportunities. One of the ways in which communication has been provided is through Community Resource Fairs. A fair was offered in the Fall of 2005 and held again on April 28-29, 2006. The Community Resource Fair is an opportunity for staff and families to meet

with current and future community providers. This two-day event includes booths, presentations, and opportunities to discuss resources available to Agnews' residents. Since regional centers have selected most of the providers to be serving Agnews' consumers, the fair provided families an opportunity to meet a number of these providers.

Agnews is also implementing a number of other strategies to keep staff apprised of opportunities for positions as state employees in the community and to prepare them to assume these responsibilities. The Agnews Staff Support Committee is working with a group of professionals from Community Care Licensing, Regional Centers, Community Providers, the RPBA, and the DDS, to provide training to interested Agnews' employees who wish to pursue becoming service providers or employees in community settings. A curriculum has been developed for state staff to assist them in transitioning their unique clinical skills to smaller, more intimate, community settings. Additionally, plans are being formulated to support Agnews' staff who receive employment offers as administrators to complete the required coursework for certification.

Since May 2006, Agnews has provided eight Information and Orientation Sessions for their employees. Morning and afternoon sessions were conducted on May 24, July 19, August 23, and September 13, 2006. During these sessions, employees received an update on the Agnews Closure Plan and information on possible business or employment opportunities in the community and the Marchesi Career Center activities, which are discussed later in this report, to support employees in the above opportunities. Over 350 staff have participated in these sessions this year. At the conclusion of each Information and Orientation Session, employees were offered an evaluation tool to assist Agnews in better understanding employee interests and needs. The tool also provided feedback to tailor future sessions to meet employee needs. In response to this employee feedback, staff information sessions have continued on a smaller more personal scale with 20 – 30 persons per class. Between September 1, 2006 and November 30, 2006, these focused sessions provided 75 Agnews' staff with an overview of the community-based developmental services and 44 Agnews' staff attended training on how to become a certified group home administrator. The RPBA, in conjunction with community providers such as California Community Opportunities have provided and will continue to provide through the Marchesi Career Center informational sessions on an individual or group basis on becoming a FTH service provider. All of these activities are publicized in the monthly staff support newsletter.

To date, Agnews has provided community state staff information sessions to over 350 employees and more than 40 employees have applied for community state staff positions. The opportunities for employees to apply for community state staff positions will increase as BAHF homes become available. State staff are now playing a number of roles in the community including direct care, training and consultation, and assessment, planning and case management. A significant increase in state staff positions in the community over the next six months is anticipated based on housing availability. These systems are designed to assure that the services provided by the

state staff meet the needs of the consumers being served and the community provider/regional center.

### **Bay Area Quality Management System (QMS)**

A refined model for QMS was completed and introduced to the Unified Plan Steering Committee, regional center quality assurance staff, advocacy groups, and the CMS Grants Advisory Stakeholder Committee in Fall 2006. The Committee included all persons (i.e., consumers, providers, regional center, and DDS staff) that have been, or will be, involved in the Unified Plan. The Bay Area QMS is based on values set forth in the Agnews Closure Plan and described in the DDS's Strategic Plan. A three-year federal System Change Quality Assurance/Quality Improvement Grant was completed in September 2006 and served as the basis for the development of the QMS model.

Specifically, the Bay Area QMS outlines the activities that will be used to:

- support value-based outcomes for providers and individuals served by those providers;
- keep people safe and assure their well being;
- ensure the satisfaction of consumers and their families;
- identify, and fill, gaps in the community system; and,
- develop, and pilot, a QMS with potential for statewide implementation; and meet CMS expectations.

### **QMS Components**

The QMS includes a provider performance expectation and quality improvement tool called Quality Services Review (QSR) that includes 37 provider expectations and 84 measurements of those expectations. A key component of the QSR is its focus on quality outcomes for individuals using several provider services monitoring tools. These tools are implemented by professional staff at regional centers, including registered nurses, psychologists, QMS specialists, and service coordinators as well as by family members, friends and other visitors to individual's homes. These outcome-based quality expectations measure achievement criteria for consumers and providers. Providers that are part of the QMS will be required to meet all expectations that will lead to their approval by regional centers. In December 2006, intensive training began on the implementation of the new monitoring tools.

In addition, a central information and integration system using Microsoft Access software has been developed. Once fully deployed, this Quality Management Information System will manage QMS data storage and display. The information system will aid QMS Specialists in working with providers to continuously improve their service provision and ensure services meet the entire array of provider quality expectations. In addition, the system will include a response tracking process to any areas or discoveries needing attention during the quality improvement efforts. The

information and integration system has been installed and is being phased in with full implementation in the Spring 2007.

The National Core Indicators (NCI) Consumer and Family Satisfaction surveys were selected to measure individual outcomes and family satisfaction on an annual basis. The Bay Area regional centers have completed Year 1 implementation of the Consumer Survey and Family Satisfaction Survey. A statistically significant random sample of approximately 750 consumers from the Medicaid Waiver population was surveyed in-person using the NCI Consumer Survey. Another sample of approximately 400 families from the same Medicaid Waiver population was surveyed by mail using the NCI Family Satisfaction Survey. In addition, the entire population of consumers that have transitioned into the community from Agnews from July 2003 through March 2005 were surveyed in-person using the NCI Consumer Survey. The implementation of Year 2 for the NCI Family Satisfaction Survey began in October 2006. Year 2 of the NCI Consumer Survey is underway, with in-person interviews beginning in November 2006. Surveys of the consumers that have transitioned from Agnews for Year 2 have been completed. The Year 1 and Year 2 survey findings and final reports will be available in early 2007.

The QMS includes ongoing mechanisms to measure individual outcomes and satisfaction in addition to the annual NCI surveys. It incorporates information from reviews by a range of regional center professionals and a Quality Snapshot survey that is completed by families and other visitors to the residential homes. These surveys provide information about the visitor's perspective on the home environment and staff, as well as the consumers well being, and are mailed directly to the Director of QMS. Quality Snapshot surveys have been distributed to many homes and data from returned surveys is being reviewed, utilized, and entered into the Quality Management Information System.

A Review Commission was established to serve in an advisory capacity to review Bay Area QMS data and reports. The members include two consumers, seven parents, an advocate from Protection and Advocacy, Inc., and one provider. The commission has held three orientation meetings since September 2005. The commission will hold its fourth meeting in early 2007, and will begin regular meetings to review outcomes and satisfaction data gathered by the QSR process. Recommendations for system improvements and capacity building will be made to the Steering Committee by the Review Commission.

### **Business Management Team**

The Business Management Team (BMT) was formed in 2003 to identify and develop the various tasks required to address the operational functions, construction projects, fiscal management, and space utilization as they relate to the closure of Agnews. The BMT is comprised of both developmental center and headquarters personnel. Seventeen project plans were developed that include the necessary tasks, responsible persons, and completion dates for facilitating closure activities. The project plans

include Client Property Transfer, Communications and Information Systems, Construction Projects, Fiscal Services, Hazardous Materials, Health and Safety, Historical, Leased Properties, Notifications, Physical Plant, Records, Regional Resource Development Projects including the Delta Regional Project and the RPBA, Security, Space Utilization, Staff Support, State Property and Supplies, and Trust. All project plans include specific protocols to define the implementation steps necessary to ensure a smooth transition.

The Human Resource Committee has begun meeting on a more frequent and regular basis since October 2006, to initiate the implementation of both personnel and labor relations functions associated with closure.

Plans have been developed to maintain the buildings in a warm shutdown condition after facility closure to prevent deterioration, provide for security, maintain health and safety conditions, and adhere to all post closure fiscal obligations. One of the four residential buildings was placed in a warm shut down on July 1, 2006. This decreases operational costs for utilities, building maintenance, and janitorial services.

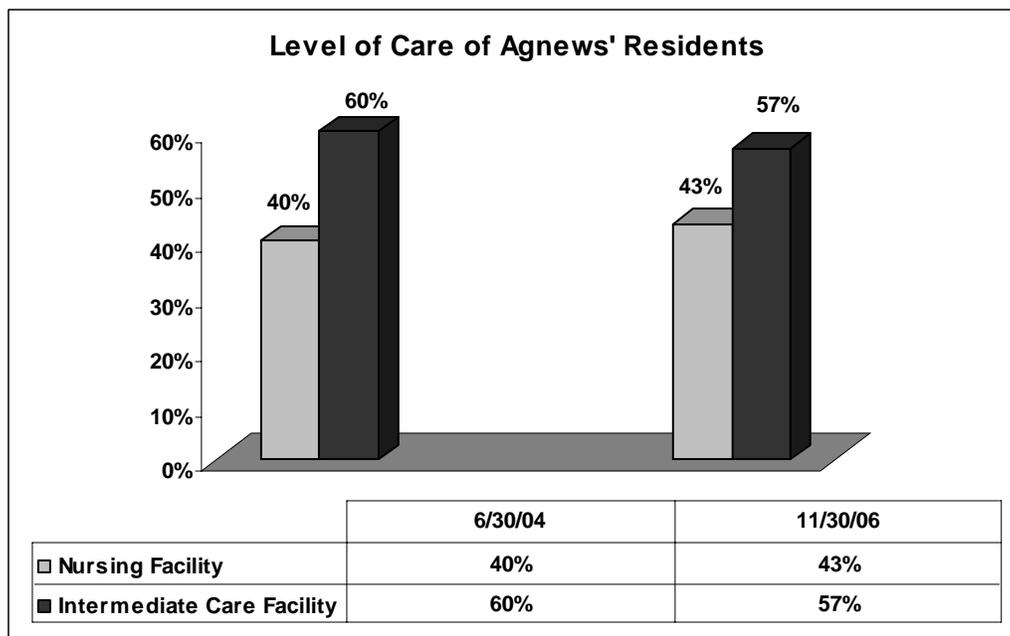
The Agnews' campus includes 51 buildings on 87 acres of land. Two off-site leases have been terminated, and their functions have been consolidated and moved onto the Agnews' campus. The only remaining lease is for the Nuttman warehouse that serves as the distribution point for laundry services provided through a contract with the Prison Industry Authority.

## Update on Agnews' Consumers

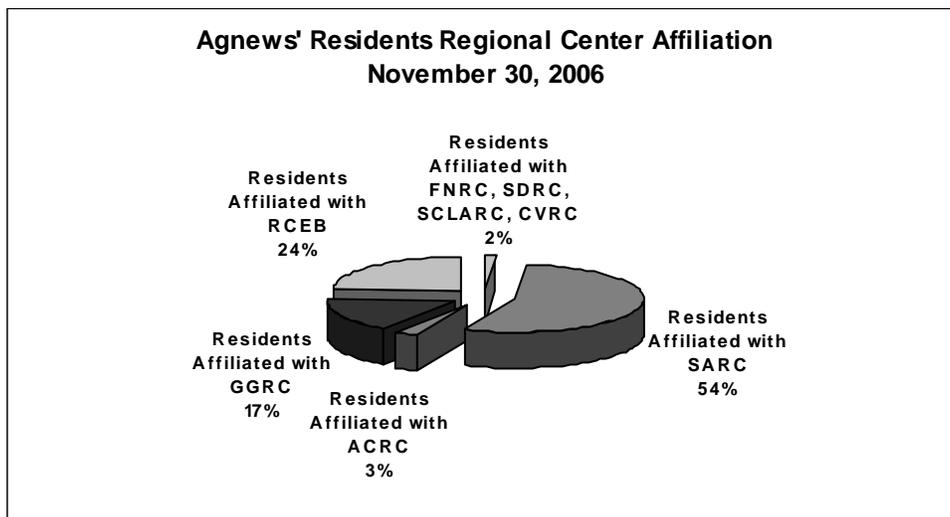
### Current Agnews' Residents

As of November 30, 2006, 261 persons reside at the Agnews' campus in three residential buildings. There are a total of 14 residences within these three buildings, with an average census of approximately 18 residents each.

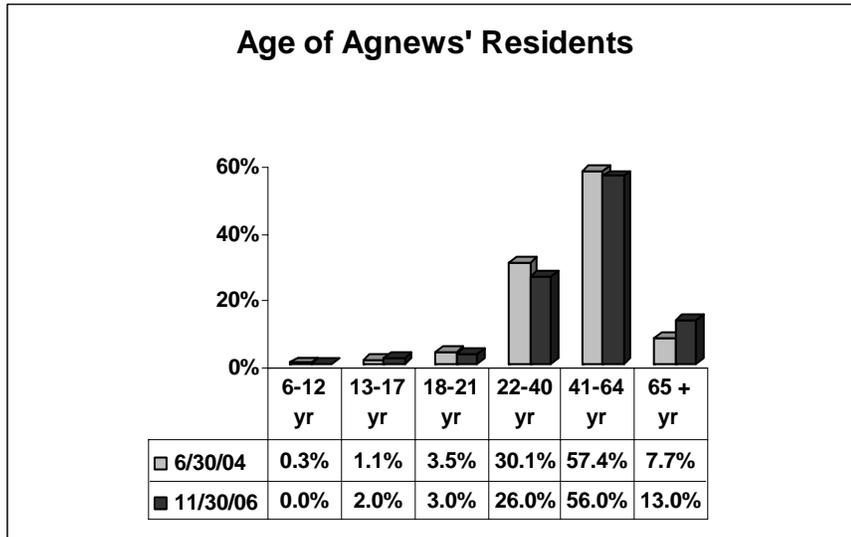
Agnews provides three levels of care to people who reside at the facility. The first level of care is provided in the Nursing Facility residences in which approximately 43 percent of Agnews' residents live. The second level of care is provided in the Intermediate Care Facility residences, which are home to the remaining 57 percent of the consumers. Both the Nursing Facility residences and the Intermediate Care Facility residences provide 24-hour residential services. The third level of care is General Acute Care where short-term medical and nursing care is provided to residents to address an illness or injury.



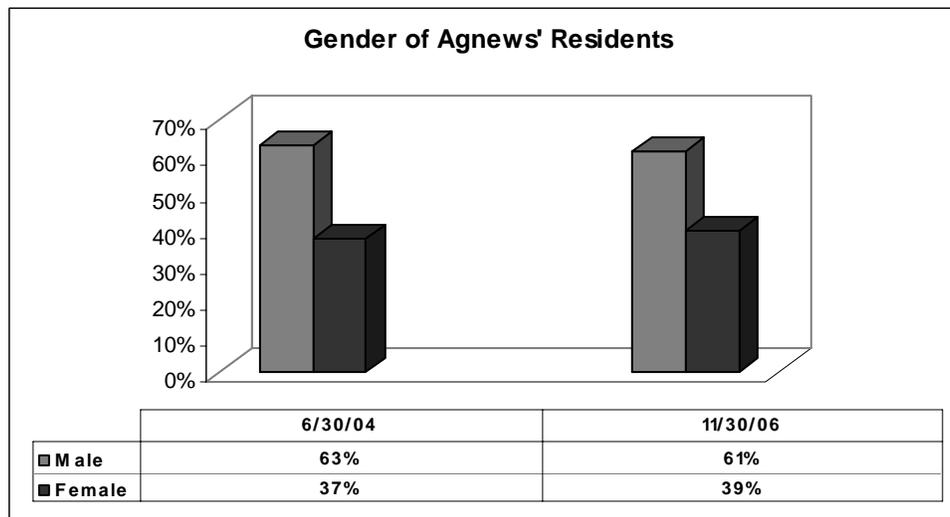
Fifty-four percent of current residents are affiliated with SARC, 24 percent are affiliated RCEB, 17 percent are affiliated with GGRC, 3 percent are affiliated with Alta California Regional Center (ACRC), and 2 percent are affiliated with Far Northern Regional Center (FNRC), San Diego Regional Center (SDRC), South Central Los Angeles Regional Center (SCLARC), or Central Valley Regional Center (CVRC).



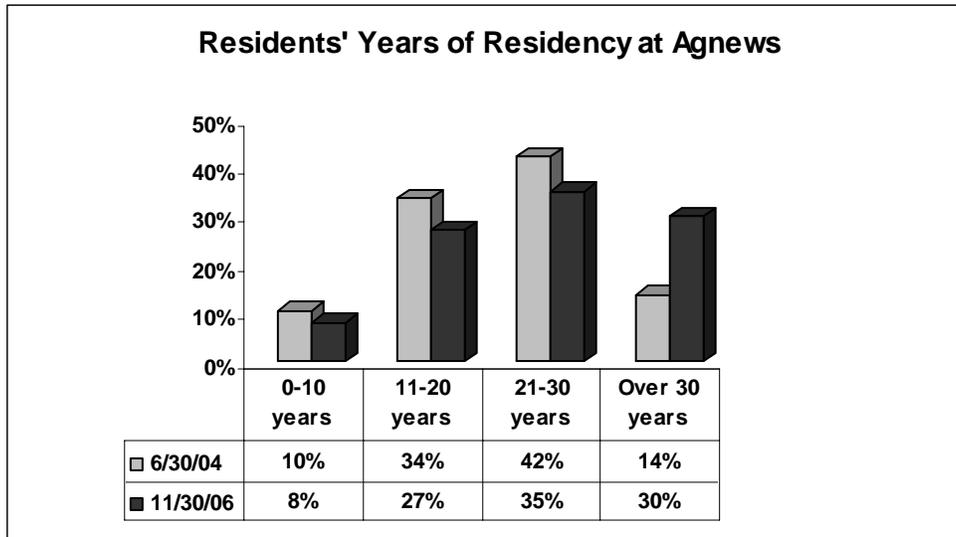
As of November 30, 2006, 69 percent of the residents are over age 40. People who are 65 years or older make up 13 percent of the population. In contrast, 2 percent of the residents are under the age of 18 years.



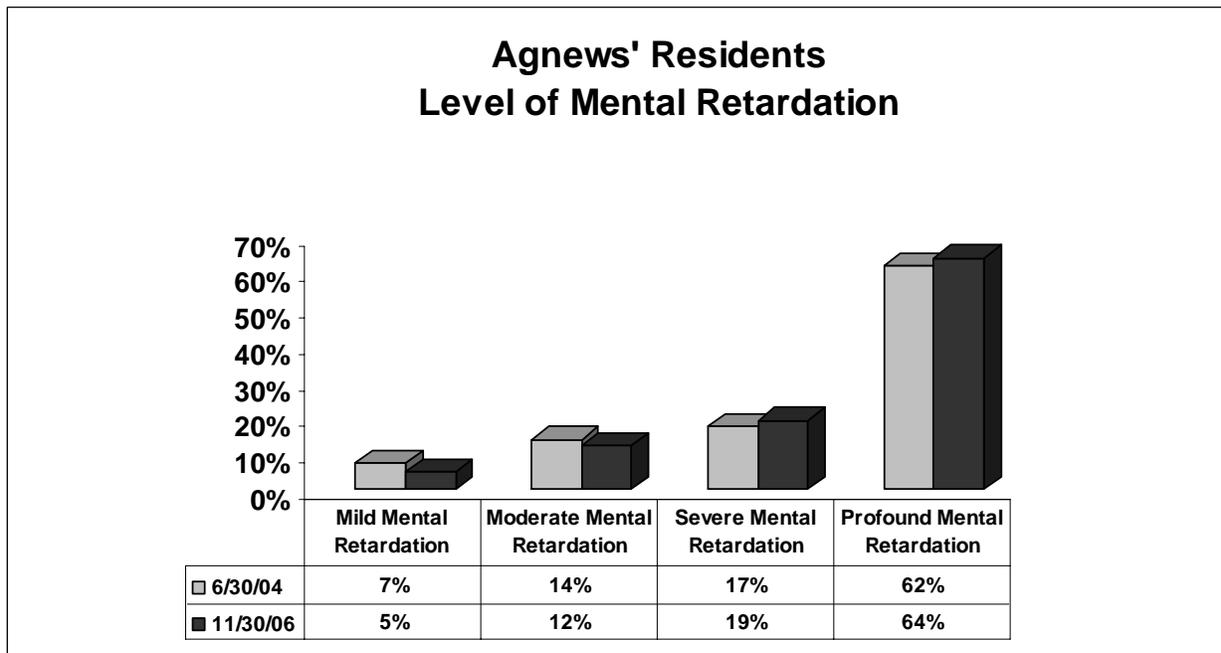
Sixty-one percent of the residents are male, and 39 percent are female.



Sixty-five percent of the current residents have lived at Agnews over 20 years. Twenty-seven percent have resided at Agnews for 11 to 20 years. Eight percent have resided at Agnews less than ten years.

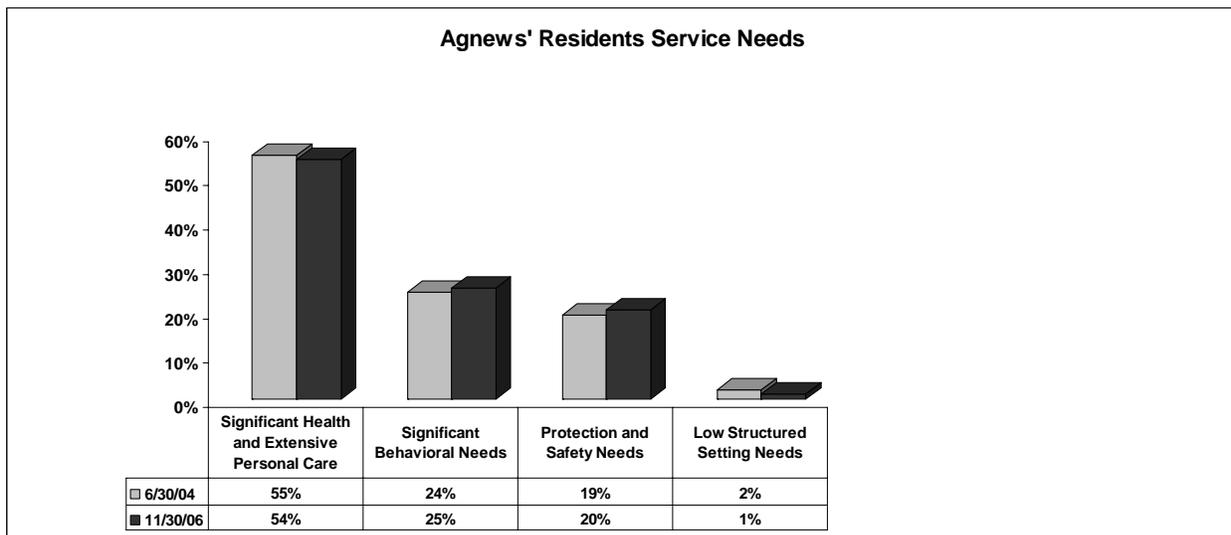


Sixty-four percent of the current Agnews' residents have profound mental retardation, 19 percent have severe mental retardation, 12 percent have moderate mental retardation, and 5 percent have mild mental retardation.



There are four primary service needs for persons who reside at Agnews.

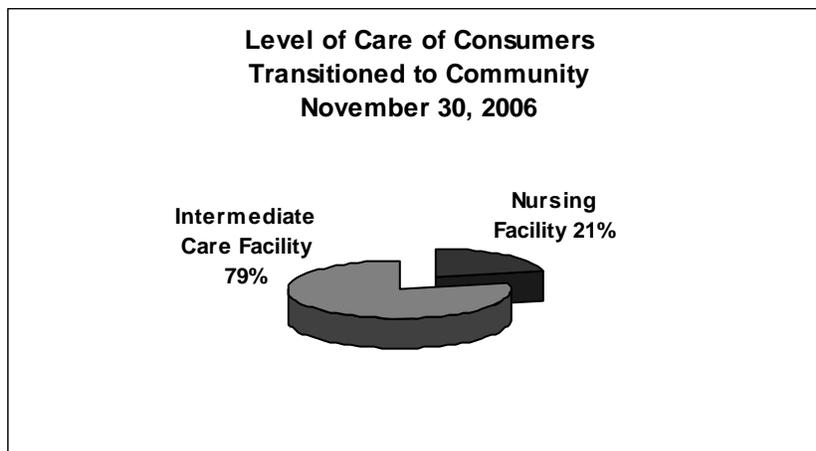
- Significant Health and Extensive Personal Care Needs:** This category includes people who require intermittent pressure breathing, inhalation assistive devices, or tracheotomy care; have recurrent pneumonias or apnea; and are non-ambulatory, requiring total assistance and care, and/or receive enteral (tube) feeding. Significant nursing intervention and monitoring are required to effectively support these individuals. Fifty-four percent of the residents have significant health and extensive personal care needs.
- Significant Behavioral Needs:** This category describes persons who have significant aggression issues that may require intervention for the safety of themselves or others. Approximately 25 percent of the residents are persons with significant behavioral issues.
- Protection and Safety Needs:** This category includes persons who need a highly structured setting because of a lack of safety awareness, a pattern of self-abusive behaviors and/or inappropriate expression of social behavior. These consumers require constant supervision and ongoing intervention to prevent self-injury and/or stigmatization. Twenty percent of the persons residing at Agnews require this type of structure and service need.
- Low Structured Setting Needs:** This service need addresses those residents who require minimal supervision and support. One percent of the Agnews' residents require minimal supervision or support.



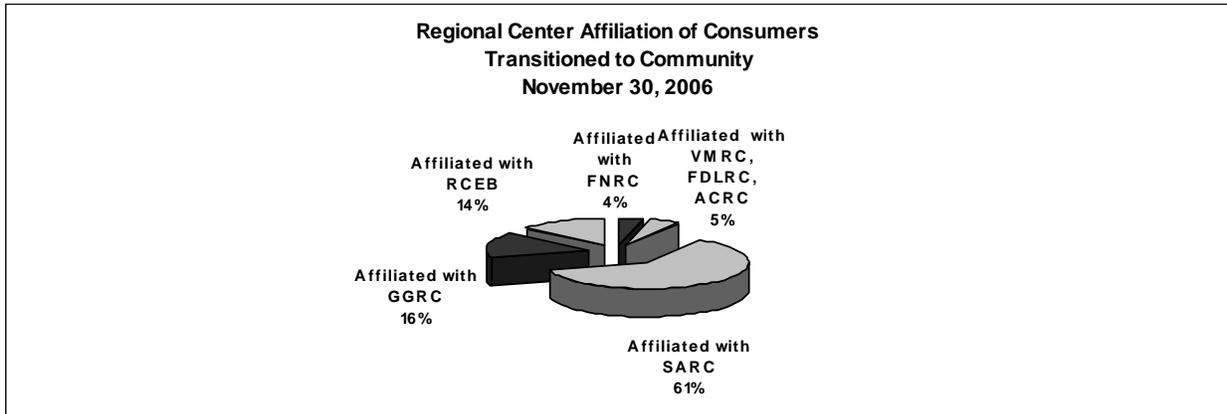
**Agnews' Consumers Who Have Transitioned Into Community Living Arrangements**

Between the period of July 1, 2004, and November 30, 2006, 99 residents transitioned to the community, 10 persons were admitted to Agnews, and 2 persons were transferred to other developmental centers. Of the consumers who transitioned to community living arrangements between July 1, 2004, and November 30, 2006, 79 percent had been served in Intermediate Care Facility residences and 21 percent had been served in the Nursing Facility residences while at Agnews.

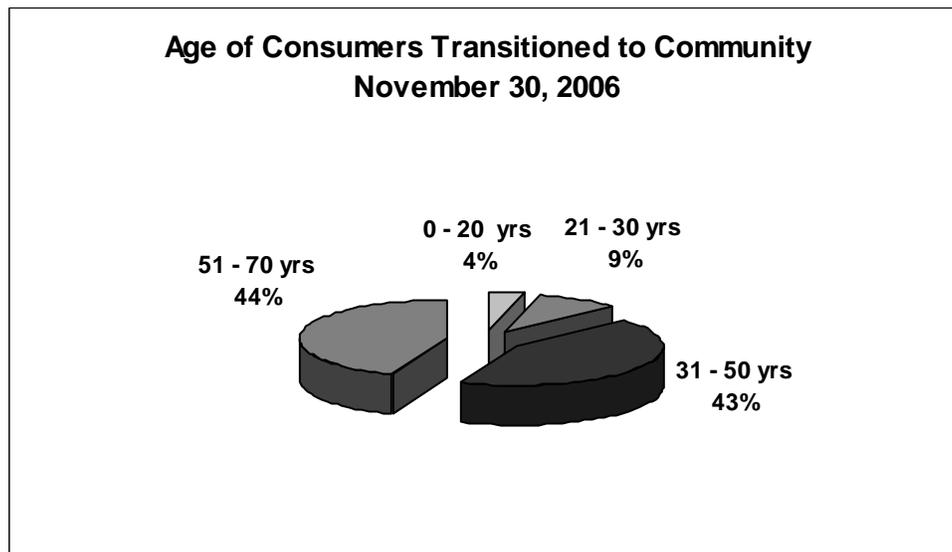
As of December 21, 2006, 17 consumers have moved from Agnews into the community, and 40 persons are in various stages of the community living options process and are expected to transition into the community over the next several months. In these instances, potential residential providers and community resources have been identified. The majority of the remaining Agnews' residents that will transition into the community will require BHP homes. Based on our success with the acquisition of homes, it is expected that significant progress will be achieved in transitioning consumers into the community by the end of the fiscal year.



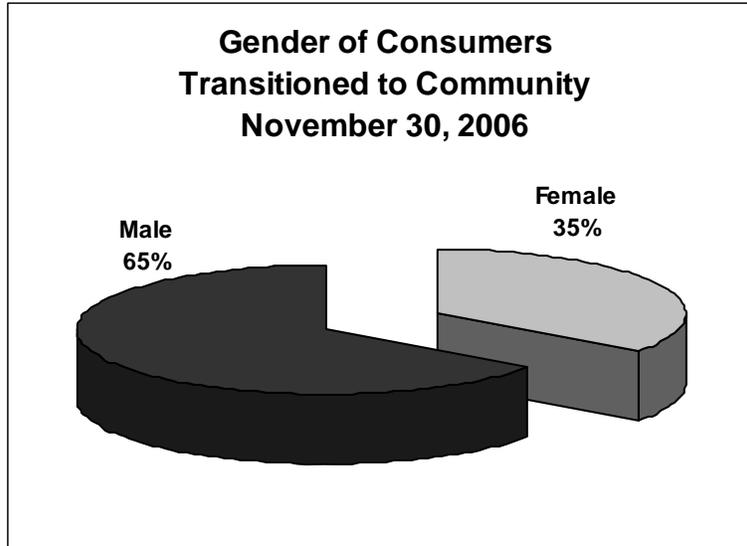
Sixty-one percent of the consumers transitioning to the community between July 1, 2004, and November 30, 2006, were affiliated with SARC, 16 percent were affiliated with GGRC, 14 percent were affiliated with RCEB, 4 percent were affiliated with FNRC, and 5 percent were affiliated with Valley Mountain Regional Center (VMRC), FDLRC, or ACRC.



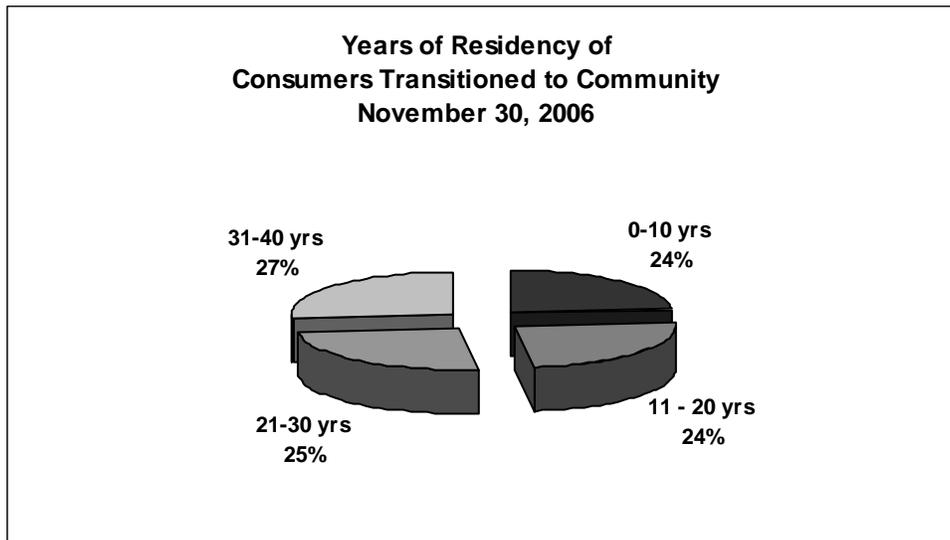
Of the consumers transitioned to the community between July 1, 2004, and November 30, 2006, 44 percent were 51 to 70 years of age at the time of transition from Agnews, 43 percent were 31 to 50 years old, 9 percent were 21 to 30 years old, and 4 percent were 20 years of age or younger.



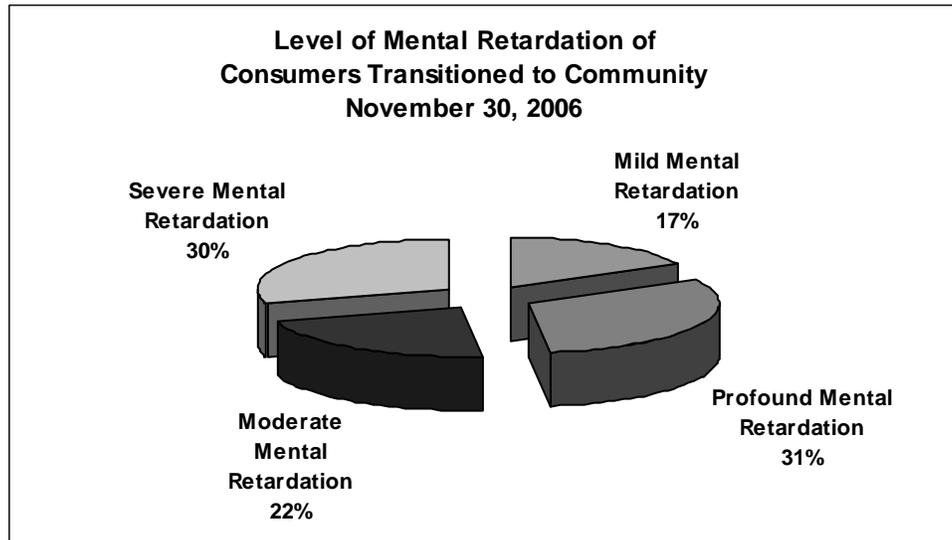
Sixty-five percent of the consumers transitioned to the community between July 1, 2004, and March 31, 2006, were male, and 35 percent were female.



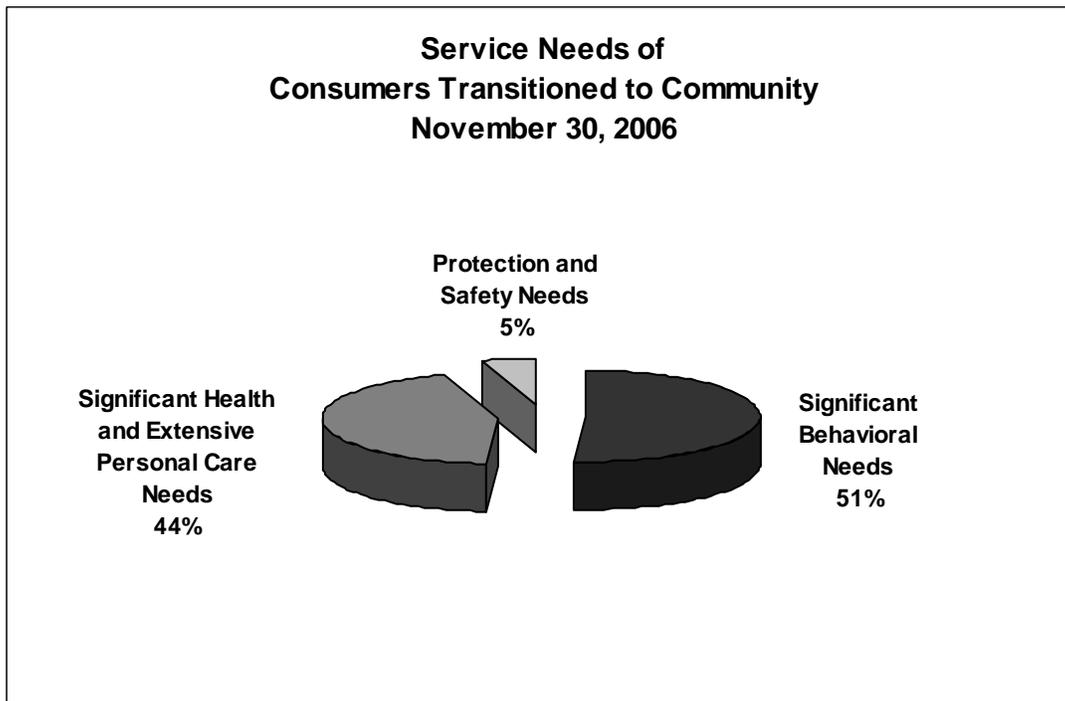
Of the consumers transitioned to the community between July 1, 2004, and November 30, 2006, 27 percent lived at Agnews for 31 to 40 years, 25 percent for 21 to 30 years, 24 percent for 11 to 20 years, 24 percent for 10 years or less.



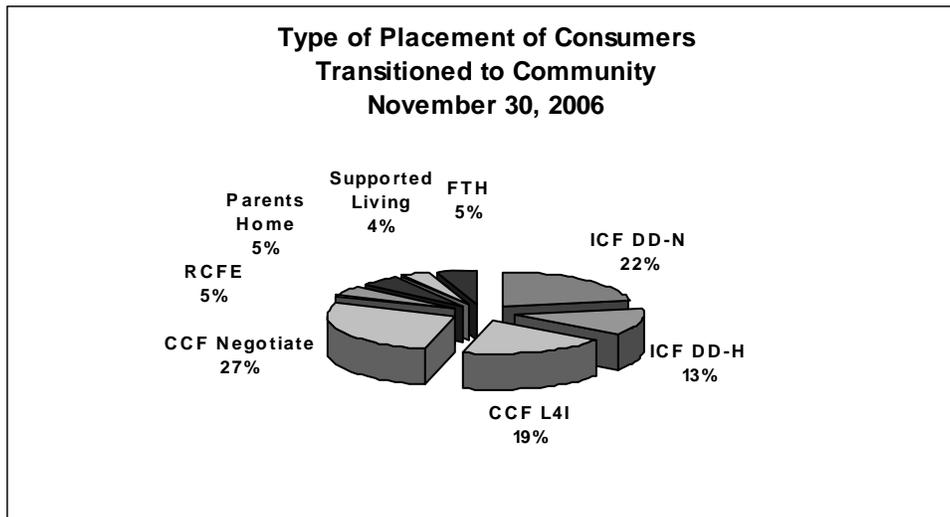
Of the consumers who transitioned to the community between July 1, 2004, and November 30, 2006, 31 percent had profound mental retardation, 30 percent had severe mental retardation, 22 percent had moderate mental retardation, and 17 percent had mild mental retardation.



Fifty-one percent of the consumers who transitioned to the community between July 1, 2004, and November 30, 2006, had significant behavioral needs, 44 percent had significant health and extensive personal care needs, and 5 percent had protection and safety needs.



Twenty-seven percent of the consumers who transitioned to the community between July 1, 2004, and November 30, 2006, moved to CCF Negotiate, 19 percent moved to CCF L4I, 22 percent moved to ICF DD-N, 13 percent moved to ICF DD-H, 5 percent moved to RCFE, 5 percent moved to FTH, 4 percent moved to Supported Living, and 5 percent moved to their Parents Home.

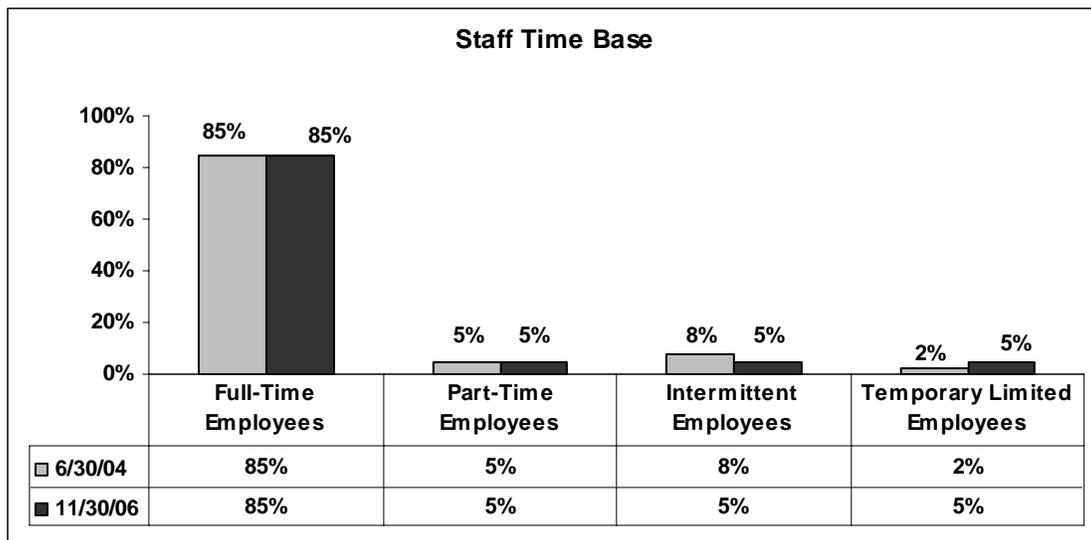


## Update on Employees

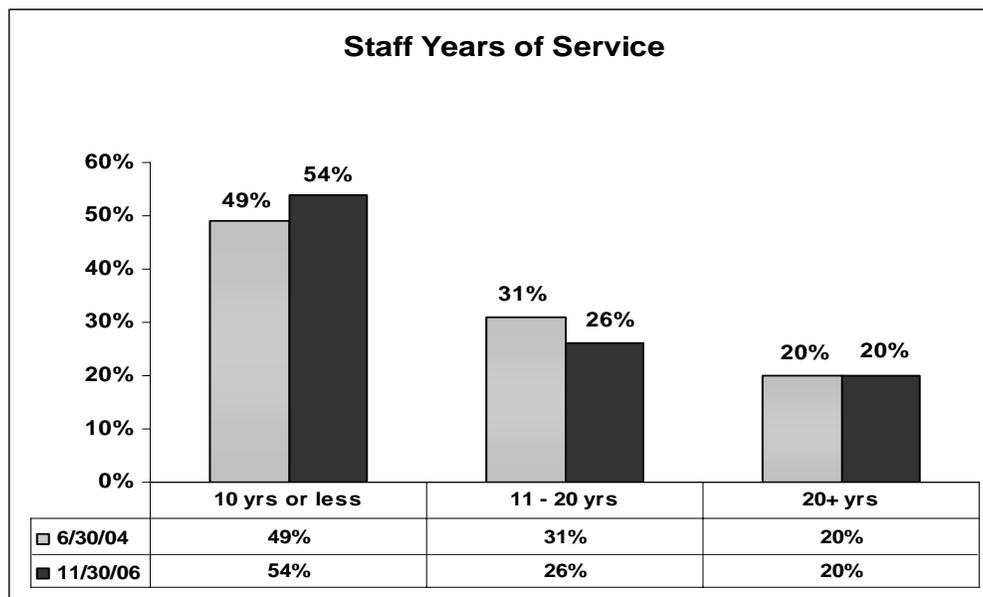
This report is an update on current employee status as of November 30, 2006. This includes updates on Current Employee Composition, Separated Staff Composition, and Plans for Employees.

### Current Employee Composition

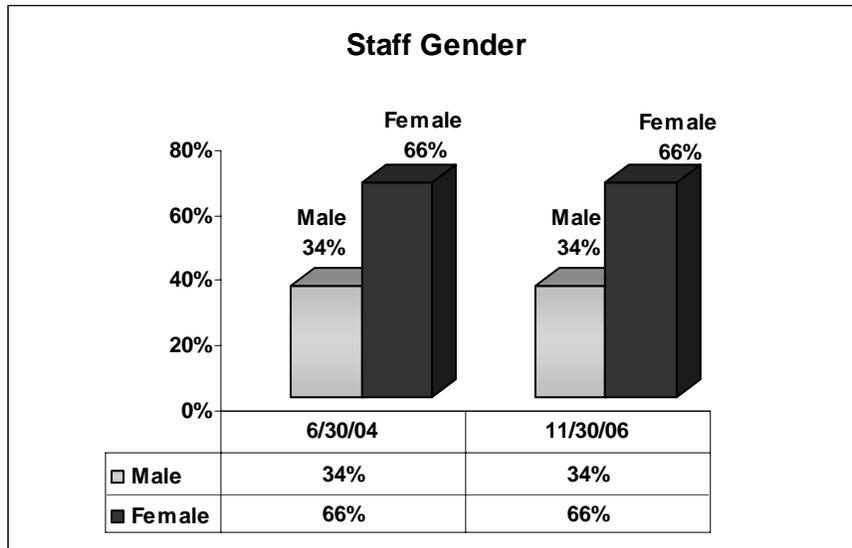
As of November 30, 2006, there are 1,054 employees at Agnews. Of these employees, 85 percent are currently full-time employees, 5 percent are part-time employees, 5 percent are intermittent employees and the remaining 5 percent are temporary limited term employees.



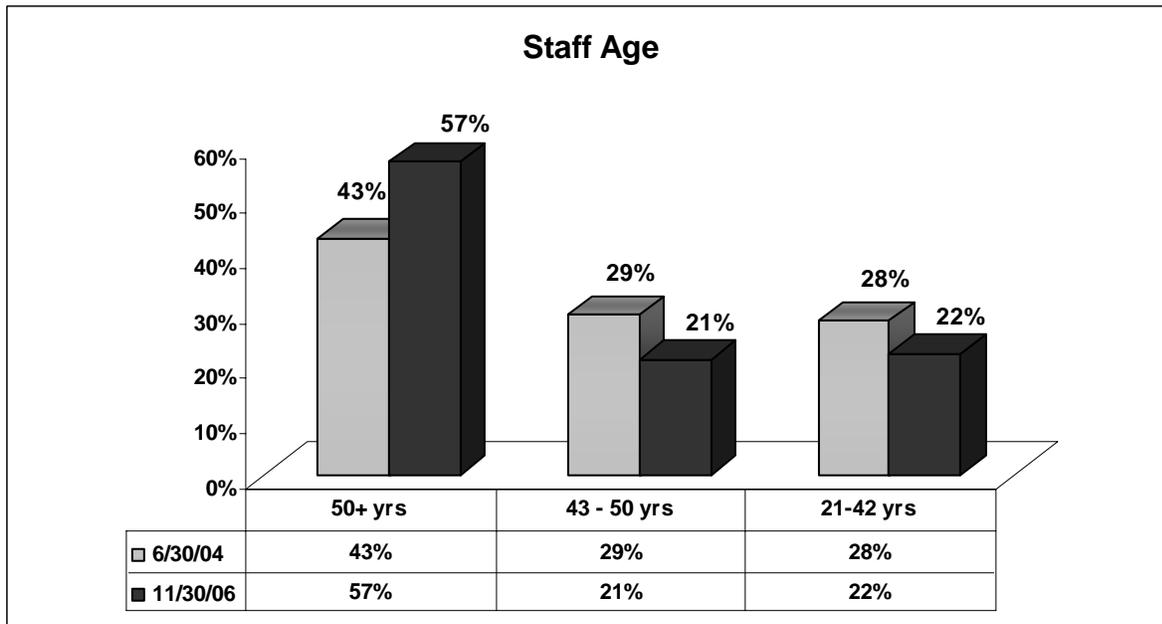
Fifty-four percent of the employees have worked at Agnews for 10 years or less. Twenty-six percent of the employees have been employed at Agnews between 11 and 20 years. The remaining 20 percent have worked at Agnews for more than 20 years.



Sixty-six percent of the workforce are female and 34 percent of the workforce are male.

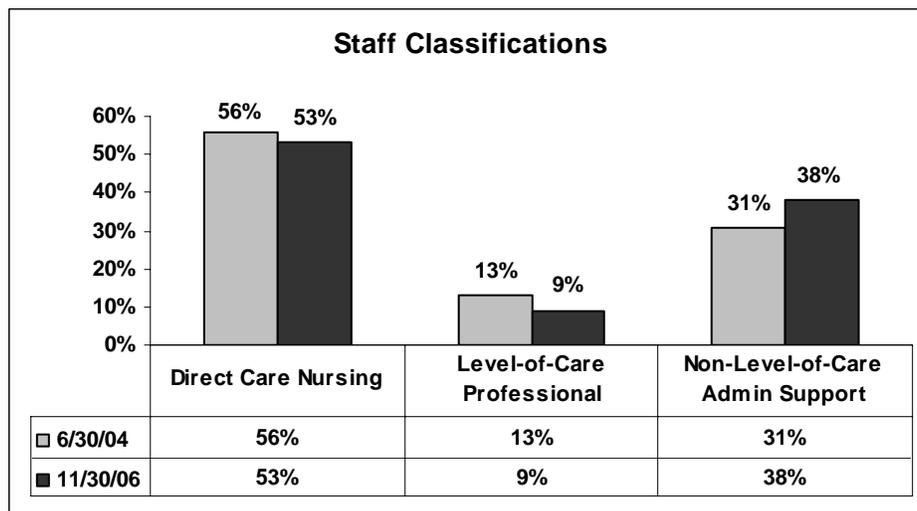


The age range of current employees is 22 to 81 years of age. The current average age of Agnews' employees is 49 years. Twenty-two percent of the workforce are between 21 and 42 years of age. Twenty-one percent are between 43 to 50 years, and 57 percent are over 50 years of age.

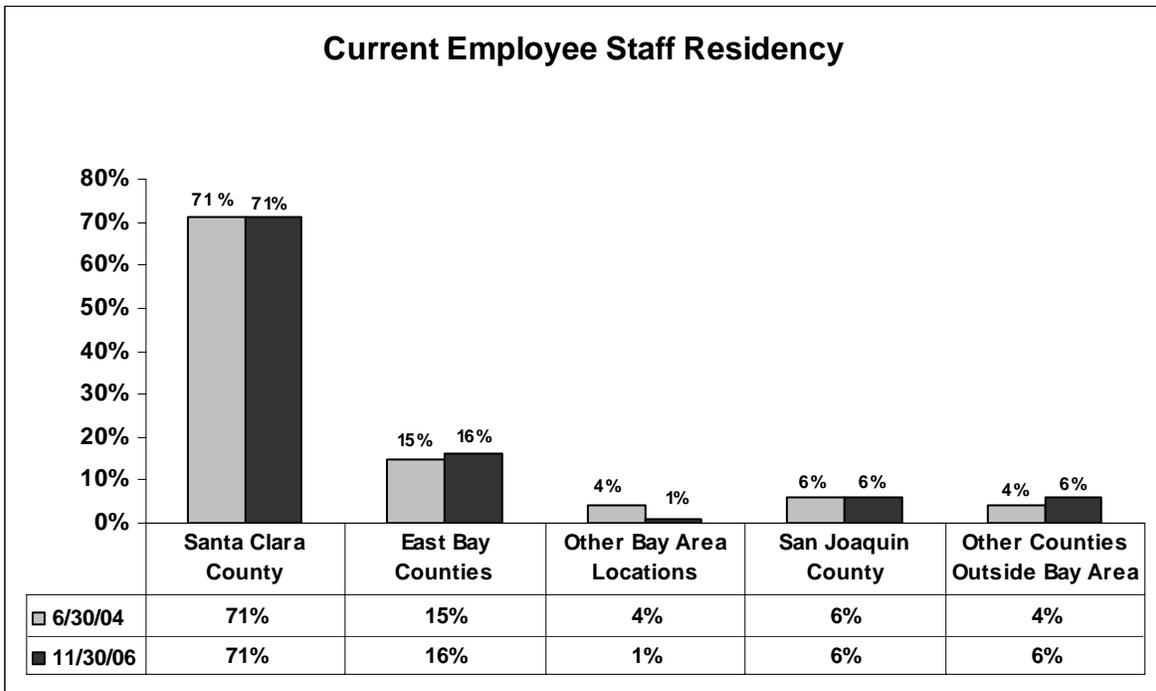


There is a wide range of employees and classifications that provide services to people residing at Agnews. These classifications are categorized as follows:

- **Direct Care Nursing:** The direct care employees make up 53 percent of the employee population and include those employees who provide direct services to the residents at Agnews. These employees are registered nurses, licensed vocational nurses, psychiatric technicians, psychiatric technician assistants, trainees, and students.
- **Level-of-Care Professional:** The level-of-care professional employees make up nine percent of the total employee population and include physicians, rehabilitation therapists, social workers, teachers, physical and occupational therapists, respiratory therapists and others who provide a direct and specialized service to the residents at Agnews.
- **Non-Level-of-Care and Administrative Support:** The remaining 38 percent of the employee population includes those employees who are in non-level-of-care positions and administrative support. This includes clerical employees, food service employees, personnel and fiscal services employees, plant operations employees, and all supervisors and managers.

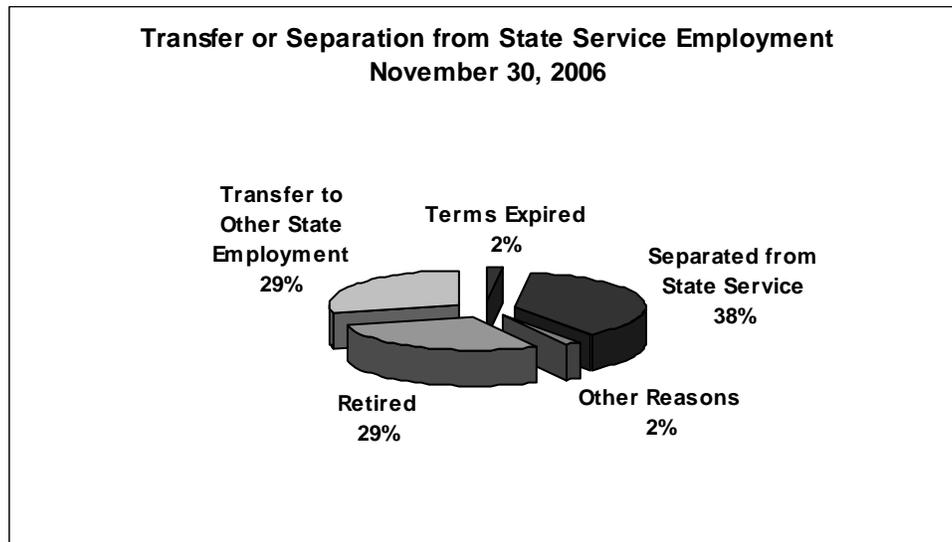


Agnews' employees continue to reside in neighborhoods throughout the Bay Area. Seventy-one percent live in Santa Clara County, 16 percent reside in the East Bay counties, 1 percent of employees live in various other Bay Area locations, 6 percent commute from San Joaquin County, and 6 percent who commute from other counties outside Bay Area.

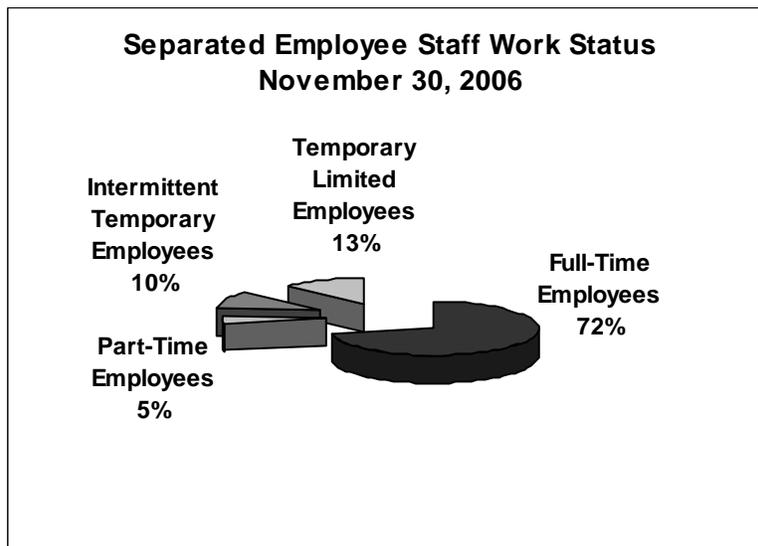


## **Separated Employee Composition**

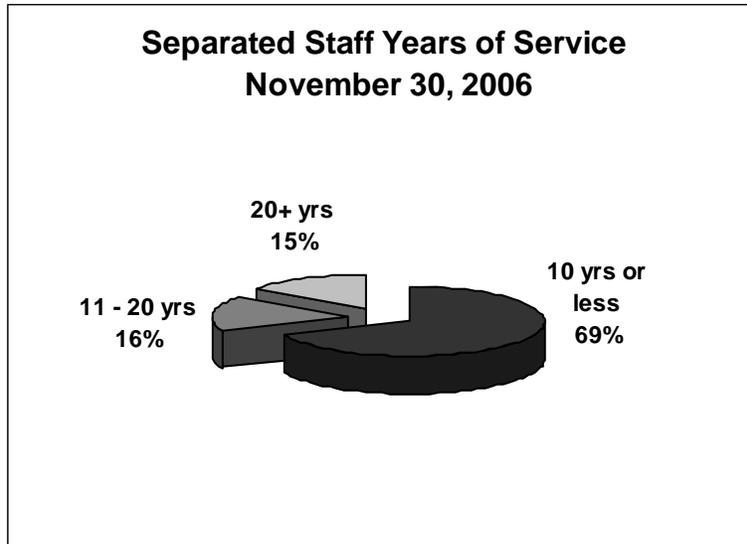
Between the time period of July 1, 2004, and November 30, 2006, 404 employees separated from employment with Agnews. Of those separating, 38 percent separated from state service, 29 percent retired, 29 percent transferred to other state employment, 2 percent terms expired, and 2 percent separated for other reasons.



Of the employees that separated from Agnews' state service between July 1, 2004, and November 30, 2006, 72 percent had been full-time employees, 13 percent had been temporary limited term employees, 5 percent had been part-time, and 10 percent had been intermittent temporary employees.



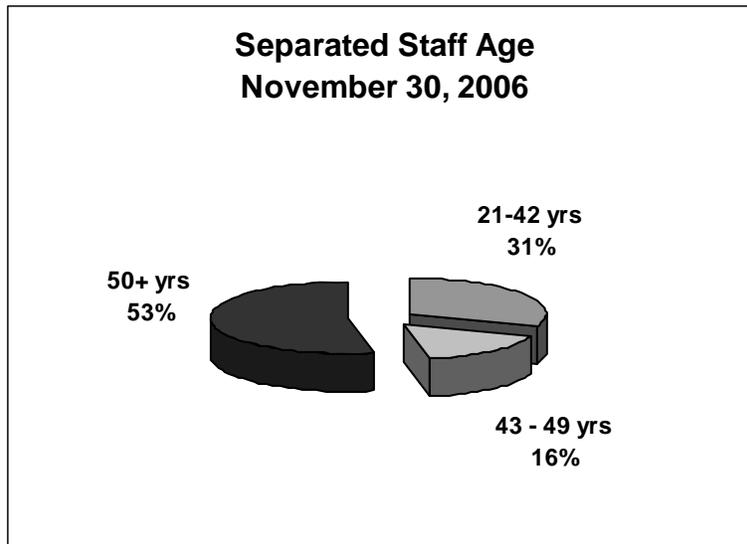
Sixty-nine percent of the employees who separated from State service had worked at Agnews for ten years or less. Sixteen percent of the employees had worked at Agnews between 11 and 20 years. The remaining 15 percent had worked at Agnews for more than 20 years.



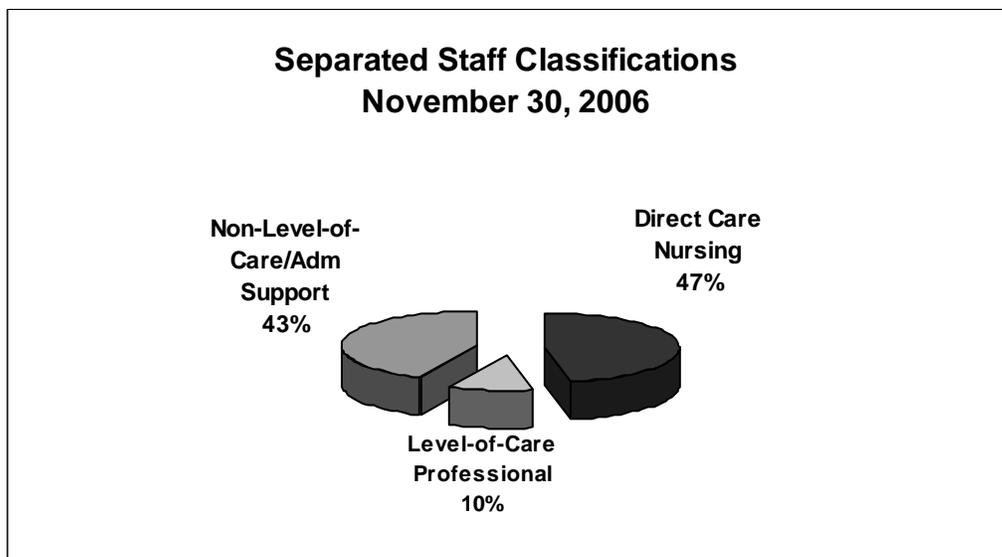
Sixty-one percent of those who separated from State service were female and 39 percent were male.



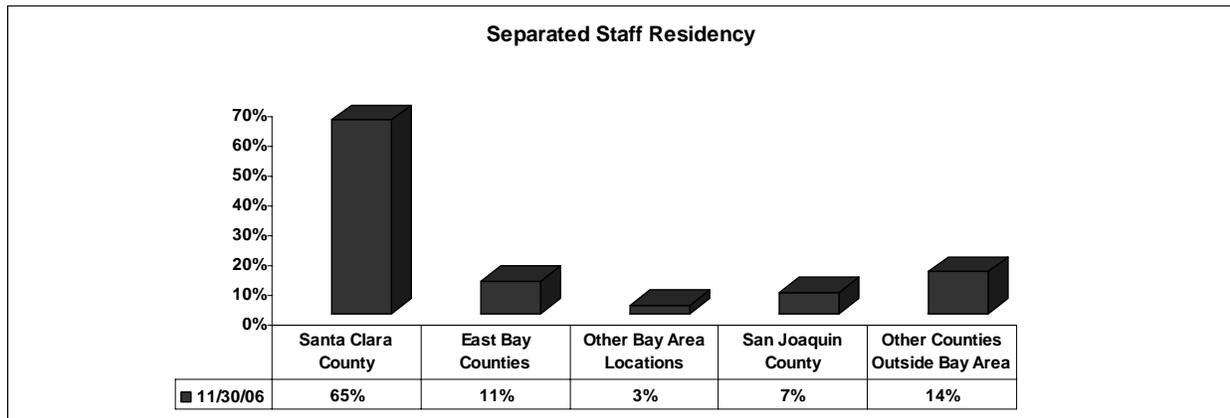
Thirty-one percent of those who separated were between 21 and 42 years of age. Sixteen percent were between 43 to 49 years old, and 53 percent were 50 years or older.



Forty-seven percent of the separated employee population were providers of direct care including nursing services to the consumers at Agnews. Forty-three percent of the separated employee population included those employees in non-level-of-care positions and administrative support. Ten percent of the separated employee population were level of care professional service providers to the consumers at Agnews.



Separated employees continue to reside in neighborhoods throughout the Bay Area. Sixty-five percent live in Santa Clara County, and 11 percent reside in the East Bay counties. Three percent of employees live in other Bay Area locations. In addition, separated employees residing in communities outside of the Bay Area include 7 percent who commuted from San Joaquin County, and 14 percent who commute from other counties outside the Bay Area.



## **Plans for Employees**

Agnews' employees are aware of the closure date of June 30, 2008, and the range of options that are, or will become, available to them. There are a number of resources and services that have been initiated, and will continue during the implementation of this plan.

### ***Continued Employment in the Developmental Services System***

As discussed previously in the section entitled State Staff in the Community, approximately 200 Agnews' employees will have the opportunity to seek community-based state employment. These employees will participate in providing direct residential services, training, consultation, quality assurance, and other services in the community. The procedures for selecting persons to fill these positions was developed based on negotiations, and development of the agreement with each labor organization, taking into consideration current hiring practices for state employment. Regional centers and service providers are accessing the State Staff in the Community Program and opportunities will increase greatly as BAHF housing becomes available.

### ***Individual Assistance in Developing Job Skills and Locating Job Opportunities***

The Staff Support Committee, in cooperation with the BMT, has developed a larger, more user-friendly career center called the Marchesi Career Center. The new Marchesi Career Center was officially opened in September 2006 and is currently staffed with three professionals who provide assistance to staff in a wide variety of ways including career counseling, training, and support on an individual or group basis. As of November 30, 2006, 367 staff received services from the Marchesi Career Center in the first three months of operation, with an expected increase over the next year.

The Marchesi Career Center is equipped with five computer systems with access to the internet for job searching purposes. Web links are available for connecting to advertisements for state, county, city and local jobs, as well as information on State Restriction of Appointment, Surplus Status, retirement, and benefits. The center is also a location for posting job bulletins and provides information on job opportunities within the state system.

The Marchesi Career Center also serves as a training site complete with a media center which includes a power point projector for presentations, equipment for DVD or video presentations, and training materials specific to community-based business opportunities, community-based employment, job searching, résumé writing, application processing and interview tips and techniques.

The career counseling process is designed to assist employees with decisions about current as well as future goals, and begins with a survey tool which assists the counselor in providing needed services to employees. The survey tool identifies employees by name and current classification, and queries their educational background, employment or retirement interests, job shadowing interests, and includes a comment section for any other information the employee wishes to share such as concerns or supports needed.

To better understand employee transition needs, surveys have been completed with dietary services staff, plant operations staff, registered nurses, licensed vocational nurses, psychiatric technicians, psychiatric technician assistants, and teaching assistants. The intent is to have every employee of Agnews communicate their future career interests so it is possible to identify and tailor counseling, training, and information based on those needs.

The Marchesi Career Center staff uses this information to develop training and support services consistent with employee interests. The counselors assist employees with job search information, assessing qualifications based on job specifications, finding employment within state service, preparing applications, comparing benefits, and evaluating retirement options. In addition, personal invitations and save-the-date cards are sent to employees who have expressed interest in certain opportunities.

Between September 1, 2006, and November 30, 2006, 99 staff received individual career counseling in the Marchesi Career Center. Fifty-six of those staff made advance appointments for career counseling, and 43 initiated the career counseling process based on available drop-in sessions.

Workshops on interviewing techniques and résumé writing were provided to 268 staff from September 1, 2006, to November 30, 2006, and will continue to be provided for employees. Quarterly retirement and benefit workshops continue to be provided by the California Public Employees' Retirement System.

In addition, Agnews offered a job fair for prospective employers of Agnews' employees in November 2005, and another is planned to occur in early 2007.

In association with the Marchesi Career Center, Agnews has also established a work group to gather and review questions being raised by employees for response through the Agnews Employee Newsletter. The work group, in partnership with the Employee Advisory Council, also facilitates staff morale-building activities.

Agnews remains committed to the establishment and implementation of a system that promotes employee stability and provides opportunities to assist employees with taking the next step in their future plans.

## **Major Implementation Steps and Timelines**

## VII. Major Implementation Steps and Timelines

### Report on the Plan for the Closure of Agnews Developmental Center

ID	Task Name	2						
		4th Quarter	1st Quarter			2nd Quarter		
		Dec '06	Jan '07	Feb '07	Mar '07	Apr '07	May '07	Jun '07
1	 <b>Plan Development</b>							
2	 Establish the Bay Area Project Steering Committee							
3	 Establish Agnews' proposed closure Advisory Committee							
4	 Begin Agnews' proposed closure Advisory Committee meetings							
5	 Establish Bay Area Project planning teams to solicit input on the Agnews Closure Plan							
6	 Public Hearing on the proposed closure of Agnews							
7	 Letter to Legislators and Other Interested Parties announcing postponement of Agnews Closure to July 2006							
8	 Submission of the Agnews Closure Plan to the Legislature							
9	 Legislative approval of Plan for Closure							
10	 <b>Resource Development</b>							
11	 <b>Bay Area Housing Plan (BAHP)</b>							
12	 Draft Legislation							
13	 AB 2100 Introduced							
14	 AB 2100 effective 1/1/2005							
15	 Regional Center Development of BAHP							
16	 DDS, Housing and Community Development, California Housing and Finance Agency (CalHFA), DOF, Governor's Office Review BAHP							
17	 BAHP and Expenditure Plan submitted to JLBC							
18	 CalHFA Bd approves 1st bond financing							
19	 CalHFA Bd approves 2nd bond financing							
20	 Construction and acquisition financing secured							
21	 NPOs identify potential properties							
22	 Hallmark acquires properties							
23	 Hallmark renovates properties							
24	 BAHP Legal Agreements endorsed							
25	 BAHP RC Contract Amendment Enforced							
26	 Budget Act Reappropriation of Expenditure Plan Funds							

**A check indicates a completed task.**  
**January 2007**

## VII. Major Implementation Steps and Timelines

### Report on the Plan for the Closure of Agnews Developmental Center

ID	Task Name	2						
		4th Quarter	1st Quarter			2nd Quarter		
		Dec '06	Jan '07	Feb '07	Mar '07	Apr '07	May '07	Jun '07
27	 21 Properties Receive Certificates of Occupancy							
28	 Balance of 46 Properties to be Delivered							
29	<b>SB 962 Homes</b>							
30	<input checked="" type="checkbox"/> Draft Legislation							
31	<input checked="" type="checkbox"/> SB 962 Introduced							
32	<input checked="" type="checkbox"/> SB 962 Legislation effective 1/1/2006 - Pilot Project							
33	<input checked="" type="checkbox"/> RCs Draft and Issue IAs							
34	 Prospective licensees program plans submit to DDS by RCs							
35	 Facilities certification letters issued							
36	<input checked="" type="checkbox"/> IA for evaluation of project developed							
37	 Homes developed by BAHP							
38	 Develop Consumers' Individual Health Care Plans							
39	 Transition Consumers into homes							
40	<input checked="" type="checkbox"/> Project evaluation contractor selected							
41	 Monitoring of individuals placed in homes							
42	 Evaluation report to Legislature of pilot							
43	 SB 962 sunsets unless extended							
44	<input checked="" type="checkbox"/> <b>Family Teaching Homes (FTH)</b>							
45	<input checked="" type="checkbox"/> Assembly Bill 2100 Enacted							
46	<input checked="" type="checkbox"/> Draft FTH Regulations							
47	<input checked="" type="checkbox"/> Promulgate Regulations							
48	<b>Health Services</b>							
49	<input checked="" type="checkbox"/> Establish Dental Coordinator Positions							
50	<input checked="" type="checkbox"/> Implement Expanded Nursing Assessment Components							
51	<input checked="" type="checkbox"/> Implement Expanded Nursing Assessment							
52	<input checked="" type="checkbox"/> Develop Individual Health Care Plan							
53	<input checked="" type="checkbox"/> Implement Individual Health Care Plan							
54	<input checked="" type="checkbox"/> Develop Risk Assessment Tool							
55	<input checked="" type="checkbox"/> Implement Risk Assessment Tool							
56								

A check indicates a completed task.  
January 2007

## VII. Major Implementation Steps and Timelines

### Report on the Plan for the Closure of Agnews Developmental Center

ID	Task Name							2
		4th Quarter		1st Quarter		2nd Quarter		
		Dec '06	Jan '07	Feb '07	Mar '07	Apr '07	May '07	Jun '07
57	<b>State Employees in the Community</b>							
58	<input checked="" type="checkbox"/> Draft Legislation							
59	<input checked="" type="checkbox"/> Introduce AB 1378							
60	<input checked="" type="checkbox"/> AB 1378 effective 1/1/2006							
61	<input checked="" type="checkbox"/> DDS-Labor Relations notice impacted unions of change in working conditions and offer to meet and confer/discuss.							
62	<input checked="" type="checkbox"/> DDS establishes negotiating team							
63	<input checked="" type="checkbox"/> DDS initiate survey of regional centers regarding need for State employees for use in transition to community.							
64	<input checked="" type="checkbox"/> DDS-Agnews analyze survey results to determine bargaining positions, number of unions impacted, notice additional unions if necessary							
65	<input checked="" type="checkbox"/> DDS—Agnews/Regional Centers develop contracts for use of state employees and reimbursement							
66	<input checked="" type="checkbox"/> DPA and DDS meet with unions to negotiate /discuss use of State employees							
67	<input checked="" type="checkbox"/> Agnews deploys 50 State employees							
68	<input type="checkbox"/> Agnews deploys 100 State employees per union agreements							
69	<input checked="" type="checkbox"/> <b>Quality Management System</b>							
70	<input checked="" type="checkbox"/> Establish QMS Commission							
71	<input checked="" type="checkbox"/> <b>Provider - Tools</b>							
72	<input checked="" type="checkbox"/> Complete Provider Expectations document							
73	<input checked="" type="checkbox"/> Draft QSR expectations							
74	<input checked="" type="checkbox"/> Complete QSR Manual							
75	<input checked="" type="checkbox"/> Draft and Pilot QSR monitoring tools							
76	<input checked="" type="checkbox"/> Complete QSR monitoring tools							
77	<input checked="" type="checkbox"/> Draft QSR and monitoring tools Training Manual							
78	<input checked="" type="checkbox"/> Pilot QSR and monitoring tools Training Manual							
79	<input checked="" type="checkbox"/> <b>Consumer - Tools</b>							
80	<input checked="" type="checkbox"/> Develop, pilot test, and finalize Service Coordinator Tool							
81	<input checked="" type="checkbox"/> Develop, pilot, and finalize Behavior Plan Review tool							
82	<input checked="" type="checkbox"/> Develop, pilot test and DRAFT a Quality Snapshot tool							
83	<input checked="" type="checkbox"/> Develop, pilot, and finalize Nurse Health Review tool							

A check indicates a completed task.  
January 2007

## VII. Major Implementation Steps and Timelines

### Report on the Plan for the Closure of Agnews Developmental Center

ID	Task Name	2						
		4th Quarter	1st Quarter			2nd Quarter		
		Dec '06	Jan '07	Feb '07	Mar '07	Apr '07	May '07	Jun '07
84	<input checked="" type="checkbox"/> Develop, pilot, and finalize Bay Area Project staff tool							
85	<input checked="" type="checkbox"/> Xenologic Contract							
86	<input checked="" type="checkbox"/> Completion of NCI Consumer Survey Year One							
87	<input checked="" type="checkbox"/> Completion of NCI Family Satisfaction Survey Year One							
88	<input checked="" type="checkbox"/> Final reports on both Consumer and Family Surveys							
89	<input checked="" type="checkbox"/> Develop contract and Scope of Work for Year Two							
90	<input type="checkbox"/> Final Report Year Two Surveys							
91	<input checked="" type="checkbox"/> Community Development team Meetings							
92	<input type="checkbox"/> CMS final report due on Grant							
93	<input checked="" type="checkbox"/> <b>Business Management Team</b>							
94	<input type="checkbox"/> Identify and support employees' personal needs to plan for future employment or retirement							
95	<input checked="" type="checkbox"/> Develop a plan to secure and protect Agnews' property throughout closure							
96	<input checked="" type="checkbox"/> Protocols in process to secure and protect Agnews' property throughout closure							
97	<input checked="" type="checkbox"/> Develop a process to ensure timely notification to stakeholders and appropriate entities regarding closure activities							
98	<input checked="" type="checkbox"/> Protocols in process to ensure timely notification regarding closure							
99	<input checked="" type="checkbox"/> Plan for inventory communications and IT equipment, determine and develop a plan, and effectuate disposition							
100	<input checked="" type="checkbox"/> Create website for DCs containing policies and procedures for inventory of communications, and IT equipment							
101	<input checked="" type="checkbox"/> Manage Workers' Compensation cases, and preserve and transfer active and inactive cases including Stockton DC							
102	<input checked="" type="checkbox"/> Plan for purge and preserve Agnews' records as appropriate							
103	<input checked="" type="checkbox"/> Protocols in process for purge and preserve records							
104	<input checked="" type="checkbox"/> Develop process to move personal property with the consumer							
105	<input checked="" type="checkbox"/> Protocols in process to move personal property with consumer							
106	<input type="checkbox"/> Project, plan, and manage fiscal resources thru closure							
107	<input type="checkbox"/> Plan and facilitate consolidation of programs and services as population declines, including property leases							
108	<input checked="" type="checkbox"/> Inventory, store, distribute state surplus property - supplies							

A check indicates a completed task.  
January 2007

## VII. Major Implementation Steps and Timelines

### Report on the Plan for the Closure of Agnews Developmental Center

ID	Task Name	2						
		4th Quarter	1st Quarter			2nd Quarter		
		Dec '06	Jan '07	Feb '07	Mar '07	Apr '07	May '07	Jun '07
109	 Create website for DCs containing policies and procedures for inventory, store-distribute state surplus property supplies							
110	 Develop a process to properly handle all trust account transactions during closure and forward balances at the time of discharge							
111	 Inventory and arrange for proper disposal of hazardous materials							
112	 Inventory and preserve historical items							
113	 Develop a plan to maintain Agnews' property during warm shutdown							
114	 Maintain physical plant during warm shutdown							

A check indicates a completed task.  
January 2007

**Fiscal Update**

**DEPARTMENT OF DEVELOPMENTAL SERVICES**  
**Plan to Close Agnews Developmental Center**

**BUDGET BY FISCAL YEAR**

	<b>Base 2004-05</b>	<b>2006-07 May Revision CY 2005-06</b>	<b>2007-08 November Estimate CY 2006-07</b>	<b>2007-08 November Estimate BY 2007-08</b>	<b>2008-09</b>	<b>2009-10</b>	<b>2010-11</b>
<b><u>FISCAL IMPACT BY ISSUE</u></b>							
<b><u>Developmental Centers</u></b>							
1. Agnews Budget Base	\$100,214,000	\$92,402,000	\$83,033,000	\$73,754,000	\$0	\$0	\$0
2. Placements Into the Community	-2,502,000	-9,654,000	-5,662,000	-14,949,000	0	0	0
3. Consumer Transfers to Other DCs	0	0	0	-430,000	0	0	0
4. State Employees in the Community	0	682,000	5,398,000	9,217,000	18,042,000	18,042,000	0
5. Administrative Staff for Closure	0	0	0	0	440,000	0	0
6. Warm Shut Down	0	0	0	0	4,348,000	0	0
7. Foster Grandparent/Senior Companion Program	0	0	0	0	-399,000	0	0
8. Staff Costs for Closure Plan	0	201,000	716,000	4,918,000	163,000	0	0
9. Facility Preparation	0	0	0	73,000	0	0	0
10. Consumer Relocation Costs	0	0	0	105,000	0	0	0
11. Regional Resource Development Projects	0	0	0	0	937,000	937,000	937,000
12. Agnews Staffing Plan	0	3,231,000	366,000	731,000	0	0	0
<b>Sub-Total, Developmental Centers</b>	<b>\$97,712,000</b>	<b>\$86,862,000</b>	<b>\$83,851,000</b>	<b>\$73,419,000</b>	<b>\$23,531,000</b>	<b>\$18,979,000</b>	<b>\$937,000</b>
<i>General Fund</i>	51,610,000	45,888,000	41,809,000	36,253,759	5,182,000	549,000	549,000
<i>Other</i>	46,102,000	40,974,000	42,042,000	37,165,241	18,349,000	18,430,000	388,000
<b><u>Regional Centers</u></b>							
13. Community Placement Plan	\$27,798,000	\$21,511,000	\$31,436,000	\$55,743,000	\$519,000	\$519,000	\$519,000
14. Placement Continuation	5,279,000	9,442,000	17,782,000	44,215,000	94,472,000	94,518,000	94,518,000
<b>Sub-Total, Regional Centers</b>	<b>\$33,077,000</b>	<b>\$30,953,000</b>	<b>\$49,218,000</b>	<b>\$99,958,000</b>	<b>\$94,991,000</b>	<b>\$95,037,000</b>	<b>\$95,037,000</b>
<i>General Fund</i>	29,667,000	27,543,000	42,069,000	79,976,000	72,140,000	72,174,000	72,174,000
<i>Other</i>	3,410,000	3,410,000	7,149,000	19,982,000	22,851,000	22,863,000	22,863,000
<b>GRAND TOTAL</b>	<b>\$130,789,000</b>	<b>\$117,815,000</b>	<b>\$133,069,000</b>	<b>\$173,377,000</b>	<b>\$118,522,000</b>	<b>\$114,016,000</b>	<b>\$95,974,000</b>
<i>General Fund</i>	81,277,000	73,431,000	83,878,000	116,229,759	77,322,000	72,723,000	72,723,000
<i>Other</i>	49,512,000	44,384,000	49,191,000	57,147,241	41,200,000	41,293,000	23,251,000
<b><u>CHANGE FROM PRIOR FISCAL YEAR</u></b>							
<b>GRAND TOTAL</b>	<b>Total</b>	<b>-\$12,974,000</b>	<b>\$15,254,000</b>	<b>\$40,308,000</b>	<b>-\$54,855,000</b>	<b>-\$4,506,000</b>	<b>-\$18,042,000</b>
<i>General Fund</i>		-7,846,000	10,447,000	32,351,759	-38,907,759	-4,599,000	0
<i>Other</i>		-5,128,000	4,807,000	7,956,241	-15,947,241	93,000	-18,042,000
<b>Developmental Centers</b>	<b>Total</b>	<b>-\$10,850,000</b>	<b>-\$3,011,000</b>	<b>-\$10,432,000</b>	<b>-\$49,888,000</b>	<b>-\$4,552,000</b>	<b>-\$18,042,000</b>
<i>General Fund</i>		-5,722,000	-4,079,000	-5,555,241	-31,071,759	-4,633,000	0
<i>Other</i>		-5,128,000	1,068,000	-4,876,759	-18,816,241	81,000	-18,042,000
<b>Regional Centers</b>	<b>Total</b>	<b>-\$2,124,000</b>	<b>\$18,265,000</b>	<b>\$50,740,000</b>	<b>-\$4,967,000</b>	<b>\$46,000</b>	<b>\$0</b>
<i>General Fund</i>		-2,124,000	14,526,000	37,907,000	-7,836,000	34,000	0
<i>Other</i>		0	3,739,000	12,833,000	2,869,000	12,000	0

**DEPARTMENT OF DEVELOPMENTAL SERVICES  
Plan to Close Agnews Developmental Center**

**COSTS TO CLOSE AGNEWS DEVELOPMENTAL CENTER**

**FISCAL SYNOPSIS**

		Base 2004-05	2006-07 May Revision for CY 2005-06	2007-08 Nov. Estimate for CY 2006-07	2007-08 Nov. Estimate for BY 2007-08	2008-09	2009-10	2010-11
<b>DEVELOPMENTAL CENTERS</b>								
<b>1. Agnews Budget Base</b>	<b>Total</b>	<b>\$100,214,000</b>	<b>\$92,402,000</b>	<b>\$83,033,000</b>	<b>\$73,754,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Includes the costs related to the base operations of Agnews including personal services, operating expenses, and equipment costs.	<i>General Fund</i>	52,923,000	49,154,000	44,237,000	39,347,759	0	0	0
	<i>Other</i>	47,291,000	43,248,000	38,796,000	34,406,241	0	0	0
	<b>PYs</b>	<b>1173.0</b>	<b>1187.0</b>	<b>1057.0</b>	<b>975.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
	<b>Year Beginning Population</b>	<b>376</b>	<b>327</b>	<b>280</b>	<b>161</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>2. Placements Into the Community</b>	<b>Total</b>	<b>-\$2,502,000</b>	<b>-\$9,654,000</b>	<b>-\$5,662,000</b>	<b>-\$14,949,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Includes the savings resulting from the relocation of Agnews residents into the community.	<i>General Fund</i>	-1,313,000	-5,067,000	-3,005,000	-7,975,000	0	0	0
	<i>Other</i>	-1,189,000	-4,587,000	-2,657,000	-6,974,000	0	0	0
	<b>PYs</b>	<b>0.0</b>	<b>-122.0</b>	<b>-82.0</b>	<b>-172.5</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
	<b>Placements</b>	<b>-52</b>	<b>-63</b>	<b>-113</b>	<b>-145</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<b>Deaths</b>	<b>0</b>	<b>-10</b>	<b>-6</b>	<b>-6</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>3. Consumer Transfers to Other DCs</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>-\$430,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Includes the savings resulting from the transfer of 10 Agnews residents to other Developmental Centers.	<i>General Fund</i>	0	0	0	-229,000	0	0	0
	<i>Other</i>	0	0	0	-201,000	0	0	0
	<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
	<b>Population</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>-10</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>4. State Employees in the Community</b>	<b>Total</b>	<b>\$0</b>	<b>\$682,000</b>	<b>\$5,398,000</b>	<b>\$9,217,000</b>	<b>\$18,042,000</b>	<b>\$18,042,000</b>	<b>\$0</b>
Includes costs for direct support services and clinical staff. After closure in 2007-08 costs will be transferred to Sonoma.	<i>General Fund</i>	0	0	0	0	0	0	0
	<i>Other</i>	0	682,000	5,398,000	9,217,000	18,042,000	18,042,000	0
<b>5. Administrative Staff for Closure</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$440,000</b>	<b>\$0</b>	<b>\$0</b>
Includes the costs of staff needed to ensure records are transferred or stored in a confidential manner, and essential historical documents are chronicled and maintained for approximately 90 days.	<i>General Fund</i>	0	0	0	0	440,000	0	0
	<i>Other</i>	0	0	0	0	0	0	0
	<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>20.0</b>	<b>0.0</b>	<b>0.0</b>
<b>6. Warm Shut Down</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$4,348,000</b>	<b>\$0</b>	<b>\$0</b>
Includes the staff and operating expenses to maintain the Agnews facility, including security, utilities and supplies for approximately one year.	<i>General Fund</i>	0	0	0	0	4,348,000	0	0
	<i>Other</i>	0	0	0	0	0	0	0
	<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>25.0</b>	<b>0.0</b>	<b>0.0</b>
<b>7. Foster Grandparent/Senior Companion Program</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>-\$399,000</b>	<b>\$0</b>	<b>\$0</b>
Includes savings for the Foster Grandparent and Senior Companion Programs that will be transferred to the regional center system for continuation of services.	<i>General Fund</i>	0	0	0	0	-318,000	0	0
	<i>Other</i>	0	0	0	0	-81,000	0	0
	<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>-1.0</b>	<b>0.0</b>	<b>0.0</b>
<b>8. Staff Costs for Closure Plan</b>	<b>Total</b>	<b>\$0</b>	<b>\$201,000</b>	<b>\$716,000</b>	<b>\$4,918,000</b>	<b>\$163,000</b>	<b>\$0</b>	<b>\$0</b>
Includes costs for staff transition, staff training, staffing escorts for transportation of clients, etc.	<i>General Fund</i>	0	105,000	382,000	4,625,000	163,000	0	0
	<i>Other</i>	0	96,000	334,000	293,000	0	0	0

		Base 2004-05	2006-07 May Revision for CY 2005-06	2007-08 Nov. Estimate for CY 2006-07	2007-08 Nov. Estimate for BY 2007-08	2008-09	2009-10	2010-11	
<b>9. Facility Preparation</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$73,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	
	Includes the costs associated with preparing Sonoma to receive Agnews residents.								
	<i>General Fund</i>	0	0	0	39,000	0	0	0	
	<i>Other</i>	0	0	0	34,000	0	0	0	
<b>10. Consumer Relocation Costs</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$105,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	
	Includes costs associated with relocation of clients, such as moving vans, transportation vehicles, etc.								
	<i>General Fund</i>	0	0	0	56,000	0	0	0	
	<i>Other</i>	0	0	0	49,000	0	0	0	
<b>11. Regional Resource Development Projects</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$937,000</b>	<b>\$937,000</b>	<b>\$937,000</b>	
	Includes costs to relocate the RRDP due to Agnews closure. The existing RRDP costs are transferring to Sonoma for administrative purposes.								
	<i>General Fund</i>	0	0	0	0	549,000	549,000	549,000	
	<i>Other</i>	0	0	0	0	388,000	388,000	388,000	
	<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>12.0</b>	<b>12.0</b>	<b>12.0</b>	
<b>12. Agnews Staffing Plan</b>	<b>Total</b>	<b>\$0</b>	<b>\$3,231,000</b>	<b>\$366,000</b>	<b>\$731,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	
	Includes costs for non-level-of-care staff in various program areas to ensure adequate staff is maintained during the closure process, as well as maintaining the health and safety of the residents.								
	<i>General Fund</i>	0	1,696,000	195,000	390,000	0	0	0	
	<i>Other</i>	0	1,535,000	171,000	341,000	0	0	0	
	<b>PYs</b>	<b>0.0</b>	<b>43.0</b>	<b>5.0</b>	<b>10.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	
<b>Total Developmental Centers</b>		<b>Total</b>	<b>\$97,712,000</b>	<b>\$86,862,000</b>	<b>\$83,851,000</b>	<b>\$73,419,000</b>	<b>\$23,531,000</b>	<b>\$18,979,000</b>	<b>\$937,000</b>
	<i>General Fund</i>	51,610,000	45,888,000	41,809,000	36,253,759	5,182,000	549,000	549,000	
	<i>Other</i>	46,102,000	40,974,000	42,042,000	37,165,241	18,349,000	18,430,000	388,000	
	<b>PYs</b>	<b>1,173.0</b>	<b>1,108.0</b>	<b>980.0</b>	<b>812.5</b>	<b>56.0</b>	<b>12.0</b>	<b>12.0</b>	
	<b>Year Ending Population</b>	<b>324</b>	<b>254</b>	<b>161</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	

<b>REGIONAL CENTERS</b>									
<b>13. Community Placement Plan</b>	<b>Total</b>								
<b>A) Operations</b>	Includes costs for CPP administration, service coordination, clinical, and resource development staff.								
	<i>General Fund</i>	3,422,000	6,428,000	6,993,000	7,405,000	438,000	438,000	438,000	
	<i>Other</i>	0	257,000	991,000	1,214,000	81,000	81,000	81,000	
<b>B) Purchase of Services (POS)</b>	Includes costs for placements into the community including property management and leases as applicable, traditional and specialized service start-up, non-profits to develop and manage properties, and health and behavioral health treatment and crisis services.								
	<i>General Fund</i>	24,376,000	14,826,000	23,452,000	47,124,000	0	0	0	
	<i>Other</i>	2,523,000	1,162,000	2,237,000	7,699,000	0	0	0	
	(FYI: State Employees in the Community costs included in POS above)	(0)	(251,000)	(4,008,000)	(7,514,000)	(0)	(0)	(0)	
<b>Total Community Placement Plan (A+B)</b>		<b>Total</b>	<b>\$27,798,000</b>	<b>\$21,511,000</b>	<b>\$31,436,000</b>	<b>\$55,743,000</b>	<b>\$519,000</b>	<b>\$519,000</b>	<b>\$519,000</b>
	<b>Placements</b>	<b>52</b>	<b>63</b>	<b>113</b>	<b>145</b>	<b>0</b>	<b>0</b>	<b>0</b>	
	<i>General Fund</i>	25,275,000	20,092,000	28,208,000	46,830,000	438,000	438,000	438,000	
	<i>Other</i>	2,523,000	1,419,000	3,228,000	8,913,000	81,000	81,000	81,000	

		Base 2004-05	2006-07 May Revision for CY 2005-06	2007-08 Nov. Estimate for CY 2006-07	2007-08 Nov. Estimate for BY 2007-08	2008-09	2009-10	2010-11	
<b>14. Placement Continuation</b>									
<b>A) Operations</b>	Total	\$70,000	\$297,000	\$309,000	\$423,000	\$2,340,000	\$2,386,000	\$2,386,000	
	Includes costs for additional service coordination.								
	General Fund	37,000	125,000	130,000	166,000	734,000	768,000	768,000	
	Other	33,000	172,000	179,000	257,000	1,606,000	1,618,000	1,618,000	
<b>B) Purchase of Services (POS)</b>	Total	\$5,209,000	\$9,145,000	\$17,473,000	\$43,792,000	\$92,132,000	\$92,132,000	\$92,132,000	
	Includes costs for CPP placements and specialized services and housing.								
	Placements	49	44	63	113	145	0	0	
	General Fund	4,355,000	7,326,000	13,731,000	32,980,000	70,968,000	70,968,000	70,968,000	
	Other	854,000	1,819,000	3,742,000	10,812,000	21,164,000	21,164,000	21,164,000	
	(FYI: State Employees in the Community costs included in POS above)	(0)	(0)	(0)	(0)	(16,339,000)	(16,339,000)	(0)	
<b>Total Placements Continuation (A+B)</b>		<b>\$5,279,000</b>	<b>\$9,442,000</b>	<b>\$17,782,000</b>	<b>\$44,215,000</b>	<b>\$94,472,000</b>	<b>\$94,518,000</b>	<b>\$94,518,000</b>	
	<b>Prior Year Placements</b>	<b>49</b>	<b>44</b>	<b>63</b>	<b>113</b>	<b>145</b>	<b>0</b>	<b>0</b>	
	General Fund	4,392,000	7,451,000	13,861,000	33,146,000	71,702,000	71,736,000	71,736,000	
	Other	887,000	1,991,000	3,921,000	11,069,000	22,770,000	22,782,000	22,782,000	
<b>Total Regional Centers</b>		<b>\$33,077,000</b>	<b>\$30,953,000</b>	<b>\$49,218,000</b>	<b>\$99,958,000</b>	<b>\$94,991,000</b>	<b>\$95,037,000</b>	<b>\$95,037,000</b>	
	General Fund	29,667,000	27,543,000	42,069,000	79,976,000	72,140,000	72,174,000	72,174,000	
	Other	3,410,000	3,410,000	7,149,000	19,982,000	22,851,000	22,863,000	22,863,000	
<b>TOTAL: DEVELOPMENTAL CENTERS AND REGIONAL CENTERS</b>		<b>Total</b>	<b>\$130,789,000</b>	<b>\$117,815,000</b>	<b>\$133,069,000</b>	<b>\$173,377,000</b>	<b>\$118,522,000</b>	<b>\$114,016,000</b>	<b>\$95,974,000</b>
	General Fund	81,277,000	73,431,000	83,878,000	116,229,759	77,322,000	72,723,000	72,723,000	
	Other	49,512,000	44,384,000	49,191,000	57,147,241	41,200,000	41,293,000	23,251,000	
	PYs	1,173.0	1,108.0	980.0	812.5	56.0	12.0	12.0	
	Year Ending Population	324	254	161	0	0	0	0	
	Placements	52	63	113	145	0	0	0	
	Prior Year Placements	49	44	63	113	145	0	0	